



Plant sensor-based precision irrigation for improved vineyard water use efficiency, grape and wine composition and quality, and vineyard profitability



FINAL REPORT TO WINE AUSTRALIA

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TABLE OF CONTENTS

1 EXECUTIVE SUMMARY 2 BACKGROUND 3 PROJECT AIMS 4 MATERIALS AND METHODS 1 DESGN OF IRIGATION TRALS 4 1 4.11 Location 4.12 SUPPRIME COUPMENT AND SENSORS 4.23 Direct, proximol, and continuous sensors 4.24 SUPPRIME COUPMENT AND SENSORS 4.2.3 Thresholds for irrigation scheduling 4.2.4 STAR COULCTON TIME POINTS 4.2 Thersholds for irrigation scheduling 4.3 Dark COULCTON TIME POINTS 4.4 METHODOLOGY AND PROCESSING 4.4.4 METHODOLOGY AND PROCESSING 4.4.5 Remote sensing data methodology and processing 4.4.4 Minemaking 4.4.5 Remote sensing data methodology and processing 4.4.6 Financial Analysis 2 A.4.7 SEASONAL IRRIGATION. 2 5.1 SEASONAL IRRIGATION. 5.2 Sole asonal light interception and vine vigour (Led area index and porosity). 5.2.1 <td< th=""><th>A</th><th>BSTRACT</th><th></th><th> 4</th></td<>	A	BSTRACT		4						
2 BACKGROUND 3 PROJECT AIMS 4 MATERIALS AND METHODS 4 MATERIALS AND METHODS 4 L1 4.1.1 DESIGN OF IRINGATION TRALS 4.1.2 Experimental design 4.1.1 Location 4.1.2 Experimental design 4.2.3 Thresholds for irrigation scheduling 4.2.4 Methodology and processing 4.3 Data Collection time Points 4.4 Methodology and processing 4.4.1 Ground data methodology and processing 4.4.3 Winemaking 4.4.4 Harvest, yield components, and gruning 4.4.5 Remote sensing data methodology and processing 4.4.4 Harvest, vield components, and gruning 4.4.5 Remote sensing data methodology and processing 4.4.6 Financial Analysis 2 Solit Nuesyad triggation Survey 5 RESULTS AND DISCUSSION 5.2.1 Seasonal light interception and vine vigour (Led area index and porosity) 5.2.2 Seasonal light interception and vine vigour (Led area index and porosity) 5.2.3	1	EXEC	UTIVE SUMMARY	5						
3 PROJECT AIMS 4 MATERIALS AND METHODS 1 4.1 DESIGN OF IRRIGATION TRIALS 1 4.1 Location 1 4.1.1 Location 1 4.2.2 SCIENTIFIC EQUIPMENT AND SENSORS. 1 4.2.3 Thresholds for irrigation scheduling. 1 4.2.3 Thresholds for irrigation scheduling. 2 4.3 DATA COLLECTION TIME POINTS 2 4.4 HETHODOLOGY AND PROCESSING. 2 4.4.1 Ground data methodology and processing. 2 4.4.3 Winemaking. 2 4.4.4 Berry, Luice and wine chemical analyses. 2 4.4.5 Remote sensing data methodology and processing. 2 4.4.6 Financial Analysis. 2 4.4.7 Vineyard Irrigation Survey	2	BACK	GROUND	7						
4 MATERIALS AND METHODS. 1 4.1 DESIGN OF IRRIGATION TRIALS. 1 4.1.1 Location 1 4.1.2 Experimental design 1 4.2 Scientific Equipment 11 4.2.5 Direct, proximal, and continuous sensors 11 4.2.1 Direct, proximal, and continuous sensors 11 4.2.2 Remote sensing equipment. 12 4.2.3 Thresholds for inigation scheduling. 22 4.4 Methodology and processing. 22 4.4.1 Ground data methodology and processing. 22 4.4.1 Ground data methodology and processing. 22 4.4.3 Winemaking. 22 4.4.4 Berry, juice and wine chemical analyses 24 4.4.5 Remote sensing data methodology and processing. 24 4.4.5 Remote sensing data methodology and processing. 24 4.4.6 Remote sensing data methodology and processing. 25 5.1 SEASONAL IRRIGATION. 25 5.2 Soun Northue Nan Dunke Peteromance Measuree Via Proximal, sensing 25 5.2	3	PROJECT AIMS								
4.1 DESIGN OF IRRIGATION TRIALS. 1 4.1.1 LOCATION 1 4.1.2 Experimental design 1 4.2 SCENTIFIC EQUIPMENT AND SENSORS. 1 4.2.1 Direct, proximal, and continuous sensors 1 4.2.2 Remote sensing equipment. 1 4.2.3 Thresholds for irrigation scheduling. 2 4.3 DATA COLECTION TIME POINTS. 2 4.4 METHODOLOGY AND PROCESSING. 2 4.4.1 Ground data methodology and processing. 2 4.4.2 Harvest, yield components, and pruning. 2 4.4.3 Winemaking. 2 4.4.4 Berry, juice and wine chemical analyses 2 4.4.5 Remote sensing data methodology and processing. 2 4.4.5 Kemote sensing data methodology and processing. 2 4.4.6 Financial Analysis. 2 5.1 SEASONAL IRRIGATION. 2 5.2 Solu MOISTURE AND VINE PERFORMANCE MEASURED VIA PROXIMAL SENSING 2 5.2.1 Seasonal light interception and vine vigour (Leaf area index and porosity) 3 <t< th=""><th>4</th><th colspan="8">4 MATERIALS AND METHODS</th></t<>	4	4 MATERIALS AND METHODS								
4.1.1 Location		4.1	DESIGN OF IRRIGATION TRIALS							
4.1.2 Experimental design 1 4.2 SCIENTIFIC COUPMENT AND SENSORS. 1 4.2.1 Remote sensing equipment. 1 4.2.2 Remote sensing equipment. 1 4.2.3 Thresholds for irrigation scheduling. 2 4.3 DATA COLLECTON TIME POINTS 2 4.4 METHODOLOGY AND PROCESSING 2 4.4.1 Ground data methodology and processing. 2 4.4.2 Horrest, yield components, and pruning 2 4.4.3 Winemaking. 2 4.4.4 Berry, juice and wine chemical analyses 2 4.4.5 Remote sensing data methodology and processing. 2 4.4.6 Financial Analysis 2 4.4.7 Vineyard Irrigation Survey. 2 5 RESULTS AND DISCUSSION 2 5.1 SEASONAL IRRIGATION. 2 5.2.1 Seasonal oil moisture. 2 5.2.2 Seasonal Ight interception and vine vigour (Leaf area index and porosity). 3 5.2.3 Seasonal light interception and vine vigour (Leaf area index and porosity). 3 5.2.4 <		4.1.1	Location							
4.2 SCIENTFIC EQUIPMENT AND SENSORS. 1 4.2.1 Direct, proximal, and continuous sensors 1 4.2.2 Remote sensing equipment. 1 4.2.3 Thresholds for irrigation scheduling. 2 4.3 DATA COLLECTION TIME POINTS 2 4.4 METHODOLOGY AND PROCESSING 2 4.4.1 Ground data methodology and processing 2 4.4.2 Harvest, yield components, and pruning 2 4.4.3 Winemaking 2 4.4.4 Berry, Juice and wine chemical analyses 2 4.4.5 Remote sensing data methodology and processing 2 4.4.6 Financial Analysis 2 4.4.7 Vineyard Irrigation Survey. 2 5 RESULTS AND DISCUSSION 2 5.1 SEASONAL IRRIGATION. 2 5.2.1 Seasonal ol moisture. 2 5.2.2 Soil MOISTURE AND VINE PERFORMANCE MEASURED VIA PROXIMAL SENSING 2 5.2.3 Seasonal venterstatus and stomatal conductance 2 5.2.4 Seasonal plant water status and stomatal conductance 4 5.2.5		4.1.2	Experimental design							
4.2.1 Direct, proximal, and continuous sensors 1 4.2.2 Remote sensing equipment 1 4.2.3 Thresholds for irrigation scheduling. 2 4.3 DATA COLLECTION TIME POINTS 2 4.4 METHODOLOGY AND PROCESSING 2 4.4.1 Methodology and processing 2 4.4.2 Harvest, yield components, and pruning 2 4.4.3 Winemaking 2 4.4.4 Berry, juice and wine chemical analyses 2 4.4.5 Remote sensing data methodology and processing 2 4.4.6 Financial Analysis 2 4.4.7 Vineyard Irrigation Survey. 2 5 RESULTS AND DISCUSSION 2 5.1 SEASONAL IRRIGATION. 2 5.2.1 Seasonal ight miterception and vine vigour (Leaf area index and porosity) 3 5.2.3 Seasonal plant water status and stomatal conductance 4 5.2.4 Seasonal plant water status and stomatal conductance 4 5.3 Continuous StensoneAseb DATA 5 5.4.1 High resolution prediction of soil and vine water status 5 <th></th> <th>4.2</th> <th>SCIENTIFIC EQUIPMENT AND SENSORS</th> <th></th>		4.2	SCIENTIFIC EQUIPMENT AND SENSORS							
4.2.2 Remote sensing equipment. 1 4.2.3 Thresholds for irrigation scheduling		4.2.1	Direct, proximal, and continuous sensors							
4.2.3 Thresholds for irrigation scheduling. 2 4.4 MAT COLLECTION TIME POINTS 2 4.4 METHODOLOGY AND PROCESSING 2 4.4.1 Ground data methodology and processing 2 4.4.2 Harvest, yield components, and pruning 2 4.4.3 Winemaking 2 4.4.4 Berry, juice and wine chemical analyses 2 4.4.5 Remote sensing data methodology and processing 2 4.4.6 Financial Analysis 2 4.4.7 Vineyard Irrigation Survey. 2 5 RESULTS AND DISCUSSION 2 5.1 SEASONAL IRRIGATION. 2 5.2 Solu MOISTURE AND VINE PERFORMANCE MEASURED VIA PROXIMAL SENSING 2 5.2.1 Seasonal isoli moisture. 2 5.2.2 Seasonal light interception and vine vigour (Leaf area index and porosity) 3 5.2.3 Seasonal leap thotosynthesis and intrinsic water use efficiency 4 5.4 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.4 High resolution prediction of soil and vine water status 5 5.4.1 Correl		4.2.2	Remote sensing equipment	16						
4.3 DATA COLLECTION TIME POINTS 2 4.4 METHODOLOGY AND PROCESSING 2 4.4.1 METHODOLOGY AND PROCESSING 2 4.4.2 Harvest, yield components, and pruning 2 4.4.3 Winemaking 2 4.4.4 Berry, juice and wine chemical analyses 2 4.4.5 Remote sensing data methodology and processing 2 4.4.6 Financial Analysis 2 4.4.7 Vineyard Irrigation Survey 2 5 RESULTS AND DISCUSSION 2 5.1 SEASONAL IRRIGATION 2 5.2 Solit Moisture AND VINE PERFORMANCE MEASURED VIA PROXIMAL SENSING 2 5.2.1 Seasonal oil moisture 2 5.2 Seasonal light interception and vine vigaur (Leaf area index and porosity) 3 5.2.3 Seasonal evapotranspiration (ETc) and crop coefficient (K) 3 5.2.4 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.4 Keasone-BASED DATA 4 5.4.1 Correlation analysis. 5 5.4.2 High resolution prediction of soil and vine water status 5		4.2.3	Thresholds for irrigation scheduling							
4.4 METHODOLOGY AND PROCESSING 2 4.4.1 Ground data methodology and processing 2 4.4.2 Harvest, yield components, and pruning 2 4.4.3 Winemaking 2 4.4.4 Berry, juice and wine chemical analyses 2 4.4.5 Remote sensing data methodology and processing 2 4.4.6 Financial Analysis 2 4.4.7 Vineyard Irrigation Survey 2 5 RESULTS AND DISCUSSION 2 5.1 SEASONAL IRRIGATION. 2 5.2 SOIL MOISTURE AND VINE PERFORMANCE MEASURED VIA PROXIMAL SENSING 2 5.2.1 Seasonal soil moisture 2 5.2 Soil MOISTURE AND VINE PERFORMANCE MEASURED VIA PROXIMAL SENSING 2 5.2.1 Seasonal soil moisture 2 5.2.2 Seasonal light interception and vine vigour (Leaf area index and porosity) 3 5.2.3 Seasonal ret photosynthesis and intrinsic water use efficiency 4 5.2 Seasonal plant water status and stomatal conductance 4 5.3 CONTINUOUS SENSOR-BASED DATA 4 5.4 Remotely-sensed canopy pe		4.3	DATA COLLECTION TIME POINTS							
4.4.1 Ground data methodology and processing. 2 4.4.2 Harvest, yield components, and pruning 2 4.4.3 Winemaking 2 4.4.4 Berry, juice and wine chemical analyses 2 4.4.5 Remote sensing data methodology and processing 2 4.4.6 Financial Analysis 2 4.4.7 Vineyard Irrigation Survey. 2 5 RESULTS AND DISCUSSION 2 5.1 SEASONAL IRRIGATION. 2 5.2 SOIL MOISTURE AND VINE PERFORMANCE MEASURED VIA PROXIMAL SENSING 2 5.2.1 Seasonal soil moisture. 2 5.2.2 Seasonal light interception and vine vigour (Leaf area index and porosity) 3 5.2.3 Seasonal light interception and vine vigour (Leaf area index and porosity) 3 5.2.4 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.3 CONTINUOUS SENSOR-BASED DATA 4 5.4 High resolution prediction of soil and vine water status 5 5.4.1 Correlation analysis 5 5.4.2 High resolution prediction of soil and vine water status 5 <		4.4	METHODOLOGY AND PROCESSING	21						
4.4.2 Harvest, yield components, and pruning 2 4.4.3 Winemaking 2 4.4.4 Berry, juice and wine chemical analyses 2 4.4.5 Remote sensing data methodology and processing 2 4.4.6 Financial Analysis 2 4.4.7 Vineyard Irrigation Survey. 2 5 RESULTS AND DISCUSSION 2 5.1 SEASONAL IRRIGATION 2 5.2 Soil MOISTURE AND VINE PERFORMANCE MEASURED VIA PROXIMAL SENSING 2 5.2.1 Seasonal soil moisture 2 5.2.2 Seasonal soil moisture 2 5.2.3 Seasonal exapotranspiration (ET ₀) and crop coefficient (K _c) 3 5.2.4 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.3 CONTINUOUS SENSOR-BASED DATA 4 5.4 REMOTELY-SENSED CANOPY PERFORMANCE 5 5.4.1 Correlation analysis 5 5.4.2 High resolution prediction of soil and vine water status 5 5.4.3 High resolution prediction of canopy gas exchange 5 5.4.4 High resolution prediction of canopy gas exchange <td></td> <td>4.4.1</td> <td>Ground data methodology and processing</td> <td>21</td>		4.4.1	Ground data methodology and processing	21						
4.4.3 Winemaking. 2 4.4.4 Berry, juice and wine chemical analyses 2 4.4.5 Remote sensing data methodology and processing 2 4.4.6 Financial Analysis 2 4.4.7 Vineyard Irrigation Survey. 2 5 RESULTS AND DISCUSSION 2 5.1 SEASONAL IRRIGATION. 2 5.2 SOIL MOISTURE AND VINE PERFORMANCE MEASURED VIA PROXIMAL SENSING 2 5.2.1 Seasonal soil moisture 2 5.2.2 Seasonal soil moisture 2 5.2.3 Seasonal evapotranspiration (ETo) and rop coefficient (K_J) 3 5.2.4 Seasonal plant water status and stomatal conductance 4 5.2.5 Seasonal net photosynthesis and intrinsic water use efficiency. 4 5.4 Continuous SENSOR-BASED DATA 4 5.4.1 Correlation analysis 5 5.4.2 High resolution prediction of soil and vine water status 5 5.4.3 High resolution prediction of canopy gas exchange 5 5.5 YIEL COMPONENTS AND PRUNING WEIGHTS 5 5.6 Berry carbon isotopes 6<		4.4.2	Harvest, yield components, and pruning							
4.4.4 Berry, Juice and wine chemical analyses 2 4.4.5 Remote sensing data methodology and processing 2 4.4.6 Financial Analysis 2 4.4.7 Vineyard Irrigation Survey 2 5 RESULTS AND DISCUSSION 2 5.1 SEASONAL IRRIGATION. 2 5.2 SOIL MOISTURE AND VINE PERFORMANCE MEASURED VIA PROXIMAL SENSING 2 5.2.1 Seasonal solil moisture. 2 5.2.2 Seasonal light interception and vine vigour (Leaf area index and porosity) 3 5.2.3 Seasonal plant water status and stomatal conductance 4 5.2.4 Seasonal plant water status and stomatal conductance 4 5.2.5 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.4 REMOTELY-SENSED CANOPY PERFORMANCE 5 5.4.1 Correlation analysis 5 5.4.2 High resolution prediction of soil and vine water status 5 5.4.3 High resolution prediction of conopy gas exchange 5 5.4.4 High resolution prediction of canopy gas exchange 5 5.5 YIEL COMPONENTS AND PRUNING WEIGHTS 5		4.4.3	Winemaking	21						
4.4.5 Remote sensing data methodology and processing 2 4.4.6 Financial Analysis 2 4.4.7 Vineyard Irrigation Survey. 2 5 RESULTS AND DISCUSSION 2 5.1 SEASONAL IRRIGATION. 2 5.2 SOIL MOISTURE AND VINE PERFORMANCE MEASURED VIA PROXIMAL SENSING 2 5.2.1 Seasonal soil moisture. 2 5.2.2 Seasonal soil moisture. 2 5.2.3 Seasonal soil moisture. 2 5.2.4 Seasonal evapotranspiration (ET ₀) and crop coefficient (K _c). 3 5.2.4 Seasonal plant water status and stomatal conductance 4 5.2.5 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.3 CONTINUOUS SENSOR-BASED DATA. 4 5.4 REMOTELY-SENSED CANOPY PERFORMANCE. 5 5.4.1 Correlation analysis. 5 5.4.2 High resolution prediction of soil and vine water status. 5 5.4.3 High resolution prediction of conopy gas exchange 5 5.5.4 High resolution prediction of conopy gas exchange 5 5.6.1 Crop w		4.4.4	Berry, juice and wine chemical analyses							
4.4.6 Financial Analysis 2 4.4.7 Vineyard Irrigation Survey 2 5 RESULTS AND DISCUSSION 2 5.1 SEASONAL IRRIGATION 2 5.2 Solit MOISTURE AND VINE PERFORMANCE MEASURED VIA PROXIMAL SENSING 2 5.2.1 Seasonal soil moisture 2 5.2.2 Seasonal light interception and vine vigour (Leaf area index and porosity) 3 5.2.3 Seasonal plant water status and stomatal conductance 4 5.2.4 Seasonal plant water status and stomatal conductance 4 5.2.5 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.3 CONTINUOUS SENSOR-BASED DATA. 4 5.4 REMOTELY-SENSED CANOPY PERFORMANCE 5 5.4.1 Correlation analysis. 5 5.4.2 High resolution prediction of soil and vine water status. 5 5.4.3 High resolution prediction of canopy gas exchange 5 5.4.4 High resolution prediction of canopy gas exchange 5 5.5 YIELD COMPONENTS AND PRUNING WEIGHTS 6 5.6 WATER USE EFFICIENCY 6 5.6		4.4.5	Remote sensing data methodology and processing							
4.4.7 Vineyara Irrigation Survey		4.4.6	Financial Analysis							
5 RESULTS AND DISCUSSION 2 5.1 SEASONAL IRRIGATION. 2 5.2 SOIL MOISTURE AND VINE PERFORMANCE MEASURED VIA PROXIMAL SENSING 2 5.2.1 Seasonal soil moisture. 2 5.2.2 Seasonal light interception and vine vigour (Leaf area index and porosity) 3 5.2.3 Seasonal evapotranspiration (ET ₀) and crop coefficient (K _c) 3 5.2.4 Seasonal plant water status and stomatal conductance 4 5.2.5 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.2.4 REMOTELY-SENSED CANOPY PERFORMANCE 5 5.4.1 Correlation analysis 5 5.4.2 High resolution prediction of soil and vine water status 5 5.4.3 High resolution prediction of canopy gas exchange 5 5.4.4 High resolution prediction of canopy gas exchange 5 5.5.5 YIELD COMPONENTS AND PRUNING WEIGHTS 6 5.6.1 Crop water use efficiency 6 5.6.2 Berry carbon isotopes 7 5.9.3 Shiraz – Terrarossa 7 5.9.4 OMINE COMPOSITION 7 5.8		4.4.7	Vineyara Irrigation Survey	27						
5.1 SEASONAL IRRIGATION	5	RESU	LTS AND DISCUSSION	28						
5.2 SOIL MOISTURE AND VINE PERFORMANCE MEASURED VIA PROXIMAL SENSING 2 5.2.1 Seasonal soil moisture		5.1	SEASONAL IRRIGATION							
5.2.1 Seasonal soil moisture		5.2	Soil moisture and vine performance measured via proximal sensing							
5.2.2 Seasonal light interception and vine vigour (Leaf area index and porosity) 3 5.2.3 Seasonal evapotranspiration (ET ₀) and crop coefficient (K _c) 3 5.2.4 Seasonal plant water status and stomatal conductance 4 5.2.5 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.2.6 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.2.7 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.2.5 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.3 CONTINUOUS SENSOR-BASED DATA. 4 5.4 REMOTELY-SENSED CANOPY PERFORMANCE. 5 5.4.1 Correlation analysis. 5 5.4.2 High resolution prediction of soil and vine water status. 5 5.4.3 High resolution prediction of soil and vine crop coefficient		5.2.1	Seasonal soil moisture							
5.2.3 Seasonal evapotranspiration (ET ₀) and crop coefficient (K _c) 3 5.2.4 Seasonal plant water status and stomatal conductance 4 5.2.5 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.2.6 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.2.7 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.2.6 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.3 CONTINUOUS SENSOR-BASED DATA. 4 5.4 REMOTELY-SENSED CANOPY PERFORMANCE. 5 5.4.1 Correlation analysis. 5 5.4.2 High resolution prediction of soil and vine water status. 5 5.4.3 High resolution prediction of soil and vine water status. 5 5.4.4 High resolution prediction of canopy gas exchange 5 5.4.4 High resolution prediction of canopy gas exchange 5 5.5 YIELD COMPONENTS AND PRUNING WEIGHTS 6 5.6 WATER USE EFFICIENCY 6 5.6.1 Crop water use efficiency. 6 5.7 BERRY AND JUICE COMPOSITION 6 5.8		5.2.2	Seasonal light interception and vine vigour (Leaf area index and porosity)							
5.2.4 Seasonal plant water status and stomatal conductance 4 5.2.5 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.3 CONTINUOUS SENSOR-BASED DATA		5.2.3	Seasonal evapotranspiration (ET_0) and crop coefficient (K_c)							
5.2.5 Seasonal net photosynthesis and intrinsic water use efficiency 4 5.3 CONTINUOUS SENSOR-BASED DATA		5.2.4	Seasonal plant water status and stomatal conductance							
5.3 CONTINUOUS SENSOR-BASED DATA		5.2.5	Seasonal net photosynthesis and intrinsic water use efficiency							
5.4 REMOTELY-SENSED CANOPY PERFORMANCE		5.3	CONTINUOUS SENSOR-BASED DATA							
5.4.1 Correlation analysis		5.4	REMOTELY-SENSED CANOPY PERFORMANCE							
5.4.2 High resolution prediction of soil and vine water status		5.4.1	Correlation analysis							
5.4.3 High resolution prediction of vine crop coefficient 5 5.4.4 High resolution prediction of canopy gas exchange 5 5.5 Yield COMPONENTS AND PRUNING WEIGHTS 6 5.6 WATER USE EFFICIENCY 6 5.6.1 Crop water use efficiency 6 5.6.2 Berry carbon isotopes 6 5.7 BERRY AND JUICE COMPOSITION 6 5.8 WINE COMPOSITION 7 5.9 FINANCIAL ANALYSIS 7 5.9.1 Cabernet Sauvignon – Terrarossa 7 5.9.2 Cabernet Sauvignon – Rendzina 7 5.9.3 Shiraz – Terrarossa 7 5.10 IRRIGATION PRACTICES SURVEY 8 6 OTHER RELATED OUTPUTS 8		5.4.2	High resolution prediction of soil and vine water status							
5.4.4 High resolution prediction of canopy gas exchange 5 5.5 YIELD COMPONENTS AND PRUNING WEIGHTS 6 5.6 WATER USE EFFICIENCY 6 5.6.1 Crop water use efficiency 6 5.6.2 Berry carbon isotopes 6 5.7 BERRY AND JUICE COMPOSITION 6 5.8 WINE COMPOSITION 6 5.9 FINANCIAL ANALYSIS 7 5.9.1 Cabernet Sauvignon – Terrarossa 7 5.9.2 Cabernet Sauvignon – Rendzina 7 5.9.3 Shiraz – Terrarossa 7 5.10 IRRIGATION PRACTICES SURVEY 8		5.4.3	High resolution prediction of vine crop coefficient							
5.5 YIELD COMPONENTS AND PRUNING WEIGHTS		5.4.4	High resolution prediction of canopy gas exchange							
5.6 WATER USE EFFICIENCY 6 5.6.1 Crop water use efficiency 6 5.6.2 Berry carbon isotopes 6 5.7 BERRY AND JUICE COMPOSITION 6 5.8 WINE COMPOSITION 7 5.9 FINANCIAL ANALYSIS 7 5.9.1 Cabernet Sauvignon – Terrarossa 7 5.9.2 Cabernet Sauvignon – Rendzina 7 5.9.3 Shiraz – Terrarossa 7 5.10 IRRIGATION PRACTICES SURVEY 8 6 OTHER RELATED OUTPUTS 8		5.5	YIELD COMPONENTS AND PRUNING WEIGHTS							
5.6.1 Clop water use efficiency 6 5.6.2 Berry carbon isotopes 6 5.7 BERRY AND JUICE COMPOSITION 6 5.8 WINE COMPOSITION 7 5.9 FINANCIAL ANALYSIS 7 5.9.1 Cabernet Sauvignon – Terrarossa 7 5.9.2 Cabernet Sauvignon – Rendzina 7 5.9.3 Shiraz – Terrarossa 7 5.10 IRRIGATION PRACTICES SURVEY 8 6 OTHER RELATED OUTPUTS 8		5.0 E C 1	Cron water use officiency	04 64						
5.0.2 DERTY CONDON'SOCOPES 5.7 BERRY AND JUICE COMPOSITION 5.8 WINE COMPOSITION 5.9 FINANCIAL ANALYSIS 5.9.1 Cabernet Sauvignon – Terrarossa 7 5.9.2 Cabernet Sauvignon – Rendzina 7 5.9.3 Shiraz – Terrarossa 7 5.10 IRRIGATION PRACTICES SURVEY 8 6 OTHER RELATED OUTPUTS		5.0.1	Crop water use ejjiciency							
5.7 DERMI AND JOICE COMPOSITION 7 5.8 WINE COMPOSITION 7 5.9 FINANCIAL ANALYSIS 7 5.9.1 Cabernet Sauvignon – Terrarossa 7 5.9.2 Cabernet Sauvignon – Rendzina 7 5.9.3 Shiraz – Terrarossa 7 5.10 IRRIGATION PRACTICES SURVEY 8 6 OTHER RELATED OUTPUTS 8		5.0.2								
5.9 FINANCIAL ANALYSIS 7 5.9.1 Cabernet Sauvignon – Terrarossa 7 5.9.2 Cabernet Sauvignon – Rendzina 7 5.9.3 Shiraz – Terrarossa 7 5.10 IRRIGATION PRACTICES SURVEY 8 6 OTHER RELATED OUTPUTS 8		5.8	WINE COMPOSITION	07						
5.9.1 Cabernet Sauvignon – Terrarossa 7 5.9.2 Cabernet Sauvignon – Rendzina 7 5.9.3 Shiraz – Terrarossa 7 5.10 IRRIGATION PRACTICES SURVEY 8 6 OTHER RELATED OUTPUTS 8		5.9	FINANCIAL ANALYSIS							
5.9.2 Cabernet Sauvignon – Rendzina		5.9.1	Cabernet Sauvianon – Terrarossa							
5.9.3 Shiraz – Terrarossa		5.9.2	Cabernet Sauvignon – Rendzina							
5.10 IRRIGATION PRACTICES SURVEY		5.9.3	Shiraz – Terrarossa							
6 OTHER RELATED OUTPUTS		5.10	Irrigation Practices Survey	80						
	6	OTHE	R RELATED OUTPUTS	80						

7	CONCLUDING REMARKS	. 81
8	ACKNOWLEDGEMENTS	. 81
9	REFERENCES	. 82
10	APPENDIX: LIMESTONE COAST VINEYARD IRRIGATION SURVEY	. 84

Abstract

Prudent water management in Australian vineyards has become increasingly important in light of current energy market prices, potential oversupply of grapes and wine leading to low farmgate prices paid for grapes, as well as inefficiencies in ageing irrigation infrastructure in many vineyards. Increasing the efficiency of irrigation water use to maximise yield and/or quality is one strategy to address some of these issues, and can be done by precise monitoring of soil and vine moisture levels, and applying irrigation based on cultivarspecific thresholds relevant to each metric based on phenological stage. We evaluated the benefits associated with implementing irrigation schedules on Cabernet Sauvignon (CAS) and Shiraz (SHI) grapevines in Coonawarra based on non-data-driven (CONV) and data-driven metrics including crop evapotranspiration (ET_c), soil moisture thresholds (SWS), and two plant-based water status thresholds (PWS/PWS1, PWS2). SWS and PWS strategies were informed by remote monitoring of the respective parameters using continuous moisture sensors. Our results indicated that using data-driven approaches was generally superior to non-datadriven methods, and that water use efficiency (WUE=yield/irrigation) could be enhanced, particularly when using metrics that were associated with the vine itself, i.e. ET_c and PWS. Despite inter-annual variations in the results that were attributed to weather conditions, we observed 3- to 6-fold increases in WUE based on datadriven methods compared to the conventional (non-data driven) method in CAS, and a doubling of WUE in SHI. Comparing various irrigation schedules, PWS1, based on proximal thermal sensors, had the highest WUE in SHI during the final season, while ET had the highest WUE in CAS in the same season. The PWS group consistently outperformed the conventional group every season. Despite reductions in irrigation in the datadriven treatments, no significant impacts to yield or grape composition parameters were observed. High resolution remote sensing was utilised to generate spatial and temporal information of all three vineyard blocks; this data was used to develop predictive models of soil and vine water status, gas exchange, and crop coefficients. Financial (cost-benefit) analysis revealed that the economic water productivity (gross margin \$ per ML of water applied) for CAS was 4-fold higher in the ET and PWS treatments, and CONV was highest in SHI due to the high yields obtained in the final season. Finally, an irrigation practices survey conducted in 2021 across the Limestone Coast region revealed that over 70% of growers used experience or historical schedules to irrigate, and only 6% of growers used plant-based sensors to inform their irrigation decisions. The greatest barriers to adoption of new technology for irrigation decision-making were a lack of understanding of their value and high cost. This study has demonstrated the potential of several direct, proximal and remote irrigation sensors and tools to determine irrigation schedules in order to increase water use efficiency in grapevine through precision irrigation.

1 Executive summary

The overarching objective of this project was to assess the value of plant-sensor based precision irrigation on grapevine performance, water use efficiency, and grape and wine composition of Cabernet Sauvignon (CAS) and Shiraz (SHI) grapevines. The project was conducted in the Coonawarra viticultural region of South Australia. In the first three seasons, five irrigation strategies were investigated: conventional or grower-driven approach (CONV), 2x CONV for "well-watered" vines (CONV+), and three data-driven (sensor-based) irrigation strategies: vine water status based on proximal thermal sensors (PWS/PWS1), soil water status (SWS), and crop evapotranspiration (ET_c). In the fourth season (2021/2022), an additional plant sensor-based irrigation strategy based on continuous measurement of trunk water potential (PWS2) was also evaluated. Additionally, UAV-based remote sensing was used to obtain high resolution (vine-level) estimates of grapevine crop coefficients, soil and water status, and canopy gas exchange.

For measuring plant performance and water status, various instruments for direct, proximal and remote sensing were used at key phenological stages of grapevine development. The evidence- or sensor-based irrigation strategies had a clear advantage of saving irrigation water in CAS and SHI grown in Terrarossa soil and Rendzina soils (SHI in Terrarossa only). For instance, in 2020/2021 season (S3) in CAS (in lighter Terrarossa soil), ET- and PWS-treated vines received only 38% and 67% of the total irrigation of CONV+ treated vines. However, despite significantly lower soil and plant water status, ET- and PWS-treated vines showed similar net carbon assimilation (A_N), stomatal conductance (g_s), leaf intrinsic water use efficiency (WUE_i), yield, and berry anthocyanin and phenolics concentrations relative to CONV+ vines. Reduction of irrigation by 38% in SWS treatments led to significant reductions of soil and plant water status, g_s and A_N however, vines maintained similar WUE_i relative to CONV+ treatment. The reduction of irrigation did not result in a yield penalty, nor reduced berry and juice composition in the CAS vines on Terrarossa soils.

In the 2020/2021 season in CAS on heavier Rendzina soils, sensor-based irrigation reduced water supply by 30-40% relative to CONV+. Despite significantly lower plant water status and g_s , all vines maintained similar WUE_i to CONV+. ET and PWS treatments did not result in yield penalties, a positive result, however, yield was significantly reduced in the SWS treatment relative to CONV+ vines.

In SHI grapevines on Terrarossa soils, plant sensor-based irrigation (PWS) reduced irrigation by 40-60% compared to CONV+ treated vines. Even though, reduced water supply significantly reduced plant water status and leaf gas exchange, PWS1, PWS2 and SWS treatments significantly improved *WUE_i* relative to CONV+ vines during 2021/2022 season, without yield penalties or decreases in berry/juice composition parameters.

Overall, our results suggest that both ET- and plant sensor-based irrigation scheduling are superior approaches for high-quality grape/wine production for CAS, while the plant sensor-based irrigation scheduling approach is superior in SHI in order to increase water use efficiency without yield or quality penalties.

Using high-resolution (UAV-based) thermal and multispectral remote sensing in conjunction with machine learning modelling, we obtained spatial (vine-level) and temporal (phenology-specific) information on soil moisture (Ψ_{pd}) and vine physiological parameters (Ψ_s , A_n , g_s , WUE_i , K_c) for the entire vineyard block and beyond the trial sub-block. These predictive models can aid practitioners in implementing precision irrigation, i.e. irrigating blocks at the sub-block level, based on water requirements as determined by the various sensors such as those evaluated in this project. The spatial maps can also be used to inform the placement of proximal or direct plant and soil sensors for improved decision-making at the sub-block level.

Financial analysis of the various data-driven options (PWS, SWS, ET) versus the non-data driven-option (CONV/CONV+) indicated that there was a strong dependence of gross margin and payback period on yield

and/or irrigation application rates. Hence, the ET (in CAS and SHI) and PWS (in SHI) treatments had the highest financial returns to the grower based on lower water application rates as yields were similar across the different strategies. These differences would be even higher, if growers had to pay for water, increasing the impetus for using sensors to inform irrigation decisions.

The project has successfully met the objectives and delivered:

- a comprehensive evaluation of various irrigation scheduling methods available to grape growers that are based on subjective and objective assessments. Vine performance and fruit/wine level assessments were carried out for each irrigation regime.
- an evaluation of two new commercial crop water status sensors and determination of their thresholds to increase water use efficiency.
- a new high-resolution remote sensing platform for assessment of canopy performance, and spatial and temporal prediction of the same parameters.
- a financial analysis of the different irrigation scheduling strategies evaluated in this study.
- a comprehensive industry survey of current irrigation practices in the Limestone Coast.
- knowledge dissemination to the industry via seminars and scientific papers.

2 Background

As a perennial crop, grapevines (Vitis vinifera L.) are vulnerable to changing environmental conditions, both within a growing season and interannually. Predictions of climatic conditions across many Australian viticultural regions indicate that average growing season temperatures are likely to increase and precipitation is likely to decrease (Webb et al. 2007). In South Australia, the largest winegrape producing state in Australia (Wine Australia 2022), the annual mean maximum temperature is predicted to increase by 2.1 °C by 2050 while annual precipitation is predicted to decrease by between 6.6-15% by the same time (Green and Pannell, 2020). Superimposed on this climate background are extreme weather events such as heatwaves, which are predicted to increase in both frequency and severity, e.g. the number of days per year above 40 °C is projected to double by 2050 compared to the baseline period of 1981-2010. These conditions will result in higher rates of evapotranspiration (ET) with a consequent decrease in soil moisture and increase in soil salinity (Schultz and Stoll 2010). As grapevine phenology, grape yield, chemical composition and quality, and consequent wine quality are all intimately tied to the prevailing environmental conditions, the predicted shifts in climate across Australian viticultural regions will undoubtedly impact on future winegrape production, grape/wine quality, and profitability (Webb and Jones, 2010). In this context, some vineyards within existing viticultural regions may become economically unviable (White et al., 2006), and regional cultivars and wine styles may become undesirable (Jones et al., 2005).

Several strategies exist to mitigate against the negative impact of increasing temperatures and decreasing rainfall including soil management, irrigation, use of undervine and interrow cover crops (Santos et al., 2020, Marks et al. 2022), canopy management practices (Santos et al., 2020), vineyard cooling strategies (Bayer et al., 2017; Pagay 2018), and use of heat- and drought-tolerant cultivars and rootstocks (Fraga et al. 2012). In semi-arid regions such as the Murray-Darling Basin, supplemental irrigation (i.e. irrigation in excess of rainfall; henceforth termed 'irrigation') is not only essential, but a powerful tool to manipulate canopy development and vigour, yield, fruit composition, and wine quality. Under heatwave conditions, which are becoming increasingly frequent during the grape growing season in inland Australian viticultural regions, irrigation can also aid in mitigating against heat stress in grapevines, and provide ancillary benefits such as maintaining soil structure, soil microbial activity, and cover crop function. A critically important aspect that motivated the present work, increasing ET across Australian viticultural regions have placed increased pressure on freshwater for irrigation, which has compelled irrigators to seek approaches to increase the efficiency of water use, or water use efficiency (WUE) such that greater productivity is obtained from their vineyards through prudent irrigation management. Irrigation scheduling, which refers to the timing and quantum of irrigation water applied to crops, is a useful tool to increase the WUE at the vine and vineyard scales. Strategies such as regulated deficit irrigation (Fereres and Soriano, 2007; Romero et al. 2022) and partial rootzone drying (Dry et al. 2001) have been shown to be useful irrigation scheduling tools to increase the WUE of vineyards.

Irrigation strategies can be broadly categorised as those based on: i) historical applications or experiential; ii) evaporative demand (e.g., crop evapotranspiration, ET_c); iii) soil moisture (either matric potential (tension) or volumetric water content) thresholds; and, iv) plant water status thresholds. A number of review papers have been published that describe each of these approaches in detail; see, for example, Jones, 2004; Cifre et al., 2005, Jones, 2007; Williams, 2017, Fernández, 2017, Rienth and Scholasch, 2019, Mirás-Avalos and Araujo, 2021.

Irrigation scheduling tools are typically based on the interpretation of soil moisture, with their popularity ascribed to the well-established relationship between vine physiological parameters and soil water availability (Centeno et al., 2010). Common methods for soil moisture monitoring generally include a variety of sensors and probes aimed at measuring either volumetric water content (%VWC) or soil matric potential (kPa) (Munoz-

Carpena et al., 2004). However, despite their prevalence, an accurate measure of soil moisture is limited by soil heterogeneity and uncertain rooting depths (Lebon et al., 2003), both of which represent limitations to soil moisture-based irrigation scheduling. In contrast, plant-based methods for irrigation scheduling are thought to take the whole soil-plant-atmosphere into account, and as such, have been suggested as the most direct way to measure plant water stress (Shackel, 2011). There are several techniques for measuring plant water status as described by Fernández (2017); however, for the majority of strategies discussed, a degree of interpretation is required for a given species and cultivar (Collins and Loveys, 2010). In addition, despite being considered effective and accurate detectors of vine water stress, strategies involving plant water status have historically lacked commercial applicability (Jones, 2004), and, until only recently, had largely been confined to the research domain. Presently, only a handful of Australian vineyards utilise plant water status sensors to determine irrigation schedules, and typically these are vineyards > 100 ha (AWRI, 2019). Irrigation scheduling based on evaporative demand involves the quantitative determination of vine water consumption, typically, crop evapotranspiration (ET_c) to dictate how much water needs to be replaced in a subsequent irrigation cycle. One of the strengths of ET-based irrigation scheduling is the ability to quantitatively determine how much water to apply based on the Penman-Monteith energy balance model (Allen et al., 1998). However, this methodology has limitations depending on the method and data used to calculate reference evapotranspiration (ET_0), in addition to obtaining and using accurate crop coefficients (Gautam et al., 2021). Although each of the aforementioned strategies has been successfully used to carry out irrigation scheduling, the efficacy of each of these strategies is dependent on the thresholds used, with the choice of threshold potentially being influenced by factors such as production goals, cultivar traits, and environmental conditions.

Considering that the effectiveness of commonly used decision metrics for irrigation scheduling can be impacted by a range of environmental, production, physiological, and operator-driven factors, it is difficult to compare strategies based on existing research, which has motivated the present work. To the best of our knowledge, the aforementioned irrigation scheduling strategies have not been directly compared against one another in a single study in grapevine that also assesses WUE as a primary objective. We therefore conducted such a study on Cabernet Sauvignon and Shiraz grapevines in the Coonawarra viticultural region of South Australia between 2018-2022. As part of the study, we assessed a variety of continuous soil moisture and plant water status sensors that are commercially available, and utilised these sensors to determine irrigation schedules targeted at increasing leaf and crop WUEs. Furthermore, we utilised high resolution remote sensing to build a spatial and temporal predictive model of vine water status and WUE, which can be utilised to implement precision irrigation in vineyards down to the single vine level.

In a recent review of climate change and viticultural adaptation strategies, Santos et al. (2020) highlight the value in utilising new technologies including drones and automatic plant-based irrigation systems to determine the most appropriate irrigation practices in the future; this foresight was provided two years after the commencement of this study and underscoring its relevance.

3 Project Aims

The following aims and deliverables were defined for each of the four seasons, S1-S4, from 2018 to 2022:

 Table 1: Project outputs and activities, 2018-2022

\$1 - 2018/2019A.Vineyard blocks identified and setup for irrigation trial.Identify trial sites and set up experiment.B.Proximal plant sensors developed and deployed.Deploy plant and soil moisture sensors.C.Identification, purchase, and operation of the UAS-based remote sensing platform.Purchase and tested/used remote sensing equipment.D.Remote, proximal, and direct field data collection.Collect seasonal soil moisture and vine performance data.S2 - 2019/2020A.Validation of plant sensor data against a different level of irrigation.Plant sensor data collected and vine performance data.S2 - 2019/2020A.Validation of plant sensor data against a conventionally-scheduled irrigation.Plant sensor data collected and validated vs direct plant measures.S2 - 2019/2020A.Validation of sensor conventional conventionally-scheduled irrigation.Validated vs direct plant measures.S3 - 2020/2021A.Validation of study through vield, water use efficiency, grape quality and wine quality, and comparison of sensor-driven irrigation vs conventionally scheduled irrigationCollect and analyse grape and wine data.S3 - 2020/2021A.Validation of vine performance yield, water use efficiency, grape quality and wine quality, and comparison of sensor-driven irrigation vs conventionally scheduled irrigationCollect and analyse grape and wine data.S3 - 2020/2021A.Validation of scheduled irrigation vs conventionally scheduled irrigationCollect and analyse grape and wine data.
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4 Materials and methods

4.1 Design of irrigation trials

In 2017/2018, the field trial was conducted at Wynns Coonawarra Estate's Alex88-East vineyard for Cabernet Sauvignon (CAS) on Schwarzmann rootstock. A conventional (non-intervention) irrigation treatment was compared against evapotranspiration (ET)-based, plant status (PWS)-based and soil water status (SWS)-based treatments. In the 2018/2019 season, the irrigation trials were expanded from the existing 2017/2018 trials with clear aim to: i) increase statistical power by replication, ii) understand influence of soil type on water status, and, iii) understand influence of genotype/phenotype on water status. The trial was expanded from AX88-East to AX88-West and Katnook Estate Homestead Block (Shiraz/Teleki 5C). At Katnook, an additional Shiraz block, Prodigy, was monitored for benchmarking purposes as this is the highest quality Shiraz (SHI) vineyard at the estate; no irrigation treatments were applied in this block. In the 2019/2020 and 2020/2021 seasons, irrigation trials were repeated at all three locations. However, based on experience from the 2018/2019 season, the data collection was restricted to two replications (blocks), which was found suitable for available resources. In the fourth and final season, 2021/2022, due to budgetary constraints and already having three years of data from the Cabernet block, the trial was conducted only at Katnook (Shiraz) with additional treatments as mentioned bellow.



4.1.1 Location

Figure 1: The four vineyards in Coonawarra, SA used for this study

The irrigation trials were established at three vineyards: Cabernet Sauvignon/Schwarmann at TWE/Wynns-Alex88-east (Terrarossa soil), TWE/Wynns-Alex88-west (Rendzina soil), and Accolade/Katnook Estate Shiraz/Teleki 5C (Homestead Block) (Terrarossa soil). The Prodigy Shiraz vineyard at Katnook (Terrarossa soil) was observed for benchmarking purpose without any irrigation trial.

Alex88-East (AX88E) and Alex88-West (AX88W) both have CAS planted in the year 1988 with a total area of 17.3 ha. The east side of the vineyard consistently produces higher quality grape/juice in comparison, predominantly due to the soil type. The soil type in the AX88E is the red porous "TerraRossa" soil with an underlying bed of limestone rock. Moving towards the west, the soil gradually transforms into a much heavier and darker clay type called 'Rendzina'. The water holding capacity and regulation of water through these two

soil types result in the different water status of the plant for the same level of irrigation and subsequently, the final quality of the wine. The setup in AX88E and AX88W helped assess the soil and vine water status of the CAS blocks with quite different soil types while keeping the rootstock and scion genotypes, and viticulture practices consistent.

The Katnook vineyard is a younger SHI (on Teleki 5C rootstock) planted in the year 2013 on porous "TerraRossa" soil type. The Prodigy vineyard is an older SHI block, which consistently produces high-quality wine. Vines regulate water differently with the age of the plant, thus resulting in different water status in Katnook and Prodigy for the same irrigation practice. The setup in Katnook and Prodigy will help us understand how the younger SHI at different irrigation treatment compare to the older high-quality SHI. By understanding the age effect, the quality of the younger vines could be improved by co-replicating the water status of the older vines. Moreover, comparing Katnook with Alex88-east, we intend to unravel the differences in water status between the SHI and CAS under similar treatment, terroir, and irrigation practices. We expect to understand the isohydric vs anisohydric behaviour of the plant to cope with the water stress and thus better manage the plant's water use efficiency without compromising the yield and quality of the wine.

4.1.2 Experimental design

All the irrigation trials (Alex88-east, Alex88-west, and Katnook) are set up similarly whereas the Prodigy does not contain any irrigation trials. The experimental design – Randomised Complete Block -- is as shown in Figure 2.



2018-2021 seasons (CAS, SHI)

Figure 2: Experimental designs at Alex88-east, Alex88-west and Katnook.

2021-2022 season (SHI)



Figure 3: Experimental designs at Alex88-east, Alex88-west and Katnook.

The experimental design consisted of two blocks with four different treatment types (Conventional, ET, SWS and PWS). The conventional treatment is where the growers apply as much water they normally do; as such it was a non-intervention treatment. In the other treatments, SWS, PWS and ET, we scheduled irrigation based on quantitative (sensor-based) evidence of soil moisture, plant water status, and evapotranspiration, respectively. Each irrigation treatment in each block was separated by 1-2 buffer vine rows between them. A single treatment consisted of three rows where only the vines from middle row were measured (marked M in Figure 2). Thus, each set of measurement vines had buffers between rows and also within the row to ensure no irrigation overlap between treatments. Except for CONV, all data-driven irrigation treatments used thresholds before each irrigation cycle to decide whether irrigation should be applied.

In the 2020/2021 growing season, the conventional or grower-driven treatment (CONV) received the double the amount of irrigation relative to the previous season (CONV+), which represented an extra treatment. Other treatments SWS, PWS and ET were applied based on the measurement value of soil moisture, canopy conductance, and crop evapotranspiration (ET_c), respectively.

In the 2021/2022 season, in addition to the irrigation treatments applied in the previous season, two treatments (non-altered original grower-driven (CONV) and trunk water potential-based (PWS2)) were also assessed.

4.2 Scientific equipment and sensors

4.2.1 Direct, proximal, and continuous sensors

This category of sensors includes the sensors located on the ground including continuous measurement sensors such as weather station, infrared towers (plant-based sensors, PWS1), and soil moisture sensors (Figure 3).



Figure 4: Direct, proximal, and continuous sensors used in this study of grapevine irrigation

4.2.1.1 Direct and proximal sensors

Multiple direct/proximal sensors were employed for this experiment. The sensors in this category "direct/proximal" include the sensors that required manual operation.

4.2.1.1.1 Pressure chamber

The pressure chamber (Figure 3) is used to measure the pre-dawn leaf water potential and mid-day stem water potential. The pre-dawn measurement is an indication of the total water that is accessible to the plant's rooting system. This information is reflected in the predawn measurement of the water potential. One measurement per plant was made using the pressure chamber between 0230-0500 h.

The midday stem water potential shows the water status of the plant when the plant is transpiring at full potential. For this, a mature leaf per sentinel vine was selected from the shoulder of the vine. The selection criterion for the leaves was neither too young, nor too mature, from within 1/3-2/3 shoot length, without obvious disease/discolouration, and from a full sunlit shoot. The selected leaf was bagged in the aluminium bag, at least 30 minutes before the measurement. The bagging enabled equilibrium of the leaf water potential to the overall water potential of the shoot. The measurement was carried out between 1100–1400 h.

4.2.1.1.2 Portable photosynthesis system

An infrared gas analyser (IRGA; Figure 3) was used to measure leaf gas exchange of sentinel vines: stomatal conductance, rate of transpiration, and net photosynthesis rate, among others. These measurements gives an indication of the plants' physiological performance in response to the given amount of soil water availability and environmental conditions. This measurement was carried out on fully sunlit leaves from the same shoot as the bagged leaf (for stem water potential) using a LI-COR 6400XT infrared gas analyser, between 1100–1400 h.

4.2.1.1.3 Ceptometer

An Accu-PAR LP-80 ceptometer (Figure 3) was used to measure the canopy light interception. The light interception measurements included the canopy porosity (T) and the leaf area index (LAI). Canopy porosity

gives an indication of the amount of light penetrating the canopy reaching the fruits, which is also a good predictor of anthocyanin in the berries. The LAI is a measure of the number of leaves per square meter of the area. These measurements were also made at solar noon (1230-1400 h).

4.2.1.1.4 Crop coefficient measurement

A Paso-panel (Figure 3) was custom-built to measure the crop coefficient (K_c) of the canopy. The K_c is a measure of the canopy size to the vineyard macrostructure such as fractional cover. This crop coefficient, together with reference evapotranspiration (ET₀), is used to estimate crop evapotranspiration (ET_c), which is one of the irrigation strategies (treatments) evaluated in this study. Using an empirical relationship, K_c is estimated indirectly based on the sunlight intercepted by the Paso-panel (a flexible solar panel) when in the full sun vs when under the canopy (partially shaded). These measurements were also made at solar noon (1230-1400 h).

4.2.1.2 Continuous sensors

The continuous sensors employed in this trial include Transp-IR thermography sensors (Athena Irrigation Technologies, Adelaide, SA), soil moisture sensors (Teros-12, METER, Pullman, WA, USA), BOM weather station, and microtensiometers (FloraPulse, USA). These instruments measured data continuously without the necessity of operators, and the data was accessed remotely through various Cloud-based interfaces.

4.2.1.2.1 Thermography towers

Proximal infrared (IR/thermal) 'Transp-IR' (Gen 1) sensors were obtained from Athena Irrigation Technologies (Adelaide, SA) for continuous measurement of vine water status. Four sensors per vineyard were tested and deployed in the three trial sites in early January 2020. The sensor platform consists of sensor nodes (Figure 4.a) that are mounted over a wooden post (Figure 4.b), a temperature-humidity sensor for VPD measurement (Figure 4.c). The sensor nodes collect continuous canopy temperature as well as VPD data and transmit the data using 4G mobile network to an Amazon Web Services (AWS) Cloud platform. Each sensor node acquires canopy temperature from two vines per node on both sides of the canopy, as well as in-canopy temperature and humidity for the estimation of ambient VPD. The sensor data is collected every 10 min and a daily value of vine water index (VWI), a thermal water stress indicator, was provided as a measure of vine water status/stress. The data visualisation and download occurred through a web-based interface (dashboard).



Figure 5: 'Transp-IR' continuous water status sensors (Athena IR-Tech, Adelaide, SA).



Figure 5: Dashboard providing data visualisation of Transp-IR sensor data. The Vine Water Index (VWI) is shown graphically as a coloured scale bar on the top of the dashboard. Individual sensor data is shown below the scale bar along with canopy temperature, ambient temperature, relative humidity, and solar radiation.

Continuous measurements of canopy temperature and VPD were used to derive an index of water stress, Vine Water Index (VWI). The VWI was mapped onto traditional metrics including g_s and Ψ_{stem} for validation. This mapping allowed the determination of thresholds of VWI based on known or established g_s or Ψ_{stem} thresholds to maximise WUE, yield or grape quality. In doing so, growers can target specific objectives of individual blocks through VWI thresholds (optimum ranges).

Optimised VWI thresholds to maintain 80-85% of the maximum photosynthetic rates (A_N) were derived from the non-linear relationships between g_s and A_N per cultivar. The VWI values were related to g_s through correlation analysis using data measured over the growing season under a range of vine water status.

4.2.1.2.2 Soil moisture sensors

In addition to the surrogate soil moisture, the pre-dawn leaf water potential measurement or matric potential, actual soil volumetric water content was measured using a network of soil moisture sensors. These sensors (TEROS-12, Meter Group, Pullman, WA, USA) were installed 30 cm below the ground and measured three parameters: soil salinity, soil temperature, and volumetric water content (%). One soil moisture sensor was installed per vineyard in each SWS treatment. The soil moisture was logged hourly onto an online dashboard (Greenbrain, MEA, Adelaide, SA).

4.2.1.2.3 Weather station

Daily meteorological data was acquired from the Bureau of Meteorology local weather station (Coonawarra Station ID: 94817) that was in proximity to the field (approximately 1 km south of the CAS block and 5 km north of the SHI block). The meteorological data included the daily minimum and maximum temperatures, minimum and maximum humidity, total solar radiation, and precipitation. This data, together with the K_c was used to compute ET_c.

4.2.1.2.4 Microtensiometers

Microtensiometers (Figure 6) were embedded into the trunk of SHI and CAS grapevines as described by Pagay et al., (2021). The data of trunk water potential was obtained every 20 min wirelessly transmitted to a Cloudbased server (Amazon Web Services, USA) and visually displayed on a user interface (FloraPulse, Davis, CA, USA).



Figure 6: Novel direct plant microtensiometers (FloraPulse) used during 2020/21 season for measuring trunk water potential

4.2.2 Remote sensing equipment

4.2.2.1 The unmanned aerial vehicle

A DJI Matrice 600 Pro hexacopter was used as the sensor carrier for this research. This UAV offered a flight time of about 16-20 mins and payload capacity of about 6 kg, easily accommodating the sensor payload which included a thermal, a multispectral, and an RGB camera (see Figure 7).



Figure 7: The UAV platform and the sensors for the remote sensing of vine water status.

The flight planning and data capturing strategy were determined before the field campaign. The flights took place at a height of 30 m in a regular mapping ("lawnmower") flight pattern. The camera was programmed to capture images once every second (maximum frame rate). The speed of the flight (3 m/s) was set to match with the image capture rate such that at least 80% forward overlap between subsequent images were achieved. The separation of the subsequent flight strips was set such that over 70% side overlap was achieved. With 80%, forward and 70% side overlap, the images were expected to produce high resolution maps via mosaicking (photogrammetry). Furthermore, consideration was provided to the total flight time (14 min battery life) balancing out with the flight altitude. For higher flight altitudes, the UAV could fly faster without compromise in the image overlap and complete the mission in a shorter time. The selected parameter was a compromise between the desired overlap, the maximum operating rate of the camera, the life of the battery and the size of the site. The flight planning and the flight operation was performed in the DJI Ground Station Pro software application (Fig. 8).



Figure 8: DJI GS Pro software to plan the UAV flight and capturing of the remote data.

The use of independent cameras (thermal, multispectral, and RGB) requires spatial overlay between the output products (e.g. maps) such that correct plants are observed in each map. For this, we use two-step

processing to acquire maps with correct geographic location and with correctly overlayed layers. The first step is to use a GNSS antenna onboard the UAV that can provide a spatial reference to each image of at least one camera. The GNSS antenna of the multispectral camera was capable of geotagging the multispectral images. As a result, the products from the multispectral images were in a correct geographic location. The images were acquired in WGS84 coordinate frame, and the post-processed products were derived in MGA54 projected coordinate frame. The second step set in the field was to have permanent control points on the field. For this, we hammered about 15 wooden pegs (sized 35 x 5 x 5 cm) on each of the test sites, and manufactured ground targets (sized 30 cm diameter). The ground targets were placed at the peg location, for each of our field campaigns. This way, the targets are captured by all the cameras, and hence are used as tie-points to align and overlay the maps from the cameras.

4.2.2.2 RGB camera

A full-frame RGB camera (Sony α 7RIII) was used to capture the high-resolution natural colour images from the UAV. The camera had a wide field of view of 65.3° x 46.4°, the focal length of 28 mm and the CCD chip had 7952 x 5304 pixels. Given the camera parameters, the proposed flying height resulted in a single image coverage of 38.5 x 25.7 m with a spatial resolution of 0.5 cm (at 30 m altitude). This level of spatial resolution was adequate to capture single leaves at high resolution, and for our application, which requires measurement at a single vine canopy level.



Figure 9: Typical single RGB image captured from a UAV. Note that leaf-level spatial resolution is achievable.

4.2.2.3 Multispectral camera

A five-band multispectral camera (MicaSense RedEdge-M) was used to co-capture the multispectral images. The five discrete bands captured five independent images at blue (475 nm), green (560 nm), red (670 nm), red edge (720 nm), and near infrared (840 nm) region of the electromagnetic spectrum. The camera had a field of view of 47.9° x 36.9°, focal length of 5.4 mm, and each discrete CCD chip had 1280 x 960 pixels. The resulting image for the proposed flying height had coverage of 26.7 x 20 m with a spatial resolution of 2.1 cm (at 30 m altitude).



Figure 10: A typical single multispectral image. Note that the camera captures a discrete image for each discrete band.

4.2.2.4 Thermal camera

Thermal images were captured using a FLIR Tau2 640 core with TeAx acquisition board. The sensor captured, at $7.5 - 14 \mu$ m, the latent heat coming to the sensor from the scene. The camera had the field of view of 45.4° x 37° , the focal length of 13 mm, and contained 640 x 520 microbolometers arranged as pixels. The resulting thermal image for the proposed flying height had coverage of 25.1×20.1 m with a spatial resolution of 3.9 cm. The achievable resolution is sufficient for our application to measure a single canopy. At 3.9 cm resolution, a single canopy will still have several hundred pixels allowing accurate measurement of the pure canopy temperature.



Figure 11: Typical single thermal image. Note the thermal image requires image-stretching techniques for visualisation purpose. The raw thermal image appears completely dark to human eyes.

4.2.3 Thresholds for irrigation scheduling

The four irrigation strategies used measurement of different factors to drive the irrigation. The strategies resulted in different total irrigation volumes (per season) as well as different times or schedules of irrigation each week. The decision to irrigate was based on historical (CONV, CONV+), measured (SWS, PWS) or calculated (ET) information. A set of thresholds based on quantitative measurement values were set for each of the non-control treatment. For each irrigation cycle, the measurements are compared against the predetermined threshold. Treatments were irrigated only, if the quantitative measurements dropped below the predetermined threshold (see below for details).

4.2.3.1 Conventional

The CONV irrigation treatment is the 'control' group of this study, which was irrigated by the growers without intervention by the trial researchers. The irrigation amount and timing incorporated a combination of historical irrigation schedules, weather conditions, visual inspection of the vines and occasional soil moisture measurements. In the 2020-21 growing season, due to the high levels of water stress observed in the CONV vines during the previous season (2019-20), the amount of irrigation was doubled for the CONV irrigation treatment (CONV+) to ensure the vines were well-watered. In the 2021/2022 season, both CONV and CONV+ treatments were compared with other sensor-driven treatments.

4.2.3.2 Plant water status

The PWS treatment received irrigation based on the measurement of plant water status specifically the VWI value derived from the Transp-IR towers. These VWI values corresponded to canopy conductance (g_c) values of between 120-140 mmol H₂O/m²/s. This threshold range was established from a preliminary study at the trial site during the 2017-2018 season targeted at achieving 80-85% of maximum photosynthesis of each cultivar.

4.2.3.3 Crop evapotranspiration

The crop evapotranspiration method aimed to replace the water loss from the vineyard via the evaporation and transpiration. Based on the measurement of the plant crop coefficient (K_c) and meteorological data (ET_0), the ET_c was computed weekly. This computation was the basis of the irrigation decision for each irrigation cycle. Following deficit irrigation strategies in literature and experience from the region and specific vineyard where 40-50% ET_c was used for the CONV treatment, we maintained the deficit irrigation for the ET treatment at 15% ET_c from fruit set to véraison, and 25% ET_c from véraison to harvest.

4.2.3.4 Soil water status

The SWS treatment aimed to maintain the soil volumetric water content throughout the season. This threshold was determined from the capacitance probe historical data. The threshold was set at 30% below the historical volumetric water content of the vineyards, which was around 33% VWC. The resulting volumetric water content threshold to trigger the irrigation in the SWS treatment was 21% in both vineyards, CAS and SHI.

4.3 Data collection time points

We aimed to acquire direct, proximal and remote sensing field data at key phenological stages of vine development. The purpose of the data was two-fold: a) to decide on the irrigation of the particular treatments, and b) to monitor the performance of the vine as a result of the different irrigation practices. Table 2 shows the data collection time points corresponding to different phenological stages at each season for CAS and SHI.

Phenological stage of growth	2018 – 2019	2019 – 2020	2020 – 2021	2021-2022			
Winter rainfall May-August, mm	334.2	272.6	272.5	256.6			
	Cabernet Sauvignon						
EL stage Budburst (BB) – 4 Flowering (F)– 19 Fruit set (FS)– 27 Pea sized (P)– 31	BB – 2nd Oct 2018 F – 20 th Nov 2018 FS– 12th Dec 2018 P– 16th Jan 2019 V – 12th Feb 2019 PH – 7th Mar 2019 H – 11th Apr 2019	BB – 24th Sept 2019 F – 18 th Nov 2019 FS – 17th Dec 2019 P – 13th Jan 2020 V – 5th Feb 2020 PH – 10th Mar 2020 H – 8th Apr 2020	BB – 21st Sept 2020 F – 20 th Nov 2020 FS – 7th Dec 2020 P – 4th Jan 2021 V – 9th Feb 2021 PH – 18th Mar 2021 H – 14th Apr 2021				
Veraison (V)– 35 Preharvest (PH)–	Shiraz						
37 Harvest (H)– 38	BB – 30th Sept 2018 F – 5th Dec 2018 FS – 12th Dec 2018 P – 27th Dec 2019 V – 5th Feb 2019 PH – 7th Mar 2019 H – 21st Mar 2019	BB – 30th Sept 2019 F – 7th Dec 2019 FS – 19th Dec 2019 P – 30th Dec 2020 V – 10th Feb 2020 PH – 9th Mar 2020 H – 17th Apr 2020	BB – 17th Sept 2020 F – 20th Nov 2020 FS – 7th Dec 2020 P – 14th Dec 2021 V – 2nd Feb 2021 PH– 1st Mar 2021 H – 31st Mar 2021	F- 30 th Nov 2021 P- 30 th Jan 2022 V- 22 nd Feb 2022 PH- 18 th Mar 2022 H- 06 th Apr 2022			

Table 2: Data collection time points at different phenological stages at each season.

4.4 Methodology and processing

4.4.1 Ground data methodology and processing

All the ground data was sorted by date, treatment, block and vine number. A pre-established empirical equation was used for the computation of crop coefficient. Data was statistically analysed by two-way ANOVA using GraphPad Prism 8 Prism software.

4.4.2 Harvest, yield components, and pruning

At harvest, yield parameters such as weight of fifty berries, number of clusters per vine, total bunch weight and cordon length were measured on eight vines per treatment. Vines were pruned later in the season and pruning weight was measured in each vine.

4.4.3 Winemaking

After harvesting, clusters were randomly selected from eight different vines per treatment and they were mixed for winemaking. Twelve small-scale ferments (one 5 L ferment per treatment per vineyard) were prepared according to the following procedure developed by the University of Adelaide. Fruits were crushed using a mechanical crusher de-stemmer and 20 ml of a 2% SO₂ solution was added. Diammonium phosphate was added at 100 ppm to each must before inoculating with the yeast (Lalvin EC-1118 *Saccharomyces cerevisiae bayanus*, Lallemand, Denmark) at 300 mg/L. All ferments were incubated in a temperature-controlled room at 22 °C and skins were hand-plunged twice a day. After two weeks juice was extracted using a basket press and wines were racked after a week. Malolactic fermentation was initiated by inoculating the

wine with lactic acid bacteria (strain). Wines were bottled after adding sulphur dioxide (30 ppm) and they were stored at 15 °C until chemical composition analyses was performed.

4.4.4 Berry, juice and wine chemical analyses

All fruit chemical analyses were done on per vine basis. The following chemical parameters were determined as described below.

4.4.4.1 pH/titratable acidity (TA) and total soluble solids (TSS)

TSS was measured using a refractometer. pH and TA were simultaneously assessed using an autotitrator (Mettler Toledo T50).

4.4.4.2 Colour/hue analysis

In order to identify colour and hue of grape juice and wine, absorbance at 420 and 520 nm wavelengths were measured against a blank using a UV/Vis spectrophotometer. A glucose solution (20%) with 1 g tartaric acid was used as a blank for grape juice, whereas 10% ethanol was used for wine. Colour density and hue/tint were calculated using the following formulae:

Colour density =
$$A_{520} + A_{420}$$

$$Hue/Tint = A_{420}/A_{520}$$

4.4.4.3 Total anthocyanin

Anthocyanin content was determined by measuring the differential absorbance at 520 nm between wines at pH 1.0 and pH 4.5. Ten-fold diluted samples were prepared using pH 1.0 and pH 4.5 buffers and absorbance at 420 and 520 nm were measured following a 1 hr of dark incubation. Total anthocyanin concentration was calculated as malvidin chloride 3, 5-diglucoside (equivalents) using the following formula.

4.4.4.4 Total phenolics

Two microliters of the sample (or 20 μ l of the 10:1 diluted sample), 1.58 ml of distilled water and 100 μ l of Folin & Ciocalteu's phenol reagent were added to a glass cuvette and after 1 min incubation at room temperature, 300 μ l of sodium carbonate solution was added. The solution was incubated at 40 °C for 30 min under dark and absorbance was measured at 765 nm against a blank. Total phenolics were then quantified using a Gallic acid standard curve and expressed in mg/L of gallic acid equivalents (GAE).

4.4.5 Remote sensing data methodology and processing

4.4.5.1 Mosaicking

Three cameras (multispectral, thermal, and RGB) were used to co-acquire aerial imagery of the vineyards at four phenological stages (Flowering (EL-19), PeaSize (EL-31), Veraison (EL-35), and Pre-harvest (EL-37)). Initially, the photogrammetric software, Agisoft Metashape, was used to mosaic the series of images to create a map.

The RGB images were mosaicked using a standard workflow of image alignment followed by camera calibration, dense point cloud generation, 3D model generation, and orthomosaic generation. The output from the RGB images include orthomosaic and report for each orthomosaic. Since the bands presented in the RGB are a subset of the multispectral camera, the multispectral products sufficed our necessity and hence the RGB products were not used in further processing.

The Multispectral images were mosaicked using the workflow recommended by the Agisoft Metashape. The workflow includes in following order: a) creating multi-spectral images, b) image alignment, c) camera calibration, d) dense point cloud, e) classification of pointcloud, f) DEM generation, g) DSM generation, and h)

orthomosaic generation. The multispectral camera generated most of the basic products for this project. The output from a multispectral camera included 12 sets of the following products: a) georeferenced orthomosaic, b) digital elevation model, c) digital surface model, d) 3D pointcloud, e) processing report.

The thermal camera was new to the processing chain requiring a unique workflow. Firstly, the thermal camera in *.TMZ format was converted to raw image files. Using a batch processing script the *.TMZ file captured by the camera were converted to raw *.TIFF file along with the metadata encoded in a *.CSV file. The image files were then processed in Agisoft Metashape to generate thermal maps. The thermal images contained value of temperature data encoded in 14-bit format. As the variation in temperature between the scenes was not minimal, thermal images appeared completely dark when viewed in raw. This resulted in minimum, at best, match points between the consecutive images, failing image alignment. As a solution, raster transformation was applied before processing the images in Agisoft Metashape and reverted before exporting products out of Metashape. The processing workflow of the thermal images in Agisoft Metashape included: a) raster transformation, b) image alignment, c) camera calibration, d) dense point cloud generation, e) 3D model generation, f) orthomosaic generation, g) reset of raster transformation, h) exporting of products. The products from the thermal images included the orthomosaic and processing report.

The orthomosaic, DEM, and DSM from the multispectral camera and the thermal orthomosaic were processed further within the scope of this project. Whereas, the processing of the RGB orthomosaic and multispectral 3D pointcloud were considered of low priority.

4.4.5.2 Georeferencing

The multispectral camera had a functional GPS antenna encoding a navigation-grade position to each of the images. This information is exploited to georeference the multispectral images within the Agisoft Metashape via direct georeferencing, whereby, all the products from the multispectral camera were in projected MGA 54 projected coordinate frame.

The thermal orthomosaics were not georeferenced to any coordinate frame, due to the lack of functional GPS antenna. In this process of georeferencing, the thermal orthomosaic were georeferenced on top of the multispectral orthomosaic. For this, the GCPs spread across the scene were used as the common tie-points between two orthomosaics. Using a polynomial fit, the thermal orthomosaics were fitted to the multispectral orthomosaics in ArcGIS software.

4.4.5.3 Atmospheric radiometric correction

The thermal and multispectral images were captured at various time point throughout the season. As each time point was environmentally different, we required a calibration to account for changing environmental conditions between different days and times of the day. This calibration corrects for environmental factors such as high/low solar illumination and VPD, and highlights the plants spectral/thermal signal. For this, four shaded standard calibration panels were used in the field for each of the flight. The calibration panels were sized (45 x 45 cm) such that they are visible and had sufficient pixels (over 50) representing a single panel. The calibration panels were manufactured using black enamel paint and white BaSO₄ following a prescribed mixing ratio, coating thickness, and number of coats. The panels were four shade of grey: a white (90% white and 10% black), light grey (70% white and 30% black), dark grey (30% white and 70% black) and black (10% white and 90% black) (see Figure 5). For spectral calibration, the panel reference spectra were measured, at the start and the end of the season, on the ground using an ASD Handheld2 spectroradiometer. On each flight, the multispectral camera captured few snaps of the panels. Using the reference spectral profile (measured on the ground), the calibration was applied to the raw spectral profile (acquired during flight).



Figure 6: The four calibration panels: White (90% white, 10% black), Light Grey (70% white, 30% black), Dark Grey (30% white, 70% black), Black (10% white, 90% black) along with the Spectralon panels (calibrated and non-calibrated), and the ASD handheld2 spectroradiometer used to acquire the spectral calibration data. The reflectance profile of the four calibration panels is displayed in the right pane.

The shaded panel also develops unique temperature when placed under the sun. This was exploited to calibrate the thermal camera using the same calibration panels prepared for the multispectral. The panels were placed in the field, under full sun, at least 45 minutes before the flight. By about 45 minutes, each panel reached a unique and stable temperature. For a typical day, the observed difference between the white and black panel was in the order of 30° C. During the flight, the thermal camera captured several images of the panels whenever the panels were in the field of view. Concurrently, on the ground, the panel temperature was measured continuously using a high accuracy Fluke IR temperature gun. Using the known panel temperature (measured on the ground), the calibration was applied to the raw thermal data (acquire during flight).

4.4.5.4 Single canopy data extraction

Following the radiometric/atmospheric calibration of the georeferenced orthomosaics, single canopy level data was extracted. For this, a global mask was applied to the orthomosaics. The mask incorporated the normalised difference vegetation index (NDVI) and the canopy height thresholds to remove any non-canopy pixels from the orthomosaic (see Figure).

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Figure 13: The masked multispectral (left pane) and thermal (right pane) orthomosaics from Alex88-east at EL-35. Note the masking is performed using the NDVI threshold (0.4) and the canopy height threshold (1 m).

From the masked multispectral and thermal orthomosaic, single canopy (vine-level) data was extracted by using the ArcGIS/QGIS Zonal Statistics tool. For zonal statistics to be applied, the vines within the scene were

digitised using a grid (in Q-GIS) where one polygon represented the vine space (row x vine spacing) for a single canopy (see Figure).



Figure 14: The digitised canopy space for the Katnook Homestead block at EL-31. Note each of the rectangular polygons represents the vine space for a single canopy. The gap between two polygons is used as inter-canopy 20 cm buffer.

4.4.5.5 Identification of key indices

Numerous structural, spectral, thermal, and composite indices were computed from the remote sensing data. These indices were, at a later stage, used for spatial prediction of grapevine ecophysiology including stomatal conductance, net photosynthesis, predawn and stem water potentials, crop coefficient, and LAI.

4.4.5.5.1 Structural indices

From the single vine data, the following structural properties of each canopy were extracted and structural indices computed (see Table):

SN	Name	Description	Formula
1	p_height	Mean height of the canopy	n/a
2	area	The top surface area of the canopy	n/a
3	width	Width of the canopy	n/a
	P_fraction	Fractional cover of the canopy	area/(rowSpacing*vineSpacing)
		within the vine space	

Table 3: Structural properties and indices computed using remote sensing

4.4.5.5.2 Spectral indices

Various spectral indices were computed using the five bands of the multispectral images (see Table 4).

Table 4: The spectral indices computed using the MicaSense RedEdge-M. Note b = Blue, g = Green, r = Red, nir = near-infrared, re = RedEdge.

SN	Name	Description	Formula
1	CAR	Chlorophyll absorption ratio	((re-b)*r+r+(g-((re-b)*r)*g))/np.sqrt(((re- b)*r)**2)
2	CAR1	Chlorophyll absorption ration#1	CAR*re/r
3	GI	Greenness index	g/r

4	GNDVI	Green normalised difference vegetation index	(nir-g)/(nir+g)
5	NDVI	Normalised difference vegetation index	(nir-r)/(nir+r)
6	MCARI	Modified chlorophyll absorption in reflectance	((re-r)-0.2*(re-g))*(re/r)
7	MCARI1	Modified chlorophyll absorption in reflectance#1	1.2*(2.5*(nir-r)-1.3*(nir-g))
8	MCARI2	Modified chlorophyll absorption in reflectance#2	MCARI1/np.sqrt((2*nir+1)**2-6*(nir-5*r)-0.5)
9	MSAVI	Modified soil adjusted vegetation index	(1/2)*(2*nir+1-np.sqrt((2*nir+1)**2-8*(nir-r)))
10	OSAVI	Optimised soil adjusted vegetation index	(1+0.16)*(nir-r)/(nir+r+0.16)
11	SRI	Simple ratio index	nir/g
12	MSR	Modified simple ratio	((nir/r)-1)/(np.sqrt((nir/r)+1))
13	MTVI	Modified triangular vegetation index	1.2*(1.2*(nir-g)-2.5*(r-g))
14	PCD	Plant canopy density	nir/r
15	PRI	Photo-chemical reflective index	(g-b)/(g+b)
16	RDVI	Renormalised difference vegetation index	(nir-r)/np.sqrt(nir+r)
17	TCARI	Transformed chlorophyll absorption reflectance index	3*((re-r)-0.2*(re-g)*(re/r))
18	TCARI/OSAVI		TCARI/OSAVI
19	VDVI	Visible-band difference vegetation index	(2*g - r - b)/(2*g + r + b)
20	ENDVI#1	Enhanced normalised difference vegetation index#1	((nir+g)-2*b)/(nir+g+2*b)
21	ENDVI#2	Enhanced normalised difference vegetation index#2	((nir+g)-2*r)/(nir+g+2*r)
22	ENDVI#3	Enhanced normalised difference vegetation index#3	((nir+g)-r-b)/(nir+g+r+b)

4.4.5.5.3 Thermal indices

Various thermal indices were computed using the five bands of the multispectral images (see Table).

Table 5: The indices computed using the thermal data.

SN	Name	Description	Formula
1	Тс	Mean temperature of the canopy	n/a
2	Tc/Ta	Canopy to atmospheric temperature ratio	Tc/Ta

3	Tc-Ta	Canopy to the atmosphere temperature	Тс-Та
		difference	
4	CWSI	Crop water stress index	(Tc-Twet)/(Tdry-Twet)
5	ig	Crop water stress index	(Tdry-Tc)/(Tc-Twet)
6	i3	Crop water stress index	(Tc-Twet)/(Tdry-Tc)

4.4.5.5.4 Composite indices

Various thermal indices were computed using the five bands of the multispectral images (see Table 6).

Table 6: The composite indices computed using the thermal, spectral, and structural indices.

SN	Name	Description	Formula
1	cum_ndvi	Cumulative NDVI for entire canopy	NDVI*area
2	TVDI	Temperature vegetation dryness index	Tc/NDVI
3	TVDI#1	Temperature vegetation dryness index#1	(Tc-Ta)/NDVI
4	TVDI#2	Temperature vegetation dryness index#2	CWSI/NDVI
5	TVDI#3	Temperature vegetation dryness index#3	ig/NDVI
6	TVDI#4	Temperature vegetation dryness index#4	i3/NDVI

4.4.6 Financial Analysis

The following economic parameters were calculated for the last 3 seasons (2018-2021): Economic Productivity (EP), Economic Water Productivity (EWP), unit production cost, total operational cost, income, cash flow, Net Present Value (NPV), Internal Rate of Return (IRR), Pay-back Period (PB) and total margin.

$$EP = \frac{Gross margin (\$)}{Total yield (t)}$$
$$EWP = \frac{Gross margin (\$)}{Total irrigation water (ML)}$$
$$BP = \frac{Total operational costs (\$)}{Total yield (t)}$$

Discounted cash flow analysis (DCFA) was undertaken in order to investigate each irrigation strategy based on estimated future farm returns to calculated the net present value (NPV):

$$NPV = -k + \sum_{t=1}^{n} \frac{CF_n}{(1+i)^n}$$

See the attached paper in the Appendix for details on these financial parameters as well as model assumptions.

4.4.7 Vineyard Irrigation Survey

A survey was conducted to understand the irrigation practices of grapevine growers in the Limestone Coast viticultural regions. This survey was launched on the 14th of June, 2021 and closed on the 23rd of August, 2021. Growers were asked about their current operation, irrigation practices, use of data to inform irrigation scheduling, and awareness of water status sensors and their value.

5 Results and discussion

5.1 Seasonal irrigation

Table 7 shows the total irrigation, in ML/ha, supplied to all irrigation treatments across the three vineyards.

	AX88-E			AX88-W			Katnook			
Season	S1	S2	S3	S1	S2	S3	S1	S2	S3	S4
CONV	0.6	0.55	0.55	0.45	0.46	0.35	-	0.936	1.2	0.72
CONV+	-	-	1.01	-	-	0.7	-	-	2.39	1.43
ET	0.3	0.4	0.21	0.14	0.34	0.21	-	0.672	0.5	0.57
PWS1	0.3	0.44	0.37	0.14	0.31	0.25	-	0.672	0.54	0.42
PWS2	-	-	-	-	-	-	-	-	-	0.62
SWS	0.6	0.34	0.34	0.45	0.37	0.25	-	0.552	0.53	0.59

Table 7: Total irrigation (ML/ha) supplied across the three vineyards during each season.

As shown in Table 8, the evidence-based strategies reduced the amount of irrigation applied significantly relative to conventional treatment.

Table 8: Irrigation supplied as a percentage of CONV treatment across the three vineyards during each season.

	АХ88-Е			AX88-W			Katnook			
Season	S1	S2	S3	S1	S2	S3	S1	S2	S3	S4
ET	50	73	38	31	74	60	-	72	42	79
PWS1	50	80	67	31	67	71	-	72	45	58
PWS2	-	-	-	-	-	-	-	-	-	86
SWS	-	62	62	100	80	71	-	59	44	82

5.2 Soil moisture and vine performance measured via proximal sensing

5.2.1 Seasonal soil moisture

Soil moisture content significantly varied across three different vineyards and between vines irrigated according to different sensor-driven schedules.

2018/2019 season

In both Alex88-East and Alex88-West, no statistically significant differences were observed in Ψ_{pd} between irrigation treatments at the pre-veraison stage. However, during post-veraison stage, Ψ_{pd} was significantly lower in both ET and PWS vines relative to CONV (Figure 19). In Alex88-east, percentage of volumetric water content (%VWC) of ET vines were slightly higher that CONV vines throughout the season, whereas PWS vines exhibited lower VWC relative to CONV. In contrast, both ET and PWS vines exhibited lower VWC compared to CONV vines. The SHI vines in Prodigy vineyard had consistently higher soil moisture levels compared to the treatment block (Fig 19e).



Figure 19: Variations in predawn water potential (Ψ_{pd}) (a, c, e) and percentage of volumetric water content (%VWC) (b, d, f) in CAS and SHI in response to different irrigation treatments. Values are means \pm SEM of 4 biological replicates. Statistical analysis was conducted using two-way ANOVA. Asterisks indicate statistically significant differences (P<0.05) between pre- and post-veraison stages. Lowercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at pre-veraison stage. Uppercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at post-veraison stage.

2019/2020 season

Similar to the previous season, no statistically significant differences were observed in Ψ_{pd} between irrigation treatments in both Alex88-east and Katnook vines before veraison. After veraison, Ψ_{pd} was significantly higher in ET, PWS and SWS vines in Alex88-east relative to CONV vines. However, any irrigation treatment in Katnook, did not cause any differences in Ψ_{pd} after veraison. Even though, PWS and SWS vines in Alex88-west exhibited similar Ψ_{pd} to CONV vines before and after veraison, their Ψ_{pd} was significantly lower throughout the season relative to ET vines (Figure 20). The soil moisture levels in the Prodigy block were similar to the treatment block.



Figure 20: Variations in predawn water potential (Ψ_{pd}) (a, c, e) and percentage of volumetric water content (%VWC) (b, d, f) in CAS and SHI in response to different irrigation treatments. Values are means \pm SEM of 8 biological replicates. Statistical analysis was conducted using two-way ANOVA. Asterisks indicate statistically significant differences (P<0.05) between pre- and post-veraison stages. Lowercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at pre-veraison stage. Uppercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at post-veraison stage.

2020/2021 Season

In Alex88-east, Ψ_{pd} was similar in ET and SWS vines relative to CONV vines before the veraison, whereas PWS vines showed significantly lower Ψ_{pd} . The post-veraison Ψ_{pd} in PWS and SWS was similar to CONV, but ET vines displayed significantly lower Ψ_{pd} compared to CONV. In Alex88-west, PWS and SWS vines had significantly lower pre-verasion Ψ_{pd} values relative to CONV, but after veraison, Ψ_{pd} was significantly lower in ET and sws treatments compared to CONV. In SHI in Katnook, pre-veraison Ψ_{pd} did not change in response to different irrigation treatments, however, Ψ_{pd} in ET, PWS and SWS vines after veraison were markedly lower relative to CONV (Figure 21).



Figure 21: Variations in predawn water potential (Ψ_{pd}) (a, c, e) and percentage of volumetric water content (%VWC) (b, d, f) in CAS and SHI in response to different irrigation treatments. Values are means \pm SEM of 8 biological replicates. Statistical analysis was conducted using two-way ANOVA. Asterisks indicate statistically significant differences (P<0.05) between pre- and post-veraison stages. Lowercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at pre-veraison stage. Uppercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at post-veraison stage.

2021/2022 Season

Unlike previous seasons, different irrigation treatments caused significant variations in Ψ_{pd} in SHI. For instance, before and after veraison, Ψ_{pd} was significantly lower in ET, PWS1, PWS2 and SWS vines relative to CONV, CONV+ and Prodigy vines (Figure 22). The Prodigy block had consistently higher soil moisture levels compared to the treatment block.



Figure 22: Variations in predawn water potential (Ψ_{pd}) (a, c, e) and percentage of volumetric water content (%VWC) (b, d, f) in SHI in response to different irrigation treatments. Values are means ± SEM of 8 biological replicates. Statistical analysis was conducted using two-way ANOVA. Asterisks indicate statistically significant differences (P<0.05) between pre- and post-veraison stages. Lowercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at pre-veraison stage. Uppercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at post-veraison stage.

5.2.2 Seasonal light interception and vine vigour (Leaf area index and porosity)

In Alex88-East and Alex88-West, no statistically significant differences in LAI and porosity were observed between irrigation treatments both at pre- and post-veraison stages across three seasons (2018/2019, 2019/2020 and 2020/2021). Even though it was consistently observed across three seasons that the LAI and porosity in SHI at Katnook were similar between irrigation treatments both before and after veraison (except in 2020/2021 season), Prodigy vines always produced relatively smaller canopy sizes and higher porosity levels (Figure 23, 24, 25 and 26). The high porosity in Prodigy may have been a contributing factor to the high grape composition and quality in that block.

2018/2019 season



Figure 23: Changes in Leaf Area Index (LAI) (a, c, e) and porosity (b, d, f) in CAS and SHI in response to different irrigation treatments. Values are means ± SEM of 8 biological replicates. Statistical analysis was conducted using two-way ANOVA. Asterisks indicate statistically significant differences (P<0.05) between pre- and post-veraison stages. Lowercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at pre-veraison stage. Uppercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at post-veraison stage.

2019/2020 season



Figure 24: Changes in Leaf Area Index (LAI) (a, c, e) and porosity (b, d, f) in CAS and SHI in response to different irrigation treatments. Values are means ± SEM of 8 biological replicates. Statistical analysis was conducted using two-way ANOVA. Asterisks indicate statistically significant differences (P<0.05) between pre- and post-veraison stages. Lowercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at pre-veraison stage. Uppercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at post-veraison stage.

2020/2021 season



Figure 25: Changes in Leaf Area Index (LAI) (a, c, e) and porosity (b, d, f) in CAS and SHI in response to different irrigation treatments. Values are means ± SEM of 8 biological replicates. Statistical analysis was conducted using two-way ANOVA. Asterisks indicate statistically significant differences (P<0.05) between pre- and post-veraison stages. Lowercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at pre-veraison stage. Uppercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at post-veraison stage.

2021/2022 season



Figure 26: Changes in Leaf Area Index (LAI) (a, c, e) and porosity (b, d, f) in SHI in response to different irrigation treatments. Values are means \pm SEM of 8 biological replicates. Statistical analysis was conducted using two-way ANOVA. Asterisks indicate statistically significant differences (P<0.05) between pre- and post-veraison stages. Lowercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at pre-veraison stage. Uppercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at post-veraison stage.
5.2.3 Seasonal evapotranspiration (ET_0) and crop coefficient (K_c)

Variation in rainfall, evapotranspiration and crop coefficient during each season are shown in Figures 27-30.

2018/2019 season



Figure 27: Seasonal Crop Evapotranspiration (ETc) (a, c, e) and crop factor (Kc) (b, d) in CAS (a-d) and SHI (e) in response to different irrigation treatments. Values are means ± SEM of 8 biological replicates. Statistical analysis was conducted using two-way ANOVA. Asterisks indicate statistically significant differences (P<0.05) between pre- and post-veraison stages. Lowercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at pre-veraison stage.

2019/2020 season



Figure 28: Seasonal Crop Evapotranspiration (ETc) (a, c, e) and crop factor (Kc) (b, d) in CAS and SHI in response to different irrigation treatments.

2020/2021 season



Figure 29: Seasonal Crop Evapotranspiration (ETc) (a, c, e) and crop factor (Kc) (b, d) in CAS and SHI in response to different irrigation treatments.

2021/2022 season



Figure 30: Seasonal Crop Evapotranspiration (ETc) (a, c, e) and crop factor (Kc) (b, d) in SHI in response to different irrigation treatments.

5.2.4 Seasonal plant water status and stomatal conductance

In both Alex88-East and Alex88-west, plant water status did not significantly change at the pre-verasion stage, across three seasons from 2018 to 2021, except in 2020/2021 season where PWS and SWS vines showed significantly lower Ψ_{stem} relative to ET vines. However, after veraison, ET and PWS vines exhibited significantly lower Ψ_{stem} relative to CONV in the 2018/2019 season. Similar reduction of Ψ_{stem} was also observed in ET, PWS and SWS vines in the 2020/2021 season in Alex88-east. In the same season, in Alex88-west, Ψ_{stem} in ET and SWS was also significantly lower relative to CONV. In the 2019/2020 season, no statistically significant differences in Ψ_{stem} was detected between irrigation treatments post-veraison in SHI. In the 2020/2021 season, Ψ_{stem} was similar between CONV, PWS and SWS, whereas ET vines displayed significantly lower Ψ_{stem} relative to the Prodigy vines. Interestingly, in the 2021/2022 season, pre-veraison Ψ_{stem} did not change in response to sensor-driven irrigation treatments relative to CONV. However, post-veraison Ψ_{stem} was significantly higher in CONV+ and lower in PWS1, PWS2 and SWS compared to CONV.

In the 2018/2019 growing season, PWS-based irrigation scheduling did not change pre-veraison stomatal conductance (g_s) in Alex88-East, however, it was significantly increased in response to ET treatment. After veraison, g_s was markedly declined in both ET and PWS vines relative to CONV. Pre-veraison g_s remained unchanged in all the treated vines in Alex88-east and Alex88-west across the rest of the seasons. However, after veraison, statistically significant variations were observed in g_s in response to different irrigation treatments. In both 2019/2020 and 2020/2021 seasons, post-veraison g_s remained unchanged in response to different irrigation scheduling in Katnook. However, the Prodigy vines displayed significantly lower g_s relative to SHI in Katnook in the 2019/2020 growing season. In the 2021/2022 season, CONV+ and Prodigy vines exhibited significantly higher pre-veraison g_s relative to other treatments. Among all the irrigation treatments, only PWS1 caused significant reduction in g_s relative to CONV (Figure 31, 32, 33 and 34).

2018/2019 season



Figure 31: Changes in Mid-day stem water potential (Ψ_{stem}) (a, c, e) and stomatal conductance (g_s) (b, d, f) in CAS and SHI in response to different irrigation treatments. Values are means \pm SEM of 4 biological replicates. Statistical analysis was conducted using two-way ANOVA. Asterisks indicate statistically significant differences (P<0.05) between pre- and post-veraison stages. Lowercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at pre-veraison stage. Uppercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at post-veraison stage.

2019/2020 season



Figure 32: Changes in Mid-day stem water potential (Ψ_{stem}) (a, c, e) and stomatal conductance (g_s) (b, d, f) in CAS and SHI in response to different irrigation treatments. Values are means ± SEM of 8 biological replicates. Statistical analysis was conducted using two-way ANOVA. Asterisks indicate statistically significant differences (P<0.05) between pre- and post-veraison stages. Lowercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at pre-veraison stage. Uppercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at post-veraison stage.

2020/2021 season





Figure 33: Changes in Mid-day stem water potential (Ψ_{stem}) (a, c, e) and stomatal conductance (g_s) (b, d, f) in CAS and SHI in response to different irrigation treatments. Values are means ± SEM of 8 biological replicates. Statistical analysis was conducted using two-way ANOVA. Asterisks indicate statistically significant differences (P<0.05) between pre- and post-veraison stages. Lowercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at pre-veraison stage. Uppercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at post-veraison stage.

2021/2022 season



Figure 34: Variations in mid-day stem water potential (Ψ_{stem}) and stomatal conductance (g_s) in response to different irrigation treatments. Values are the means \pm SEM of 8 biological replicates. Asterisk represents the statistically significant differences (p<0.05) between pre- and post-veraison stages. The lowercase letters on the graph represents the statistically significant differences between irrigation treatments at pre-veraison stage. The uppercase letters represent the statistically significant differences between irrigation treatments at post-veraison stage.

5.2.5 Seasonal net photosynthesis and intrinsic water use efficiency

In both Alex88-East and Alex88-West, pre-veraison A_N did not change in response to different irrigation treatments across four seasons. However, sensor-drive irrigation scheduling considerably changed post-veraison A_N over different season. For instance, in the 2018/2019 season, CAS vine in the Alex88-east, significantly reduced post-veraison A_N in response to both ET and PWS treatments. However, in the Alex88-west, significantly lower post-veraison A_N was observed only with PWs vines. In the 2019/2020 season, post-veraison A_N was significantly higher in Alex88-east ET, PWS and SWS vines relative to CONV vines. However, amoung Alex88-west vines, statistically significant differences were observed only between PWS, SWS and CONV. Intriguingly, in the 2020/2021 season, only SWS vines in both Alex88-east and Alex88-west vineyards exhibited significantly lower A_N relative to other irrigation treatments.

In 2019/2020 and 2020/2021 seasons, no statistically significant differences were observed in post-veraison A_N between different irrigation treatments of SHI vines. However, in the 2019/2020 season, prodigy vines displayed significantly lower post-veraison A_N relative to all the other treated SHI vines in the Katnook vineyard. In contrast, in the 2021/2022 growing season, ET, PWS1, PWS2 and SWS vines exhibited significantly lower post-veraison A_N relative to both CONV and CONV+. However, their pre-veraison A_N did not change dramatically in response to different irrigation scheduling.

Pre-veraison *WUE*_i remained unchanged in CAS across all seasons in both Alex88-east and Alex88-west. In the 2018/2019 season, post-veraison *WUE*_i also did not change in the Alex88-east vineyard. However, in both 2018/2019 and 2019/2020 seasons, Alex88-west, post-veraison *WUE*_i was significantly higher in PWS vines relative to CONV vines. In contrast, in 2019/2020 season, Alex88-east PWS vines displayed dramatic reduction of *WUE*_i relative to CONV.

In both 2019/2020 and 2020/2021 seasons, different irrigation scheduling strategies did not affect postveraison *WUE*_i in SHI vines in Katnook. However, in the 2019/2020 season, all SHI vines displayed significantly higher *WUE*_i relative to prodigy vines. Interestingly, during 2021/2022 season, both PWS1 and PWS2 treatments significantly up-regulated post-veraison *WUE*_i relative to all the other irrigation treatments (Figure 35, 36, 37 and 38).

2018/2019 season



Figure 35: Variations in net carbon assimilation (A_N) (a, c, e) and intrinsic water use efficiency (WUE_i) (b, d, f) in CAS and SHI in response to different irrigation treatments. Values are means \pm SEM of 4 biological replicates. Statistical analysis was conducted using two-way ANOVA. Asterisks indicate statistically significant differences (P<0.05) between pre- and post-veraison stages. Lowercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at pre-veraison stage. Uppercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at post-veraison stage.

2019/2020 season



Figure 36: Variations in net carbon assimilation (A_N) (a, c, e) and intrinsic water use efficiency (WUE_i) (b, d, f) in CAS and SHI in response to different irrigation treatments. Values are means \pm SEM of 4 biological replicates. Statistical analysis was conducted using two-way ANOVA. Asterisks indicate statistically significant differences (P<0.05) between pre- and post-veraison stages. Lowercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at pre-veraison stage. Uppercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at post-veraison stage.

2020/2021 season



Figure 37: Variations in net carbon assimilation (A_N) (a, c, e) and intrinsic water use efficiency (WUE_i) (b, d, f) in CAS and SHI in response to different irrigation treatments. Values are means ± SEM of 4 biological replicates. Statistical analysis was conducted using two-way ANOVA. Asterisks indicate statistically significant differences (P<0.05) between pre- and post-veraison stages. Lowercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at pre-veraison stage. Uppercase letters indicate statistically significant differences (P<0.05) between irrigation treatments at post-veraison stage.

Pre-Veraison

Post-Veraison

PWS

PWS

sws

sws

2021/2022 season



Figure 38: The photosynthetic rate (A_{N_i} a) and intrinsic water use efficiency (WUE_i, b) of SHI under different irrigation treatments. Values are the means ± SEM of 8 biological replicates. Asterisk represents the statistically significant differences (p<0.05) between pre- and post-veraison stages. The uppercase letters represent the statistically significant differences between irrigation treatments at post-veraison stage.

5.3 Continuous sensor-based data

Soil moisture

Volumetric water content of the soil, rainfall and irrigation applied during the 2019/2020 and 2020/2021 seasons are shown in the figure below.



Figure 39: Sample soil moisture data from Katnook, Alex88E and Alex88W during 2019/2020 and 2020/2021 seasons on the GreenBrain dashboard

Soil moisture levels in both vineyards were maintained between 22% (pre-irrigation) and 35% (post-irrigation), where the lower end of the range represented an approx. 30% reduction in historical seasonal soil moisture of the AX88 block. Due to the similarity in soil type between the CAS and SHI blocks, the same threshold of 22% VWC was applied to both as the minimum VWC to trigger an irrigation, done on a weekly basis. In AX88 (CAS), approx. 4 hours per week of irrigation were applied during the peak summer period starting in late-December through to harvest around early- to mid-April. This time equated to approx 21 L/vine/week or ~5 mm/week. In SHI, during the same peak period, 3 weekly irrigations of 3 h each were applied for a total of 9 h of irrigation. This translated to a water application of 7.2 mm/week or ~35 L/vine/week. The SHI irrigation season would typically commence earlier than the CAS season owing to differences in phenology, production targets (yield vs quality), and vine size and age.

Vine Water Index (VWI)

Transp-IR thermal sensors were used to continuously measure canopy temperature, and ambient temperature and relative humidity to calculate a daily index of plant water stress termed 'Vine Water Index' (VWI). This index utilises several timepoints of the day to determine the relevant values for the VWI number that vary based on environmental conditions (e.g. hot vs cool day), cultivar isohydricity, and soil moisture. A snapshot of the Transp-IR dashboard is shown in the figure below.



Figure 39: Transp-IR dashboard during the 2020-21 season in Shiraz grapevines showing the daily VWI value, and 10-min data of canopy temperatures, ambient temperature, and relative humidity. The dashboard also provides an option to visualise incident solar radiation.

A key benefit of using the Transp-IR solution is the provision of an 'optimum' VWI range, indicated on the dashboard as a horizontal green band. This region of VWI values corresponds to a stomatal conductance (g_s) value that in turn corresponds to 80-85% of the maximum net photosynthesis (P_n) of the leaves thereby achieving high water use efficiencies. The optimum range and VWI values vary per crop species and cultivar. This strategy was used to schedule irrigation in the PWS or PWS1 treatment.

Trunk Water Potential (Ψ_{trunk})

Microtensiometers were used to continuously measure trunk water potential in CAS and SHI during the 2020/2021, shown in the figure below (sub-figure (c)).



Figure 40: Trunk water potential of CAS and SHI during the 2020-21 season (c) in response to VPD (a) and volumetric water content (b)

Due to the nature of their continuous measurements of plant (trunk) water potential, microtensiometers can be used to effectively measure both soil moisture – through the highest Ψ_{trunk} value at pre-dawn – as well as vine water status at maximum transpiration rate – the lowest value of Ψ_{trunk} on a diurnal basis. As seen above and reported in detail in Pagay (2022), Ψ_{trunk} corresponded to both soil moisture availability (VWC) as well as environmental demand (VPD). Higher VPD days and/or low soil moisture tended to decrease Ψ_{trunk} and viceversa. It should be noted that use of Ψ_{trunk} for irrigation scheduling needs to develop new thresholds for this metric rather than using the values reported in the literature for stem or leaf water potentials.

5.4 Remotely-sensed canopy performance

High resolution remote sensing using unmanned aerial vehicles (UAVs) allowed for the capture of sub-vine level spectral reflectance data over the growing season. These datasets were used, in conjunction with ground-based physiological measurements, to model the same physiological measurements across space and time. We predicted select physiological parameters at key phenological stages; maps were generated for the pre-harvest period as an example of what predictions are possible with these models.

5.4.1 Correlation analysis

Initial steps in the modelling involved assessing which of the spectral bands were highly correlated with the ground-based physiological data. Select spectral bands (horizontal axis of matrix below) and physiological indicators (left vertical column) for CAS indicate high degree of correlation between canopy leaf area as observed from the UAV and soil and vine water status, and canopy conductance. The thermal wavelengths were also significantly correlated with the same parameters, as might be expected.



Figure 7: Correlation analysis of soil and vine water status metrics with UAV-derived thermal, structural, and spectral responses of CAS grapevines.

5.4.2 High resolution prediction of soil and vine water status



Cabernet Sauvignon

Figure 42: Spatial and temporal prediction of pre-dawn leaf water potential, an indicator of soil moisture (a), and stem water potential at midday (b). Single vine level data is presented for the entire mapping area of the AX88E (CAS) vineyard beyond the sentinel vines at EL-37 (pre-harvest).

Using a model developed through statistical and machine learning methods with high resolution multispectral and thermal imagery from a low-altitude UAV, we were able to obtain predictions of soil and vine water status

at the single vine level (Fig. 42). This method is an alternative to spatial interpolation methods such as kriging that are based on sparse spatial data. The variations in soil moisture across the AX88E block (Fig 42a) were captured with low MAE (Table 9), particularly with the random forest model, which is an ensemble of models based on decision trees. From the map, it was obvious that the south end of the block had lower soil moisture availability, which translated into higher vine water stress (Fig. 42b). This knowledge can help growers implement precision irrigation whereby that (more stressed) section of the block is zoned via a valve, and irrigated at a higher level or rate.

Table 9: Accuracy of various linear and non-linear statistical models and machine learning models for the prediction of various CAS vine components at EL-37 (pre-harvest). RMSE = root mean square error; R^2 = correlation coefficient; MAE = mean absolute error. Models: Linear, GLM = Generalised Linear Model, RF = Random Forest.

	Pre-dawn	leaf water pote	ntial (Ψ _{pd} , MPa)	Midday stem water potential (Ψ _s , MPa)			
Model	RMSE	R ²	MAE	RMSE	R ²	MAE	
Linear	0.150	0.371	0.127	0.265	0.293	0.181	
GLM	0.138	0.505	0.102	0.130	0.726	0.108	
RF	0.096	0.068	0.068	0.117	0.087	0.087	

Shiraz



Figure 43: Spatial and temporal prediction of pre-dawn leaf water potential, an indicator of soil moisture. Single vine level data is presented for the entire mapping area of the KAT (SHI) vineyard beyond the sentinel vines at EL-23 (flowering) and EL-35 (véraison).



Figure 44: Spatial and temporal prediction of midday stem water potential. Single vine level data is presented for the entire mapping area of the KAT (SHI) vineyard beyond the sentinel vines at EL-23 (flowering) and EL-35 (véraison).

In the Katnook Shiraz block, both predawn leaf and midday stem water potentials were modelled to provide a spatial (single vine resolution) and temporal (two important phenological stages, flowering and véraison) prediction of soil and vine water status, respectively. Predawn leaf water potentials (Ψ_{pd}), which reflect the available soil moisture to the vines, ranged from -0.1 to -0.4 MPa at flowering, and -0.1 to -0.7 MPa by véraison (Fig. 43). The extent of spatial variation of soil moisture across the block was not significant as indicated by the narrow range of Ψ_{pd} values. By véraison, a significantly higher proportion of vines had access to less soil moisture, as expected, due to deficit irrigation and greater vine size that use more water.

With regards to midday stem water potentials (Ψ_s), reflecting the vine's water status, values ranged from -0.5 to -1.3 MPa at flowering, and -0.5 to -1.7 MPa by véraison. There was clearly a central section of the block that

had lower vine water status (more water stress); this region would be a candidate for increased irrigation through zoning. The central section also had lower Ψ_{pd} values at véraison, perhaps due to shallower soils and smaller rootzones.



5.4.3 High resolution prediction of vine crop coefficient

Cabernet Sauvignon

Figure 44: Spatial prediction of crop coefficient (K_c) of AX88E (CAS) at pre-harvest. Single vine level data is presented for the entire mapping area of the vineyard beyond the sentinel vines.

The crop coefficient (K_c) is an important variable for the calculation of crop evapotranspiration (ET_c) for determining irrigation schedules (i.e. timing and volume of irrigation applications). Here, we predicted the spatial variation in K_c across the AX88E block on a per-vine basis such that precision irrigation could be implemented in the future (Fig. 44). The spatial pattern of K_c appears to be highly variable across the mapped region, with values ranging from 0.4 through 0.75 at the EL-37 stage, which is a large variation in vine size (leaf area) and canopy light interception, both of which drive transpiration and vine water use and consequently determine irrigation rates. Such large variability in the K_c suggests that there may be high underlying variation in the rooting depth of the vines (due to considerable spatial variations in the depth to the impermeable limestone layer), and/or high variations in vine health, e.g. due to trunk diseases, which are common in the region.

Table 10: Accuracy of various linear and non-linear statistical models and machine learning models for the prediction of CAS crop coefficient (K_c) at EL-37 (pre-harvest). RMSE = root mean square error; R^2 = correlation coefficient; MAE = mean absolute error. Models: Linear, GLM = Generalised Linear Model, GAM = Generalised Additive Model, CNN = Convolution Neural Network, RF = Random Forest.

	Crop coeff	Crop coefficient (K _c)							
Model	RMSE	R ²	MAE						
Linear	0.091	0.295	0.076						
GLM	0.074	0.528	0.061						
GAM	0.069	0.594	0.055						
CNN	0.072	0.619	0.060						
RF	0.062	0.675	0.047						

The various statistical and machine learning models employed compared quite similarly to one another; the best predictions were obtained with the RF model (lowest RMSE and MAE values, highest R^2). Considering the low values of MAE for all models tested, even the linear model did well in predicting K_c. This allows the use of simple tools and spreadsheets for future prediction of K_c.

A manuscript was published in the journal *Remote Sensing* based on this work on K_c and is attached to this report.

Shiraz



Figure 45: Spatial and temporal prediction of crop coefficient (K_c) for KAT Shiraz grapevines at flowering (a) and véraison (b). Single vine level data is presented for the entire mapping area of the vineyard including and beyond the sentinel vines.

Variations in K_c across the Shiraz block during the early season (flowering, EL-23) ranged from 0.35 to 0.55 with a few vines exceeding 0.7. By véraison, most of the vines had K_c values exceeding 0.6, with only a few vines around 0.75, suggesting that canopies were not overly large in terms of leaf area intercepting light. These single-vine values of K_c allow for the delineation of irrigation zones (if adopting the ET strategy) although the uniformity in this block was relatively high thereby not requiring zoning of irrigation unlike the Alex88 CAS block, which had much higher spatial variation of K_c .

5.4.4 High resolution prediction of canopy gas exchange

Cabernet Sauvignon



Figure 46: Spatial prediction of canopy conductance, $g_c(a)$ and net photosynthesis, $A_n(b)$ in AX88E (CAS) at pre-harvest. Values for g_c are shown in mol $H_2O m^{-2} s^{-1}$; values for A_n are shown in μ mol $CO_2 m^{-2} s^{-1}$. Single vine level data is presented for the entire mapping area of the vineyard beyond the sentinel vines.

High resolution remote sensing using thermal and multispectral cameras allowed for the modelling of canopy gas exchange parameters, canopy conductance (g_c) and net photosynthesis (A_n). Spatial variations in g_c indicate a range of 0.05 – 0.2 mol H₂O m⁻² s⁻¹, which reflects the consistently high vine-to-vine variability in water status and physiological performance in this block. Net photosynthesis rates are also similarly variable; values range from 5 – 13 µmol CO₂ m⁻² s⁻¹. These values match the range of values obtained from our ground measurements with an infrared gas analyser.

Table 11: Accuracy of various linear and non-linear statistical models and machine learning models for the prediction of CAS canopy gas exchange at EL-37 (pre-harvest). RMSE = root mean square error; R^2 = correlation coefficient; MAE = mean absolute error. Models: Linear, GLM = Generalised Linear Model, RF = Random Forest.

	Canopy co	nductance (g _c)		Canopy net photosynthesis (A _n)				
Model	RMSE	R ²	MAE	RMSE	R ²	MAE		
Linear	0.059	0.149	0.047	1.497	0.371	1.272		
GLM	0.055	0.265	0.042	1.379	0.505	1.015		
RF	0.039	0.622	0.030	0.962	0.751	0.681		

Comparing the performance of the various models, the Random Forest model again was superior to the other two evaluated in this study. Values of RMSE and MAE were the lowest for both parameters, g_c and A_n .

Further work on this dataset would include predictions of spatial and temporal patterns of leaf and crop water use efficiencies, as well as extending these predictions to other neighbouring vineyards with the same cultivar based on limited ground-based measurements in those blocks to fine-tune the models developed here.





Figure 47: Spatial and temporal prediction of canopy conductance, g_c , in KAT-SHI during flowering (a) and véraison (b). Values for g_c are shown in mmol H_2O m⁻² s⁻¹. Single vine level data is presented for the entire mapping area of the vineyard beyond the sentinel vines.

Remote sensing-based model predictions of spatial canopy conductance through multispectral and thermal high-resolution imagery, indicated that, at flowering, g_c ranged from 56 to ~200 mmol H₂O m⁻² s⁻¹, with no clear spatial structure in the data (lack of defined zones). By véraison, these values ranged from 56 to 244 mmol H₂O m⁻² s⁻¹, with significantly more vines at the higher g_c values compared to at flowering. At this later stage, there appeared to be a separation between the central rows (with lower g_c) and the sides of the block (with higher gc), which provide guidance on where to implement precision irrigation through irrigation zoning. This pattern was consistent with the spatiotemporal trends of both predawn and stem water potentials (Figs. 43, 44), but not K_c (Fig. 45).



Figure 48: Spatial and temporal prediction of canopy net photosynthesis, A_n , in KAT-SHI during flowering (a) and véraison (b). Values for A_n are shown in μ mol CO₂ m⁻² s⁻¹. Single vine level data is presented for the entire mapping area of the vineyard beyond the sentinel vines.

Canopy net photosynthesis rates predicted at the single vine level via HR-RS indicated a range of 8-12 μ mol CO₂ m⁻² s⁻¹ during flowering, rising to 10-18 μ mol CO₂ m⁻² s⁻¹ by véraison. The Shiraz block showed spatial structure between these two phenological stages; the upper and lower portions of the block had higher values of P_n, consistent with g_c, and soil and vine water status spatial trends.



Figure 49: Spatial and temporal prediction of leaf intrinsic water use efficiency (WUE_i = A_n/g_s) in KAT-SHI during flowering (a) and véraison (b). Values for WUE_i are shown in μ mol CO₂ mmol⁻¹ H₂O. Single vine level data is presented for the entire mapping area of the vineyard beyond the sentinel vines.

Using the spatial and temporal A_n and g_s information in Figs. 47-48, the spatial and temporal patterns of leaf intrinsic water use efficiency (WUE_i) were obtained for the Shiraz vineyard during the 2021-22 season (Fig. 49). During the early season, around flowering, WUE_i values ranged from 0.05 to 0.2 across the block, while later

in the season, around véraison, those value shifted higher in the center of the block to around 0.2-0.25. The two north-south edges of the block clearly had low WUE_i ; this could be attributed to the larger canopies in those regions (high Kc values, Fig. 45) using more water due to their relatively higher g_s values (Fig. 47) that was not matched by the increase in A_n (Fig. 48). This is another opportunity to implement precision irrigation by zoning the two ends of the vineyard and applying water at lower rates to decrease the g_s relative to A_n . The central rows are clearly quite efficient in their water use patterns, showing high WUE_i .

5.5 Yield components and pruning weights

As shown in the table 9, total yield per vine did not change dramatically with different irrigation treatments in both Alex88-east and Alex88-west during 2018/2019 (S1) and 2019/2020 (S2) seasons. However, in the 2020/2021 season, total yield of CONV+ vines was significantly higher relative to SWS vines due to increased average berry weight. Even though yield per unit length (kg m⁻¹) seemed to be constant in Alex88-east during all three seasons despite of different irrigation scheduling strategy, in Alex88-west CONV vines displayed significantly higher yield per meter relative to PWS vines in S2.

Table 9: Yield components for all irrigation treatments in Alex88-east and Alex88-west and experimental season (2018/2019, 2019/2020 and 2020/2021). Means (n = 4 - 8) are presented. Interactions between irrigation treatments are reported both within a soil type and between soil types.

	Season	S1		S2		S3		ANC	DVA			
	Treat ment	AX88- E	AX88-W	AX88 -E	AX88- W	АХ88-Е	AX88-W	Т	ST	S	T× ST	S×ST
_	CONV	7.33	7.12	3.85	4.20	-	-					
le ⁻¹)	PWS	7.19	5.24	4.59	3.04	6.53 <mark>ab</mark>	5.30					
vin	ET	-	-	5.80	3.33	7.02 <mark>ab</mark>	6.67	**	***	***	nc	nc
(kg	SWS	-	-	4.97	3.10	4.88 <mark>b</mark>	4.73				115	113
Yield	CONV +	-	-	-	-	8.71 <mark>a</mark>	7.48					
	CONV	2.22	2.28	1.14	1.35 <mark>a</mark>	-	-					
	PWS	2.16	1.38	1.36	0.81 <mark>b</mark>	1.94	1.60					
-1) -	ET	-	-	1.56	0.96 ab	1.80	1.92	*	**	***	**	*
	SWS	-	-	1.51	0.89 <mark>ab</mark>	1.47	1.37					
Yield (kg m	CONV +	-	-	-	-	1.91	2.12					
	CONV	43.4	51.2 <mark>a</mark>	29.6	32.7	-	-					
$ ^{-1}$	PWS	41.3	34.4 <mark>b</mark>	30.8	25.3	42.2	34.8					
our s m	ET	-	-	36.5	22.8	39.6	39.8	*	*	***	ns	ns
che che	SWS	-	-	39.2	24.7	36.2	35.8				115	115
Bund (bun	CONV +	-	-	-	-	49.2	52.1					
ų	CONV	55.8	54.0	40.5	51.3	-	-					
rrries rr bunch m <u>a</u> c	PWS	60.1	47.8	46.1	43.3	53.3	44.1 ab	nc	*	***	nc	**
	ET	-	-	44.9	46.9	51.9	49.6 <mark>a</mark>	115			115	<u>ጥ</u> ጥ
Be Pe	SWS	-	-	41.2	45.1	49.0	41.0 <mark>b</mark>					

	CONV +	-	-	-	-	54.2	45.4 <mark>ab</mark>					
	CONV	0.92	0.83	0.95	0.84	-	-					
(g)	PWS	0.86	0.85	1.00	0.78	0.88 <mark>b</mark>	0.97					
ht	ET	-	-	0.98	0.90	0.85 <mark>b</mark>	0.98					
veig	SWS	-	-	0.96	0.82	0.85 <mark>b</mark>	0.92					
Average berry w	CONV +	-	-	-	-	0.98 a	1.02	**	***	ns	ns	***
	CONV	51.1	44.6	38.3	41.6	-	-					
nch	PWS	51.9	40.7	46.3	32.6	46.8	42.6 <mark>ab</mark>					
age bui ht (g)	ET	-	-	44.3	42.0	44.5	48.5 <mark>a</mark>	*	***	***	*	nc
	SWS	-	-	39.2	36.2	41.5	37.4 <mark>b</mark>					115
Avera	CONV +	-	-	-	-	53.2	44.3 <mark>ab</mark>					
	CONV	0.66	0.57	0.73	0.45	-	-					
ight	PWS	0.67	0.46	0.84	0.56	0.51	0.42					
wei	ET	-	-	0.83	0.49	0.53	0.52	nc	***	**	nc	***
ing 1 ⁻¹)	SWS	-	-	0.86	0.42	0.53	0.52	115			115	
Prun (kg m	CONV +	-	-	-	-	0.44	0.64					
_	CONV	3.62	4.44	1.66	3.11 <mark>a</mark>	-	-					
g^{-1}	PWS	3.26	3.74	1.73	1.57 <mark>b</mark>	3.77	3.52					
x (kg k	ET	-	-	2.08	2.31 <mark>ab</mark>	3.52	3.92	ns	ns	***	nc	ns
z Index	SWS	-	-	1.90	2.18 <mark>ab</mark>	2.84	2.77	113	115		115	113
Rava	CONV +	-	-	-	-	4.62	3.65					

It was consistently observed across three seasons that the total yield of SHI in the Prodigy block, was significantly lower relative to all trial SHI vines at Katnook. For instance, in S1 and S3, PWS1 and SWS vines showed significantly higher yield relative to Prodigy vines, whereas in the 2021/2022 season, all irrigation treatments produced significantly higher yield compared to prodigy vines. Yield reduction in Prodigy can be attributed to significantly lower berries per bunch as well as average bunch weight.

Table 10: Yield components for all irrigation treatments in Katnook during four experimental seasons (2018/2019, 2019/2020, 2020/2021 and 2021/2022). Means (n = 4 - 8) are presented. Prod=Prodigy block. Interactions between irrigation treatments are reported.

	Season	S1	S2	S3	S4	т	S	T× S
	CONV	5.3 <mark>ab</mark>	1.9 ns	-	7.5 <mark>a</mark>			
It (bunches Yield (kg vine ⁻¹) Yield (kg vine ⁻¹)	CONV +	-	-	9.9 <mark>a</mark>	8.4 <mark>a</mark>	**	***	
_	ET	5.2 <mark>ab</mark>	1.3	8.7 <mark>ab</mark>	6.2 <mark>a</mark>		4.4.4.	ns
Je ⁻¹	PWS1	5.9 <mark>a</mark>	2.1	10.4 <mark>a</mark>	6.2 <mark>a</mark>			
, vir	PWS2	-	-	-	6.4 <mark>a</mark>			
(kg	SWS	6.0 <mark>a</mark>	1.6	9.7 <mark>a</mark>	5.9 <mark>a</mark>			
Yield	Prod- CONV	3.5 <mark>b</mark>	-	4.8 <mark>b</mark>	3.0 <mark>b</mark>			
	CONV	3.0 ns	1.1 ns	-	4.6 ns			
	CONV +	-	-	5.7 <mark>ns</mark>	4.2			
	ET	3.0	0.74	5.0	3.5	ns	ns	ns
	PWS1	3.4	1.2	5.9	3.5			
	PWS2	-	-	-	3.6			
÷	SWS	3.4	0.94	5.6	3.3			
eld vine ¹	Prod-	-						
Yi6 (kg	CONV	-	-	-	3.4			
thes	CONV	18.14 <mark>a</mark>	17.1 <mark>ns</mark>	-	26.1 <mark>b</mark>			
	CONV +	-	-	32.8 ns	25 <mark>b</mark>			
oun	ET	5.0 <mark>b</mark>	11.4	36.7	24 <mark>b</mark>	*	***	*
t (b	PWS1	5.9 <mark>b</mark>	17.9	42.4	22.1 <mark>b</mark>			
unc	PWS2	-	-	-	24.1 <mark>b</mark>			
h cc	SWS	5.6 <mark>b</mark>	14.3	38.1	23.5 <mark>b</mark>			
Bunc m ⁻¹)	Prod- CONV	-	-	-	42.2 a			
	CONV	131.4 <mark>b</mark>	44.2 ns	-	121 <mark>a</mark>			
	CONV +	-	-	113.6 <mark>a</mark>	102 <mark>a</mark>			
	ET	126 <mark>b</mark>	49.2	113.6 a	109 <mark>a</mark>	**	***	*
	PWS1	124 <mark>b</mark>	50.1	104.4 a	111 a			
	PWS2	-	-	-	115 <mark>a</mark>			
nch	SWS	128 <mark>b</mark>	49.4	125.7 <mark>a</mark>	120 <mark>a</mark>			
Berries per bu	Prod- CONV	194 a	-	70.0 <mark>b</mark>	41 b			
	CONV	1.13 <mark>ns</mark>	1.36 <mark>ns</mark>		1.5 <mark>ab</mark>			
erage rry	CONV +	-	-	1.54 <mark>a</mark>	1.6 <mark>a</mark>	**	**	*
Av be	ET	1.15	1.35	1.20 <mark>b</mark>	1.3 <mark>bc</mark>			

	PWS1	1.20	1.37	1.31 <mark>b</mark>	1.4 <mark>bc</mark>			
	PWS2	-	-	-	1.4 <mark>bc</mark>			
	SWS	1.16	1.33	1.15 <mark>b</mark>	1.3 <mark>c</mark>			
	Prod-	1 1			1 3 hc			
	CONV	1.1	-		1.5 00			
6	CONV	148.0 <mark>b</mark>	59.8 <mark>ns</mark>	-	178 <mark>a</mark>			
ight (CONV +	-	-	174 <mark>a</mark>	168 <mark>ab</mark>			
we	ET	145.3 <mark>b</mark>	64.5	134.6 <mark>b</mark>	145 <mark>b</mark>	*	***	*
усh	PWS1	148.0 <mark>b</mark>	66.4	136.2 <mark>b</mark>	150 <mark>ab</mark>			
pur	PWS2	-	-	-	155 <mark>ab</mark>			
age	SWS	147.8 <mark>b</mark>	65.7	143.9 <mark>b</mark>	151 <mark>ab</mark>			
Avera	Prod- CONV	203.4 <mark>a</mark>	-	86.2 <mark>c</mark>	53 <mark>c</mark>			
	CONV	0.80 <mark>ns</mark>	-	1.0 <mark>ns</mark>				
	CONV +	-	-	-				
	ET	0.91	-	0.84		ns	ns	ns
ght	PWS1	0.95	-	1.10				
wei	PWS2	-	-	-				
ng ' -1)	SWS	0.81	-	1.00				
uni 8 m	Prod-							
r X	CONV	-	-	-				
	CONV	3.9 <mark>ns</mark>	-	5.6 <mark>ns</mark>				
(kg kg ⁻¹)	CONV +	-	-	-				
	ET	3.5	-	6.0		ns	ns	ns
	PWS1	4.5	-	5.9				
dex	PWS2	-	-	-				
z In	SWS	4.2	-	5.7				
Rava	Prod- CONV	5.2	-	-				

5.6 Water use efficiency

5.6.1 Crop water use efficiency



Figure 50: Crop water use efficiency (WUEc, t/ML) for the AX88E (CAS), AX88W (CAS) and KAT (SHI) vineyards during the three seasons. Water application volumes do not include rainfall during the growing season.

Crop water use efficiency (WUE_c) metrics derived from yield and irrigation data, also known as Irrigation Water Productivity, were calculated for each of the three seasons of the trial in the CAS and SHI vineyards. The 2019-20 season notwithstanding, WUE_c values in CAS were highest in the PWS and ET treatments, followed by the SWS treatment. These data-driven treatments were significantly higher than the CONV, by 3-6 fold (300-600%) in the final season. This is strong evidence that using data to drive irrigation decisions, regardless of the approach, is beneficial to improve WUE, even in premium vineyards that are routinely deficit irrigated to increase grape/wine quality. In SHI, similar trends were observed; while there was only a slight increase in WUE_c in the first season using the PWS treatment, by the second season, all data-driven approaches were over double the WUE_c of the CONV group. In the final season, 2021-22, the PWS1 treatment based on the proximal IR sensors appeared to have the highest WUE_c of the group, which were not different from each other or versus CONV or the Prodigy block (PROD). The only outlier in this group was the CONV+ treatment, which received double the CONV irrigation volume, and clearly this did not translate to a proportional yield increase and thereby decreasing its WUE_c (relative to CONV).

Unlike many warm climate vineyards, it seems that in a cooler region with smaller vines, higher irrigation volumes may not benefit growers seeking to increase yields in mid-grade SHI blocks that are already yielding upwards of 13 t/ha as in the case of KAT. A similar observation was made in AX88E-CAS on Terrarossa; in the final season, 2020-21, increased irrigation from 0.4 ML/ha (PWS) to 1 ML/ha (CONV+) did not alter yield; both groups had yields of ~15 t/ha. The heavier Rendzina vineyard, AX88W, did, however, benefit from increased irrigation in the CONV+ group; yield in this group was ~33% higher than the data-driven groups receiving less irrigation, but this yield increase (in CONV+) required an irrigation increase from 0.25 ML/ha to 0.7 ML/ha, i.e. approx. 80% higher than the data-driven groups, PWS and ET. The marginal yield benefit (increased yield per unit increase of water application) is therefore quite low and not favouring high irrigation rates.

5.6.2 Berry carbon isotopes



Figure 51: Carbon isotope (δ^{13} C) analysis of SHI and CAS grape berries. Boxplots show median value (horizontal line inside the box), minimum and maximum values (lower and upper edges of box), and SE (whiskers).

Carbon isotope analysis was used as an estimate of the seasonal water use efficiency (WUE) of the vines as there is a high correlation between the δ^{13} C value and leaf-level instantaneous WUE (or Transpiration Efficiency, TE) as reported in several published studies. In AX88E-CAS (on Terrarossa), the highest (least negative) δ^{13} C values were found in the data-driven treatments, indicating higher WUE than the CONV in both seasons. The CAS vines on Rendzina soils (at AX88W) had fewer differences even though the same trend held in 2021. Amongst the data-driven treatments in CAS-Terrarossa, the PWS and SWS treatments had the highest δ^{13} C values in the first season.

For SHI grapevines on Terrarossa, ET and PWS had the highest δ^{13} C values in the first season, with no differences between the CONV+ and SWS treatments. In the second season, 2021-2022, all the data driven treatments were significantly higher than the CONV, CONV+ and PROD vines, indicative of their high WUE. Comparing these data-driven groups, the highest δ^{13} C values were observed in the SWS, PWS1 and PWS2 treatments, consistent with the WUE_i values obtained from leaf gas exchange (Figure 38b).

5.7 Berry and juice composition

It was consistently observed that juice quality of CAS in both Alex88-east and Alex88-west vineyards, did not significantly vary in response to different irrigation treatments across the first two seasons. However, berry quality attributes (colour, total phenolics and tannin concentrations) were significantly lower in SWS vines in the S1 relative to CONV vines.

In the 2020/2021 season in both Alex88-east and Alex88-west, berry quality and most of the juice quality parameters remained unchanged. However, in Alex88-east, both PWS and SWS vines showed significantly lower pH and TA relative to CONV+ (Table 11).

Table 11: Variations in berry and juice composition in Alex88-east and Alex88-west during three experimental seasons (2018/2019, 2019/2020 and 2020/2021) in response to different irrigation treatments. Means (n = 4 - 8) are presented. Interactions between irrigation treatments are reported.

	Season	S1		S2		S3	
		Alex88-	Alex88-	Alex88-E	Alex88-	Alex88-	Alex88-
		E	W		W	E	W
	CONV	-	-	4.2 <mark>ns</mark>	3.6 <mark>ns</mark>	-	-
	CONV+	-	-	-	-	6.3 <mark>a</mark>	4.1 <mark>ab</mark>
	ET	-	-	4.2	3.7	5.9 <mark>ab</mark>	4.1 <mark>ab</mark>
-	PWS	-	-	4.2	3.5	5.3 <mark>bc</mark>	5.0 <mark>a</mark>
ЪЧ	SWS	-	-	4.2	3.1	5.1 <mark>c</mark>	3.8 <mark>b</mark>
	CONV	-		3.0 <mark>ns</mark>	3.6 <mark>ns</mark>	-	-
	CONV+	-	-	-	-	6.3 <mark>a</mark>	4.1 <mark>ab</mark>
	ET	-	-	3.2	3.7	5.9 <mark>ab</mark>	4.4 <mark>ab</mark>
_	PWS	-	-	3.3	3.5	5.3 <mark>bc</mark>	5.0 <mark>a</mark>
Τ	SWS	-	-	2.9	3.1	5.1 <mark>c</mark>	3.8 <mark>b</mark>
	CONV	-	-	25.2 <mark>ns</mark>	26.3 <mark>a</mark>	-	-
	CONV+	-	-	-	-	26.2 <mark>ab</mark>	26.8 <mark>ns</mark>
	ET	-	-	25.5	25.2 <mark>b</mark>	26.0 <mark>b</mark>	26.9
S	PWS	-	-	25.7	25.7 <mark>ab</mark>	27.4 <mark>a</mark>	26.7
TS	SWS	-	-	25.7	25.7 <mark>ab</mark>	27.2 <mark>a</mark>	26.9
шg	CONV	3.5 <mark>ns</mark>	3.5 <mark>ns</mark>	1.8 <mark>ab</mark>	1.5 <mark>ns</mark>	-	-
erry (ı s per	CONV+	-	-	-	-	2.2 ns	2.6 <mark>ns</mark>
per b ⁄anin	ET	3.5	3.4	2.2 <mark>a</mark>	1.6	2.3	2.6
lour chocy	PWS	3.4	3.7	1.8 <mark>ab</mark>	1.6	2.3	2.6
Col ant ber	SWS	3.0	3.7	1.7 <mark>b</mark>	1.6	2.2	2.5
	CONV	4.2 a	4.2 ns	1.9 <mark>ns</mark>	1.8 <mark>ns</mark>	-	-
ram t (mg s per	CONV+	-	-	-	-	2.4 <mark>ns</mark>	2.7 <mark>ns</mark>
per g eigh [.] ⁄anin	ET	3.8 <mark>ab</mark>	3.8	2.3	1.8	2.4	2.6
lour _I 'ry w 'hocy	PWS	3.9 <mark>ab</mark>	4.3	1.8	1.9	2.6	2.7
Col ber	SWS	3.2 <mark>b</mark>	4.2	1.9	1.9	2.6	2.8

	Season	S1	S2	S3			
		Alex88-	Alex88-	Alex88-E	Alex88-	Alex88-	Alex88-
		E	W		W	E	W
er Ce	CONV	2.5 <mark>ns</mark>	2.5 <mark>ns</mark>	1.8 <mark>ns</mark>	1.5 <mark>ns</mark>	-	-
lics p band er	CONV+	-	-	-	-	2.0 <mark>ns</mark>	2.2 <mark>ns</mark>
neno Ibsor u) pe	ET	2.5	2.4	1.8	1.7	2.1	2.3
tal pl rry (<i>a</i> its (a	PWS	2.4	2.5	1.8	1.6	2.0	2.3
bei	SWS	2.2	2.6	1.7	1.6	1.9	2.2
	CONV	3.0 <mark>a</mark>	3.0 <mark>ns</mark>	1.9 ns	1.8 <mark>ns</mark>	-	-
E g ∑	CONV+	-	-	-	-	2.2 <mark>ns</mark>	2.3 <mark>ns</mark>
r graı rban ber	ET	2.7 <mark>ab</mark>	2.7	1.9	1.8	2.2	2.3
s pel abso gram	PWS	2.8 <mark>ab</mark>	3.0	1.8	1.9	2.3	2.4
Total phenolic berry weight (units (au) per	SWS	2.4 b	2.9	1.9	2.0	2.5	2.4
Li	CONV	8.2 <mark>ab</mark>	7.0 <mark>ns</mark>	5.3 <mark>ns</mark>	5.2 <mark>ns</mark>	-	-
ntration mg/g)	CONV+	-	-	-	-	5.9 <mark>ns</mark>	5.4 <mark>ns</mark>
oncent nate (n	ET	9.4 <mark>a</mark>	7.2	4.9	5.4	5.2	5.1
nin ci nogei	PWS	8.7 <mark>ab</mark>	8.6	4.7	5.3	5.4	5.6
Tan	SWS	4.9 <mark>b</mark>	4.4	4.6	5.5	5.7	5.3
	CONV	-	-	3.9 <mark>ns</mark>	4.4 <mark>ns</mark>	-	-
	CONV+	-	-	-	-	4.7 <mark>ns</mark>	4.5 <mark>ns</mark>
- 구	ET	-	-	3.9	4.4	4.7	4.5
olou	PWS	-	-	3.8	4.4	4.7	4.7
g Q	SWS	-	-	4.2	4.3	4.8	4.6
	CONV	-	-	1.1 <mark>ns</mark>	1.0 <mark>ns</mark>	-	-
	CONV+	-	-	-	-	1.0 <mark>ns</mark>	1.0 <mark>ns</mark>
	ET	-	-	1.1	1.0	1.0	1.0
e	PWS	-	-	1.1	1.0	1.0	1.0
Ŧ	SWS	-	-	1.1	1.0	1.0	1.0
	CONV	-	-	176 <mark>ab</mark>	220 <mark>ns</mark>	-	-
ins	CONV+	-	-	-	-	400 <mark>ns</mark>	327 <mark>ns</mark>
yan	ET	-	-	162 <mark>b</mark>	203	382	310
tal thoc ø/L)	PWS	-	-	170 <mark>ab</mark>	234	409	307
an (m	SWS	-	-	203 <mark>a</mark>	215	425	310
	CONV	-	-	2.2 ns	1.7 <mark>ns</mark>	3.2 <mark>ns</mark>	1.7 <mark>ns</mark>
S	CONV+	-	-	-	-	-	-
olic	ET	-	-	1.7	1.8	2.9	2.4
)tal	PWS	-	-	2.0	1.7	3.2	1.9
Тс рh	SWS	-	-	1.7	1.7	3.8	2.5

In 2018/2019 season, irrigation scheduling did not significantly affect berry anthocyanin, phenolic and tannin concentrations in SHI in Katnook (Table 12). In the 2019/2020, 2020/2021 and 2021/2022 seasons, juice quality parameters such as pH, TA, TSS, hue and total phenolics were also did not change in response to

different irrigation treatments in SHI in Katnook. However, statistically significant differences were observed between prodigy vines and SHI in Katnook. For instance, in the S4, prodigy vines showed significantly lower juice quality parameters (pH and TSS) and higher TA and total phenolics relative to SHI vines in Katnook.

		Season	S1	S2	S3	S4	Т	S	Τ×S
_		CONV	-	4.3 <mark>ns</mark>	-	3.6 <mark>a</mark>			
		CONV+	-	-	3.4 <mark>ns</mark>	S4 T S $3.6a$ **** $3.7a$ **** $3.6a$ - $3.6a$ - $3.6a$ - $3.6a$ - $3.6a$ - $3.6a$ - $5.3bcd$ - $5.1d$ - $25.8a$ - $26.7a$ - $26.7a$ - $25.9a$ - $22.5b$ - <td></td>			
		ET	-	4.3	3.3		*		
		PWS1	-	4.3	3.4	3.7 <mark>a</mark>			
		PWS2	-	-	-	3.6 <mark>a</mark>			
		SWS	-	4.3	3.3	3.6 <mark>a</mark>			
	Hq	Prod- CONV	-	-	3.3	3.0 <mark>b</mark>			
-		CONV	-	5.5 <mark>ns</mark>	7.0 <mark>ns</mark>	5.3 bcd			
		CONV+	-	-	-	5.9 <mark>b</mark>	*	***	* *
		ET	-	5.6	7.0	5.1 <mark>d</mark>	т	ጥ ጥ ጥ	~ ~
		PWS1	-	5.6	6.7	5.1 <mark>d</mark>			
		PWS2	-	-	-	5.1 <mark>d</mark>			
		SWS	-	5.2	7.0	5.1 <mark>d</mark>			
	ТА	Prod- CONV	-	-	7.4	8.6 <mark>a</mark>			
-		CONV	-	27.8 <mark>ns</mark>	-	25.5 <mark>a</mark>			
		CONV+	-	-	22.9 <mark>a</mark>	25.8 <mark>a</mark>			
		ET	-	29.6	20.5 <mark>b</mark>	26.5 <mark>a</mark>	*	***	*
		PWS1	-	28.7	20.6 <mark>b</mark>	26.7 <mark>a</mark>			
		PWS2	-	-	-	26.7 <mark>a</mark>			
		SWS	-	28.2	20.8 <mark>ab</mark>	25.9 <mark>a</mark>			
	TSS	Prod- CONV	-	-	22.5 ab	22.5 <mark>b</mark>			

Table 12: Variations in berry and juice composition in Katnook during four experimental seasons (2018/2019, 2019/2020, 2020/2021 and 2021/2022) in response to different irrigation treatments. Means (n = 4 - 8) are presented. Interactions between irrigation treatments are reported.

	Season	S1	S2	S3	S4	Т	S	T×S
	CONV	4.4 ns	2.3 ns	-	3.0 ab			
Total phenolics per gram berryTotal phenolics per berryColor per gram berry weightColour per berry (mgweight (absorbance units (au)(absorbance units (au)(absorbance units (au)(absorbance units (au)per gram berry weight)berryberry weight)berry	CONV+	-	-	2.9 <mark>a</mark>	3.5 <mark>a</mark>			
	ET	4.9	2.4	2.3 <mark>ab</mark>	2.9 <mark>b</mark>	*	***	*
(Y	PWS1	4.8	2.7	2.5 <mark>ab</mark>	3.0 <mark>ab</mark>			
mg ber	PWS2	-	-	-	3.0 <mark>ab</mark>			
'ry (Jer	SWS	4.3	2.1	2.0 bcd	3.0 <mark>ab</mark>			
Colour per ber anthocyanins p	Prod- CONV	-	-	1.5 d	-			
	CONV	3.6 <mark>ns</mark>	2.0 <mark>ns</mark>	-	2.1 <mark>ns</mark>			
ght am	CONV+	-	-	1.8 <mark>a</mark>	2.1	*		
/ wei er gra	ET	4.2	2.1	2.0 <mark>a</mark>	2.2	*	*	**
bern ns pe	PWS1	4.0	2.1	1.9 <mark>a</mark>	2.2			
ram :yanii rt)	PWS2	-	-	-	2.2			
ber g ithoc veigh	SWS	3.6	1.7	1.8 <mark>a</mark>	2.4			
Color p (mg ar berry y	Prod- CONV	-	-	1.2 <mark>b</mark>	-			
	CONV	3.2 <mark>ns</mark>	2.1 <mark>ns</mark>	-	2.8 ab			
۔ ب	CONV+	-	-	2.7 <mark>a</mark>	3.0 <mark>a</mark>			
erry u) pe	ET	3.4	2.3	2.4 abc	2.6 <mark>b</mark>	*	***	*
per b its (a	PWS1	3.3	2.3	2.6 <mark>ab</mark>	2.7 <mark>ab</mark>			
olics _I e uni	PWS2	-	-	-	2.7 ab			
henc	SWS	2.9	2.0	2.1 <mark>bc</mark>	2.6 <mark>b</mark>			
Total p (absorl berry)	Prod- CONV	-	-	2.0 <mark>c</mark>	-			
>	CONV	2.7 <mark>ns</mark>	1.9 <mark>ab</mark>	-	1.9 <mark>ns</mark>			
berr (au)	CONV+	-	-	1.4 <mark>ab</mark>	1.9			
tram units ht)	ET	2.9	2.0 <mark>a</mark>	2.0 <mark>a</mark>	1.9	*	**	**
per g ince j weig	PWS1	2.8	1.9 <mark>ab</mark>	2.0 <mark>a</mark>	2.0			
olics sorba	PWS2	-	-	-	2.0			
henc : (abs im be	SWS	2.4	1.6 <mark>b</mark>	1.9 <mark>ab</mark>	2.0			
Total p weight per gra	Prod- CONV	-	-	1.6 <mark>b</mark>	-			

	Season	S1	S2	S3	S4	Т	S	T×S
	CONV	9.3 <mark>ns</mark>	-	-	517 ns			
ion 8/8	CONV+	-	-	2.0 ns	530			
trat (m	ET	9.2	-	3.2	517	*	***	*
cent	PWS1	10.4	-	3.2	582			
conc	PWS2	-	-	-	568			
in a mo	SWS	10.6	-	2.9	685			
Tann in ho	Prod- CONV	-	-	3.2	-			
	CONV	-	4.7 <mark>ns</mark>	-	3.3 <mark>bc</mark>			
	CONV+	-	-	3.7 <mark>ab</mark>	3.0 <mark>c</mark>			
	ET	-	4.8	4.3 a	4.0 ab	*	***	*
	PWS1	-	4.8	4.2 <mark>a</mark>	4.0 ab			
	PWS2	-	-	-	4.2 a			
lsit)	SWS	-	4.6	4.1 <mark>a</mark>	3.7 <mark>bc</mark>			
Colour der	Prod- CONV	-	-	3.2 b	3.8 abc			
	CONV	-	1.0 <mark>ns</mark>	-	0.82 ns			
	CONV+	-	-	1.0 <mark>ns</mark>	0.75			
	ET	-	1.0	0.96	0.82	*	***	*
	PWS1	-	1.0	0.97	0.82			
	PWS2	-	-	-	0.86			
	SWS	-	1.0	0.92	0.70			
Hue	Prod- CONV	-	-	0.95	0.77			
()	CONV	-	254.2 <mark>ns</mark>	-	100.9 ns			
/Bu	CONV+	-	-	162.3 <mark>ab</mark>	78.82			
is (r	ET	-	237	234 <mark>a</mark>	105.5			
nin	PWS1	-	230	230 <mark>a</mark>	89.41	*	***	*
scys	PWS2	-	-	-	76.81			
Ithc	SWS	-	222	207 <mark>a</mark>	83			
Total ar	Prod- CONV	-	-	114 <mark>b</mark>	69.21			

	Season	S1	S2	S3	S4	Т	S	T×S
Total phenolics (g/L)	CONV	-	2.5 <mark>ns</mark>	-	1.6 <mark>b</mark>			
	CONV+	-	-	0.9 <mark>ns</mark>	1.6 <mark>b</mark>			
	ET	-	2.5	1.2	1.6 <mark>b</mark>			
	PWS1	-	2.4	1.1	1.6 <mark>b</mark>	*	***	*
	PWS2	-	-	-	1.6 <mark>b</mark>			
	SWS	-	2.2	0.9	1.6 <mark>b</mark>			
	Prod- CONV	-	-	1.1	2.0 <mark>a</mark>			

5.8 Wine composition

Table 13: Wine composition of Coonawarra Cabernet Sauvignon from AX88 East and West vineyards under different irrigation regimes.

	Season	Treatment	рН	ТА	Colour	Hue	Total Anthocyanins (mg/L)	Total Phenolics (g/L)
Alex East	2018/2019	CONV	4.11	5.35	4.77	1.05	365.47	4.05
		ET	4.14	5.60	4.80	1.05	326.21	3.50
		PWS	4.07	5.43	4.78	1.05	329.02	2.98
		SWS	4.12	5.27	4.79	1.05	279.02	2.77
	2019/2020	CONV	3.98	6.32	4.94	1.04	378.19	2.31
		ET	3.94	6.90	4.93	1.04	393.54	2.47
		PWS	3.98	6.60	4.89	1.04	392.45	2.99
		SWS	3.99	6.52	4.91	1.04	396.28	3.16
	2020/2021	CONV+	4.16	5.70	4.81	1.04	308.05	3.54
		ET	4.24	5.53	4.81	1.04	291.56	3.84
		PWS	4.20	5.56	4.75	1.05	295.90	3.59
		SWS	4.30	5.11	4.80	1.04	296.47	4.25
Alex West	2018/2019	CONV	4.05	5.31	4.77	1.05	335.54	4.94
		ET	4.06	5.10	4.82	1.05	309.84	4.02
		PWS	4.03	5.33	4.80	1.05	337.97	4.63
		SWS	4.06	5.22	4.82	1.05	309.59	4.13
	2019/2020	CONV	3.95	6.48	4.86	1.04	374.35	3.29
		ET	3.95	6.67	4.95	1.04	382.77	3.16
		PWS	3.92	6.37	4.81	1.04	376.78	3.33
		SWS	3.94	6.51	4.77	1.04	397.09	3.34
	2020/2021	CONV+	4.21	5.29	4.83	1.04	322.09	3.75
		ET	4.21	5.43	4.74	1.04	283.29	3.76
		PWS	4.13	5.34	4.81	1.04	312.91	3.43
		SWS	4.21	5.23	4.84	1.04	314.93	4.26

Irrigation scheduling methods did not have a significant effect on basic wine composition parameter, pH and TA, colour and hue in most years. The total anthocyanins in the wine were lower in the ET treatment in most years except 2019/20, with no differences between the other groups. Total phenolics were often higher in the data-driven treatments except in the first season, 2018/19. The higher polyphenolic concentrations were generally related to the extent of vine water stress in the post-veraison period.
	Season	Treatment	рН	ТА	Colour	Hue	Total Anthocyanins (mg/L)	Total Phenolics (g/L)
		LOW	4.21	4.70	4.78	1.05	354.34	4.13
	2018/2019	MEDIUM	4.13	4.79	4.79	1.05	350.51	3.46
		HIGH	4.14	4.78	4.77	1.05	339.76	4.63
		LOW	4.34	7.15	4.84	1.04	367.51	2.40
	2019/2020	MEDIUM	4.34	7.04	4.94	1.04	299.74	2.15
		HIGH	4.32	6.94	4.88	1.04	372.50	2.44
00		CONV+	4.17	5.24	4.74	1.05	265.52	1.99
atn	2020/2021	ET	3.90	5.47	4.64	1.02	258.54	2.53
×	2020/2021	PWS	4.08	5.13	4.72	1.03	260.71	1.86
		SWS	4.00	5.45	4.69	1.03	256.11	2.18
		CONV	4.09	6.30	4.88	1.03	226.90	1.39
	2021/2022	ET	4.05	6.08	4.84	1.04	293.15	1.78
	2021/2022	PWS	4.08	6.19	4,94	1.04	252.56	1.55

Table 14: Wine composition of Coonawarra Shiraz from Katnook Estate under different irrigation regimes. In 2018/19 and 2019/20, the wines were made categorised by three vine water stress levels, LOW, MEDIUM, HIGH.

In Shiraz grapevines, TA, pH, colour and hue were in a narrow range between treatments and did not differ significantly in any of the seasons. When the wines were grouped based on vine water stress levels in the first two seasons, the highest anthocyanin levels were observed in the low and high water stress levels, particularly in the 2019/20 season when the differences were significant. Phenolics followed a similar pattern in those years. When the different irrigation treatments were applied starting in 2020/21 season, the ET treatment had higher phenolics in both seasons, but there were few differences in anthocyanins (except for SWS in the 2021/22 season).

4.87

1.04

308.22

1.65

SWS

4.07

6.05

5.9 Financial analysis

Investment and operational costs for each irrigation treatment are shown in Table 15.

Table 15: Approximate investment and operational costs for each irrigation scheduling strategy investigated in this study. Operational costs for the GROW and ET treatments were calculated on a per season basis, whereas PWS and SWS operational costs were considered over a year as data subscription plans occur in 12-month cycles. Costs were considered for a 15-ha vineyard considering an 18-week irrigation period.

	Investment concept	Initial cost (\$)	Lifespan (years)	Operational concept	Yearly operational cost (\$)	Benefits
GROW	Experienced vineyard manager and one soil moisture sensor	3,453	10	Cost of wages involved in making decisions, ongoing maintenance of soil moisture probe	479	Minimal equipment requirements and interpretation of complex data
ET	Paso panel and labour required to establish crop factor values over one season	1,172	10	Cost of wages required to calculate ET _c	440	Ability to numerically calculate irrigation needs
PWS	Thermography towers (thermal camera, gateway, waterproof outdoor boxes, pole, self- installation)	6,000	5	Data subscription each month, ongoing annual maintenance, cost of wages to analyse data	580	Integrates data from the whole canopy with measurements directly related to vine transpiration
SWS	Multiple depth capacitance probe (probes, installation cost and software)	13,577	10	Data subscription each month, ongoing maintenance of soil moisture probe, cost of wages to analyse data	357	Well-established methodology with a high degree of support for troubleshooting equipment and data problems

The primary assumptions for the implementation of various sensors to obtain data relate to sensor density (i.e., number of sensors per ha), sensor prices, and lifespan.

The following financial metrics were calculated for three seasons per cultivar (2018-2021 for CAS; 2019-2022 for SHI):

- Economic Productivity (EP)
 Economic Water Productivity (EWP) Unit production cost
- Total operational cost
 Income Cash flow
- Net Present Value (NPV)
 Internal Rate of Return (IRR)
- Pay-back Period (PB)

Total margin

Results are presented in Tables 16-18 in the following pages.

5.9.1 Cabernet Sauvignon – Terrarossa

	2018/201	19			2019/2020				2020/2021				
	GROW	ET	PWS	SWS	GROW	ET	PWS	SWS	GROW+	GROW	ET	PWS	SWS
Gross margin (\$ ha ⁻¹)	20,001	19,925	18,203	20,221	7,635	14,936	9,893	10,775	23,373 a	18,082 ab	19,040 ab	18,668 ab	10,445 b
Economic water productivity (\$ ML ⁻¹)	32,764 b	61,914 a	60,774 ab	33,310 b	13,032 b	34,613 a	22,311 ab	20,026 ab	18,385 c	29,361 bc	86,353 a	61,549 ab	29,524 bc
Breakeven point (\$ t ¹)	1,305	1,337	1,439	1,309	2,313	1,654	1,970	1,976	1,214 a	1,341 a	1,400 ab	1,451 ab	1,897 b
NPV (\$ ha ⁻¹)	121,171	120,719	102,000	122,077	-4,622	69,987	17,492	26,003	155,499	101,603	111,708	106,735	22,630

Table 16: Financial indicators of various non-data and data-driven irrigation scheduling approaches for Cabernet Sauvignon grapevines grown on Terrarossa soil in Coonawarra.

One-way ANOVA and Tukey's multiple comparison test ($\alpha = 0.05$) was used to compare treatments

In Terrarossa soils, the gross margin of CAS varied quite significantly from season to season; the anomalous year was 2019-20 where the margin was half or less of the other two seasons. The highest margins were found for the GROW treatment (GROW+ in the last year), whereas the lowest values were in the PWS. The EWP was highest in the data-driven treatments, particularly ET and PWS, which was consistent across seasons. These numbers were high due to the low price (or no cost) of water in Coonawarra; in areas where water prices have to be factored into the model, the EWP numbers would be considerably lower, particularly in dry years. This numbers are consistent with the NPV – the highest NPVs are associated with the ET and PWS treatments. In the case where excess irrigation was applied, GROW+, there was a strong yield response translating to the highest NPV values of the group. To evaluate which of the irrigation strategies were economically feasible, the breakeven point was determined. The BP values were around \$1300-\$1500 per tonne of grapes, which reflects the minimum price that has to be received by

growers if selling their crop. Lower BP values were observed in GROW treatment (except in 2019-20) likely due to the low investment costs associated with the sensor infrastructure. However, this is a scenario specific to Coonawarra where water prices are not a factor, and could be quite different in areas like the inland regions along the Murray River, where water is a real cost to production and yields are substantially higher for a given amount of water applied, i.e. higher gains in water use efficiency are expected to decrease the BP relative to GROW/GROW+. These strategies may then have very different feasibilities.

5.9.2 Cabernet Sauvignon – Rendzina

Table 17: Financial indicators of various non-data and data-driven irrigation scheduling approaches for Cabernet Sauvignon grapevines grown on Rendzina soil in Coonawarra.

	2018/202	19			2019/2020				2020/2021				
	GROW	ET	PWS	SWS	GROW	ET	PWS	SWS	GROW+	GROW	ET	PWS	SWS
Gross margin (\$ ha ⁻¹)	19,401	18,922	11,889	22,900	7,705	4,995	4,485	3,542	20,802	18,118	17,645	13,234	9,841
Economic water productivity (\$ ML ⁻¹)	43,110 b	119,149 a	70,049 ab	48,511 b	16,452	14,934	13,846	9,539	29,387 b	51,108 ab	81,666 a	58,935 ab	39,004 b
Breakeven point (\$t ¹)	1,375	1,629	1,954	1,200	2,346	3,334	3,483	3,168	1,380	1,336	1,406	2,416	2,176
NPV (\$ ha ⁻¹)	115,053	110,508	37,774	149,318	-3,884	-38,553	-51,700	-49,992	122,641	101,940	97,522	40,365	16,484

One-way ANOVA and Tukey's multiple comparison test ($\alpha = 0.05$) was used to compare treatments

In the heavier Rendzina soils of Coonawarra, CAS gross margins followed a similar trend to the lighter Terrarossa soils – GROW had higher margins due to the low investment in infrastructure, but this was not linked to EWP or NPV. The returns per unit application of water (EWP) was highest in the ET treatment, primarily due to the low investment cost associated with obtaining crop coefficients and calculating ET_c. The EWP values were generally higher in Rendzina compared with Terrarossa (except 2019-20), reflecting the marginal benefit of using sensor technology in vineyards with heavier soils, possibly due to the greater disconnect between water content in the soil and vine water status that drives yield. The BP values were higher also compared to Terrarossa by nearly \$500 per tonne reflecting how critical it is to manage irrigation prudently in heavier soils that have a higher clay content. While NPV values were generally highest in the GROW/GROW+ treatments, the data-driven treatments were only slightly lower due to the investment costs associated with the infrastructure as well as slightly lower yields. With water prices factored in (in other regions), these numbers are likely to tip in favour of the data-driven treatments.

5.9.3 Shiraz – Terrarossa

	2019/2020				2020/2021					2021/2022					
	GROW	ET	PWS	SWS	GROW+	GROW	ET	PWS	SWS	GROW+	GROW	ET	PWS1	PWS2	SWS
Gross margin (\$ ha ⁻¹)	5,271	2,610	4,047	2,425	42,154 a	15,827 b	36,116 ab	44,777 a	41,522 a	29,588 ab	31,168 a	23,228 ab	19,779 b	24,265 ab	22,887 ab
Economic water productivity (\$ ML ⁻¹)	5,632	3,885	6,022	4,393	21,077 b	15,827 b	83,992 a	81,412 a	86,505 a	15,096 b	25,136 a	21,310 ab	17,199 ab	21,665 ab	24,092 a
Breakeven point (\$t ¹)	3,310	5,026	2,686	2,893	471 a	917 b	561 b	492 b	490 b	616 ab	576 a	753 ab	842 b	699 ab	749 ab
NPV (\$ ha ⁻¹)	- 72,524	- 105,041	- 62,701	- 87,233	359,906	85,220	297,118	386,263	351,842	228,841	226,375	162,756	125,594	129,137	157,521

Table 18: Financial indicators of various non-data and data-driven irrigation scheduling approaches for Shiraz grapevines grown on Terrarossa soil in Coonawarra.

One-way ANOVA and Tukey's multiple comparison test ($\alpha = 0.05$) was used to compare treatments

The Shiraz grapevines on Terrarossa soils had similar financial trends to the CAS. The highest gross margins were attained in the GROW treatment while the lowest were in the data-driven treatments. The benefit of using sensor driven technology was apparent when looking at the EWP: the PWS and ET treatments were amongst the highest in the group while the GROW/GROW+ were the lowest. The breakeven points for SHI were considerably lower than for CAS although the trends were the same; the lowest BPs were in the GROW treatment due to the low investment required, while the ET treatment was amongst the lowest in the data-driven group for the same reasons. The plant sensor-based treatments had the lowest BP and highest NPV of the group, so, in the long term, this strategy represents a prudent financial decision for SHI.

5.10 Irrigation Practices Survey

The Limestone Coast irrigation survey was launched on the 14th of July, 2021 and it was closed on the 23rd of August, 2021. Thirty-five grapevine growers were participated in the survey. The irrigation survey and responses are in the Appendix. The survey results were disseminated to the industry in September 2021 and one random respondent to Part 3 of the survey was selected to win a \$100 gift card. The number of survey respondents was 32.

Aggregated results of the irrigation practices survey data are provided in the Appendix. The highlights of the survey responses include:

- Most organisations surveyed had total vineyard area between 20-500 ha with average yields between 3-9 t/ha.
- Majority (~90%) of vineyards were irrigated using above-ground drip irrigation.
- Most (72%) irrigation decisions were made based on historical experience of the block(s).
- Most irrigators used weather forecasts to make irrigation decisions; less than 30% of the respondents used ET to schedule irrigation using historical or published crop coefficients.
- Two-thirds of the respondents used soil moisture monitoring; only two growers used plantbased sensors (sap flow, canopy temperature)
- The greatest barriers to adoption of new technology (for irrigation scheduling) was a lack of understanding of the technology, uncertainty of its value, and high cost.
- Approx. half the respondents plan to invest in new or additional irrigation technology within three years.

6 Other related outputs

Three scientific (peer-reviewed) manuscripts have been published as a part of this project:

- Gautam et al. (2021) Estimation of grapevine crop coefficient using a multispectral camera on an unmanned aerial vehicle. *Remote Sensing* 13: 2639.
- Gautam et al. (2020) A review of current and potential applications of remote sensing to study the water status of horticultural crops. *Agronomy* 10: 140.
- Pagay, V. (2021) Evaluating a novel microtensiometer for continuous trunk water potential measurements in field-grown irrigated grapevines. *Irrigation Science* 40: 45-54.

Two additional papers are in preparation:

- Schlank, R. et al. Evaluating different irrigation scheduling strategies in Cabernet Sauvignon grapevines according to soil type and water use efficiency metrics in a Mediterranean climate.
- Schlank, R. et al. Financial analysis of implementing different irrigation scheduling strategies in premium Cabernet Sauvignon grapevine in a cool climate Australian vineyard.

The findings of this research project were presented or will be presented at the following events.

- Pagay, V. (invited) Innovative technologies for precision irrigation in vineyards. 2021. American Society for Enology and Viticulture (ASEV) Precision Viticulture Symposium. (22nd June, 2021)
- Schlank, R. Evaluating different irrigation scheduling strategies in Cabernet Sauvignon grapevines according to soil type and water use efficiency metrics in a Mediterranean climate. Crush 2021-the grape and wine science symposium. Adelaide. 16th June 2021
- Presentations to industry collaborators
 - o WA bilateral project updates. Treasury Wine Estates. (27th August 2021)
 - WA bilateral project updates. Katnook Estate. (1st September 2021)
- ASVO podcast on irrigation strategies in vineyards (31st August, 2021)
- AWRI webinar on optimising irrigation in vineyards (November 2021)
- AWRI Irrigation Roadshows (several in 2022)
- AWITC 2022 Workshop on Water Use Efficiency (oral presentation, 26/06/2022)
- Abstract submitted to the ISHS 10th International Symposium on Irrigation of Horticultural Crops, Stellenbosch, South Africa (January-February 2023).

7 Concluding remarks

Irrigation strategies based on data-driven techniques enabled the saving of water whilst not penalising vine physiological performance, yield, or grape composition. A key finding of this trial was that, in premium vineyards under deficit irrigation, seasonal irrigation volumes only partly account for variable yields; irrigation schedules play a vitally important role in this regard, and managing irrigation based on data, whether soil moisture, plant water status or ET_c, can greatly benefit water use efficiency and, importantly, without sacrificing grape/wine quality. We validated two new commercial crop water status sensors – microtensiometers (Florapulse) and TranspIR (Athena IR-Tech) – as part of the trial; both were found to strongly correlate with conventional vine water status metrics such as stem water potential and stomatal conductance. High resolution remote sensing from UAVs was shown to be valuable for (i) assessing spatial variations in water status and canopy performance; and (ii) developing predictive models of these parameters over space and time. Together, these are essential for precision irrigation, informing the placement of crop based sensors as well as zoning of irrigation blocks into sub-blocks. Together, these techniques have the potential to increase water use efficiency in grapevine and other crops that are similarly irrigated. Our choice of the two vineyards for Shiraz and Cabernet Sauvignon that were contrasting in their variability highlighted the importance of understanding spatial variability to improve management practices, including irrigation scheduling. The use of continuous crop water status sensors provided near real-time (or daily) data to inform the temporal management of irrigation to achieve greater efficiencies in water management in Australian vineyards.

8 Acknowledgements

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10 Appendix: Limestone Coast Vineyard Irrigation Survey

Industry Experience and Operation

- 1. What is your age range?
 - a. 18–25
 - b. 26-35
 - c. 36-45
 - d. 46 55
 - e. 55+
- 2. What is your main occupation in the wine industry? (Please circle all that apply)
 - a. Grape grower
 - b. Viticulturist
 - c. Winemaker (not winery owner)
 - d. Winery owner

3. How long have you been involved in the grape and wine sector? ______

How many of these have you been growing or managing grapes for?

Of these years, how many have been in the Limestone Coast region?

- 4. Which region(s) of the Limestone Coast are you based or operating in?
- 5. What is the total vineyard size in which you operate? (for all varieties grown in the Limestone Coast region)
 - a. <20 ha
 - b. 20-49 ha
 - c. 50-99 ha
 - d. 100 500 ha
 - e. >500 ha
- 6. What is a typical annual yield range from your operations? (total tonnes for all <u>red winegrape</u> <u>varieties</u> grown in the Limestone Coast region)
- 7. Do you typically dry farm or irrigate your grapevines?
 - a. Irrigated
 - b. Rainfed/dry grown
- 8. If you irrigate, how do you deliver irrigation? (circle all relevant)
 - a. Above ground drip irrigation
 - b. Subsurface drip irrigation
 - c. Micro sprinklers
 - d. Overhead sprinklers
 - e. Other (please describe)

Irrigation Scheduling

- 1. How much time do you devote towards making irrigation decisions during an average week?
 - a. Less than 5 minutes

- b. 5 to 20 minutes
- c. 20+ minutes
- 2. How do you carry out irrigation scheduling in established vineyards (mature, > 5 years old)? (Please select options within each of a, b, c, d. More than one can be selected)
 - a. Historical irrigation levels
 - b. Regular visual assessments of vine canopies for signs of water stress
 - c. Weather forecasts
 - d. Soil moisture monitoring (sensor-based)
 - Soil water tension (e.g. gypsum blocks, tensiometers)
 - How many do you have across all your red winegrape vineyards?
 - Volumetric water content (e.g. capacitance probes)
 - How many do you have across all your red winegrape vineyards?
 - e. Evapotranspiration (ET)-driven
 - How do you obtain your reference ET?
 - How do you obtain your crop factors (crop coefficients, K_c)?
 - Which weather station do you use?
 - f. Plant water status

What type of sensor(s) do you use? (include make and model)

- How many do you have across all your red winegrape vineyards?
- g. Other
 - Remote sensing
 - UAVs/Drones
 - Fixed wing aircraft
 - Satellite

What specific dataset or indices are you looking at?

• Not listed (if circled, please describe)

3. If you use historical irrigation practices and/or weather forecast data, are there any specific barriers that have prevented you from adopting/considering other sensor-based (e.g. soil or plant sensors) technologies listed? Check one or more boxes from the list below:

- a. High cost
- b. Unsure of value of technology / lack of understanding

- 4. Why did you choose the specific irrigation scheduling approach you are currently using? What motivated this decision? You can circle more than one:
 - a. Familiarity/previous experience
 - b. Recommended by colleagues
 - c. Availability (e.g. sensor hardware, data access/visualization)
 - d. Convenience (or sensors already in place), ease of use
 - e. Reliability
 - f. Maintenance (if sensors used)
 - g. Price
 - h. Other: _____
- 5. What are your concerns about your current irrigation practices?
 - a. No concerns
 - b. High energy cost
 - c. Maintenance of irrigation infrastructure
 - d. Low irrigation system efficiency
 - e. Uncertainty about plant and/or soil moisture levels
 - f. Inadequate information to make prudent irrigation decisions
 - g. Other:_____
- 6. What are your future plans in terms of investment/adoption of technologies to aid in irrigation scheduling? Please describe according to the criteria mentioned below:
 - a. Timeframe for investment: _____
 - b. Infrastructure/sensors(s): _____
 - c. Budget: _
 - d. No current plans to invest in irrigation technology

Thank you for your participation. If you would like to receive a copy of the aggregated survey results of the region, please fill in your contact details.

BOX to allow participants to fill in name, email address, phone number (optional)

You may optionally continue to the next page to provide some cultivar specific information for a chance to win a \$50 gift card/voucher. Please remember to enter your contact details above before you proceed to the next page.

Cultivar-specific irrigation

Please fill in all relevant information for the varieties you <u>typically</u> grow focusing on <u>only one</u> specific block per cultivar during growing season (not including frost protection). Please fill in as much as you are comfortable providing information about.

	Size and soil type of plantings (Ha)	Region within Limestone Coast	Vine age (mature, more than 5 years old)	Vine density (vine/ha)	Is this block deficit irrigated, and if so what regime? (RDI* or SDI*, other)	Typical irrigation regime (mm per week)	Number of irrigation applications per week	Total seasonal irrigation ML/ha (typical ranges, max and min)	Yield (t/Ha) (2020 and 2016 vintage)	Average farm gate value for grapes (\$/tonne) a. <\$500 b. \$500 - \$1200 c. \$1200 - \$2000 d. \$2000+
Barbera										
Cabernet Franc										
Cabernet										
Sauvignon										
Malbec										
Merlot										
Nebbiolo										
Petit Verdot										
Pinot Meunier										
Pinot Noir										
Shiraz										
Tempranillo										
Other red:										
Chardonnay										
Gewürztraminer										
Pinot Gris/Grigio										
Riesling										
Sauvignon Blanc										
Semillon										
Other white:										

*RDI: Regulated deficit irrigation *SDI: Sustained deficit irrigation

Vineyard Irrigation Practices Survey Limestone Coast Region (SA)

- Date of Survey: July-August 2021
- Number of respondents: 32 (17 completed all three sections)
- Survey conducted by Dr Vinay Pagay, University of Adelaide (vinay.pagay@adelaide.edu.au)

Age and occupation





Background/experience



Operation location(s) (sub-regions), Vineyard size



Annual Yields





Irrigation system type



Irrigation Decision-making (time, method)





Irrigation Scheduling Use of Soil moisture and weather forecasts





Irrigation Scheduling Use of Evapotranspiration - ET



Irrigation Scheduling Use of Evapotranspiration – ET – Crop Factors



Irrigation Scheduling Use of Soil moisture sensors



Irrigation Scheduling Use of plant-based sensors



Irrigation Scheduling Use of Remote Sensing



Adoption of AgTech - Barriers



*Other/Comments: "Use in combination with other devices", "Easy to find information", "Nothing replaces feet in the vineyard", "Use of shovel and boot".

Adoption of AgTech – Investment Plans





Adoption of AgTech – Future Plans





Motivation to continue current irrigation approach



Other/Comments: "It was what was here when we purchased 6 years ago", "Employ a water monitoring company to do the scheduling recommendations"

Irrigation Scheduling – Concerns



*Other/Comments: "Sensors often getting damaged or failing", "We feel the monitoring is sometimes giving us information which may be pushed towards the high end of the vine's needs", "Employing a water monitoring company is quite costly", "Looking to maximise water use efficiency while trying to minimise actual volume used", "Salinity & water availability in a changing climate", "We run high stress levels, there is a fine line in running high stress levels if the weather pattern is unstable".

Limestone Coast – Cultivars (from survey responses)



Additional responses received for Coonawarra and Mount Benson

- Variety: Cabernet Sauvignon, Merlot, Shiraz and Chardonnay
- Median size area: 7.75 ha Range: 0.1 to 45 ha
- Soil type: Terra Rossa and Rendzina
- **Regions:** Coonawarra and Mount Benson
- Median vine age: 24 years Range: 7 to 35 years
- Average vine density: 1908 vines/ha Range: 1580 to 2424 vines/ha
- 82% do not use deficit irrigation regimes, 18% using RDI regimes for deficit irrigation No SDI used
- Median irrigation volumes: 12.5 mm/week Range: 6 to 16 mm/week
- **Irrigation frequency:** 1 to 4 per week
- Seasonal irrigation applied: 0.2 to 3.0 ML/ha (Mount Benson average 2.75 ML/ha)
- Annual farmgate value of winegrapes (\$/tonne): approx \$2000 Range: \$1100 to \$5000



Review



A Review of Current and Potential Applications of Remote Sensing to Study the Water Status of Horticultural Crops

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Abstract: With increasingly advanced remote sensing systems, more accurate retrievals of crop water status are being made at the individual crop level to aid in precision irrigation. This paper summarises the use of remote sensing for the estimation of water status in horticultural crops. The remote measurements of the water potential, soil moisture, evapotranspiration, canopy 3D structure, and vigour for water status estimation are presented in this comprehensive review. These parameters directly or indirectly provide estimates of crop water status, which is critically important for irrigation management in farms. The review is organised into four main sections: (i) remote sensing platforms; (ii) the remote sensor suite; (iii) techniques adopted for horticultural applications and indicators of water status; and, (iv) case studies of the use of remote sensing in horticultural crops. Finally, the authors' view is presented with regard to future prospects and research gaps in the estimation of the crop water status for precision irrigation.

Keywords: UAS; UAV; drone; unmanned; satellite; water stress; irrigation; vegetation index

1. Introduction

Understanding the water status of crops is important for optimal management and application of water to accommodate for inter and intra-field variability to achieve a specific target, such as maximum water use efficiency, yield, quality, or profitability [1,2]. The importance of optimal water management in agriculture in semi-arid or arid regions has become increasingly important in light of recent water scarcities through reduced allocations, as well as increased demand due to greater areas under production [3,4]. Climate change is expected to further intensify the situation due to the increased frequency of heatwaves and drought episodes [5]. Climate change coupled with the necessity to increase food production due to an increase in global population has placed pressure on horticultural sector to improve efficiencies in resources use, e.g., water, for sustainable farming [6– 10]. Horticultural crops will have to produce more 'crop-per-drop' in the face of limited water resources. Informed management of water resources whilst maintaining or increasing crop quality and yield are the primary goals of irrigation scheduling in horticulture. These goals can be achieved by improving our understanding of the water status of the crops at key phenological stages of development.

Traditional decision-making for irrigation of horticultural crops includes using information from a combination of sources such as historical regimes, soil moisture measurements, visual assessments of soil and/or crop, weather data including evapotranspiration (ET), and measurements of crop water status using direct-, proximal- or remote-sensing techniques [11–13]. Some growers undertake routine ground-based measurements, e.g., pressure chamber, for estimation of crop water
status to make decisions on irrigation [14–16]. These ground-based measurements are robust; however, destructive, cumbersome, and expensive to acquire a reasonable amount of data [14,16–18]. Consequently, the measured leaf is assumed to represent the average population of leaves of the individual crop, and a few crops are assumed to represent the average population of the entire irrigation block. As a result, over- or under-watering can occur, which can lower yield and fruit quality [19–22]. This is especially evident for non-homogenous blocks where spatial variability of soil and water status is expected [23–25].

To address some of the limitations of ground-based measurements, remote measurement techniques were introduced with capabilities to measure at higher spatial resolution, larger area, and on a regular basis [26–29]. Remote sensing, in particular, unmanned aircraft systems (UAS), presents a flexible platform to deploy on-demand sensors as a tool to efficiently and non-destructively measure crop water status [30]. Using thermal and spectral signatures, remote sensing techniques can be used to characterise a crop's water status. Knowledge of crop water status allows growers to more efficiently schedule irrigation (i.e., when and how much water to apply). In this regard, UAS platforms provide a convenient methodology to monitor the water status across a farm, both spatially and temporally at the canopy level [31–33]. The spectral, spatial, and temporal flexibility offered by UAS-based remote sensing may in future assist growers in irrigation decision-making [34,35].

This review provides an overview of the application of remote sensing to understand the crop's water status (e.g., leaf/stem water potential, leaf/canopy conductance), soil moisture, ET, and physiological attributes, all of which can contribute to understanding the crop's water status to implement precision irrigation. Although the key focus of this review is UAS-based remote sensing, a comparison has been undertaken with other remote sensing platforms, such as earth observation satellites, which are being increasingly used to acquire similar information. In the following sections, we provide an overview of the most common remote sensing platforms in horticulture, various sensors used for remote sensing, and several predictive indices of crop water status. Two case studies of remote sensing in horticultural crops, grapevine and almond, are then presented followed by an overview of the current research gaps and future prospects.

2. Remote Sensing Platforms

Ground-based direct or proximal sensors acquire instantaneous water status measurement from a spatial location. For decision-making purposes, the data is generally collected from multiple locations across a field, which allows geospatial interpolation, such as kriging, to be applied [36–38]. This scale of data collection is, however, cumbersome, inefficient, and error-prone, especially for water status measurements of large areas [17]. Monitoring and observing farms at a larger spatial scale prompted the launch of several earth observation satellite systems that typically operate at an altitude of 180–2000 km [39]. Manned high-altitude aircraft (operating within few km) and, more recently, UAS (operating under 120 m) filled the spatial gap between high-resolution ground measurements and relatively low-resolution satellite measurements [40,41]. In the context of water status estimation for horticultural crops, all the aforementioned remote sensing platforms are utilised depending on the user requirements [23,42,43]. Each remote sensing platform has its own advantage and shortcomings. The decision to obtain remote sensing crop water status data from one or more of these platforms will depend on the spatial and temporal resolution desired. Satellite and manned aircraft can be useful for regional-scale characterisation, whereas UAS can be more useful to map the intra-field variability. Vehicle-based ground systems also possess similar measurement capabilities, like remote sensing, however, at a smaller scale [44,45]. These systems can move within the horticultural rows obtaining water status measurements of adjacent plants while the vehicle is moving, enabling them to cover a relatively larger area as compared to ground-based direct measurements [46-48].

The use of satellite systems for remote sensing started with the launch of Landsat-1 in 1972 [39,49]. The subsequent launch of SPOT-1 in 1986 and Ikonos in 1999 opened the era of commercial satellite systems that resulted in rapid improvement in imaging performance, including spatial and spectral resolution [50]. Continued launch of satellites from the same families, with newer sensor models and improved capability, resulted in the formation of satellite constellations (e.g., Landsat, Sentinel, SPOT, RapidEye, GeoEye/WorldView families). The satellite constellation substantially improved the revisit cycle of the satellite system [51]. Recently, the miniature form of the satellite termed Nanosat or Cubesat has been developed, which can be deployed on the same orbit in a large number (20s–100s), enabling frequent and high-resolution data acquisition (e.g., Dove satellite from Planet Labs) [52].

The earth observation satellite system, such as Landsat, Sentinel, MODIS, RapidEye, and GeoEye, have been used to study horticultural crops (Table 1). These satellite system offer camera systems with spectral bands readily available in visible, near infrared (NIR), short-wave infrared (SWIR), and thermal infrared (TIR). The measurement in these bands provides opportunities to study a crop's water status indirectly via, for example, calculation of the normalised difference vegetation index (NDVI), crop water stress index (CWSI), and ET [8–10] at the field- and regional-scales.

Table 1. Some satellite systems that have been used	to study the water status of horticultural c	rops.
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Satellites	Band Numbers: Band Designation	Spatial Resolution (m)	Revisit Cycle
Landsat 7	8: V ³ , NIR ¹ , SWIR ² , TIR ¹ , Pan ¹	15-60	16 days
Landsat 8	11: C ¹ , V ³ , NIR ¹ , SWIR ² , Pan ¹ , Ci ¹ , TIR ²	15-100	16 days
Sentinel-2	13: C ¹ , V ³ , RE ³ , NIR ² , WV ¹ , Ci ¹ , SWIR ²	10-60	5 days
Spot-6 and-7	5: Pan ¹ , V ³ , NIR ¹	1.5	1 day
RapidEye	5: V ³ , NIR ¹ , RE ¹	5	5.5 days
GeoEye-1	5: Pan ¹ , V ³ , NIR ¹	0.41–2	3 days

Note: Superscript integers ^{1, 2, 3} represent the number of bands; V = visible, NIR = near infrared, SWIR = short-wave infrared, TIR = thermal infrared, Pan = panchromatic, C = coastal, Ci = cirrus, RE = red edge, WV = water vapour.

The reflected/emitted electromagnetic energy from the crop reaching the sensor is recorded at a specific wavelength. The width of the observed wavelength expressed in full width at half maximum (FWHM) is called spectral resolution. The number of observed bands and the spectral resolution indicates the ability of the satellite to resolve spectral features on the earth's surface. Commonly used earth observation satellite systems possess between four and 15 bands with approximately 20–200 nm FWHM spectral resolution. The bands are generally designated for the visible and NIR region with extended capabilities in SWIR, TIR, as well as red edge region (Table 1). The most widely used band combinations to study the water status of vegetation are the visible, NIR and TIR bands [23,25,53,54]. With the plethora of satellite systems currently available, user requirements on band combination may be achieved by using multiple satellites. However, acquiring an extra or a narrower band to the existing capabilities is not possible.

The ground distance covered per pixel of the satellite image is called the spatial resolution, whereby, a higher spatial resolution indicates a smaller ground distance. Existing satellite systems, due to their lower spatial resolution and large coverage, are suited to study larger regions [55]. For a smaller observation area, such as a farm block, an irrigation zone, a single row of the horticultural crop, or a single canopy, this spatial resolution is considered sub-optimal. Often, a pixel of the satellite image comprises of multiple rows and multiple canopies of horticultural crops [42,56]. Thus, the spectral response on a single pixel of the satellite image includes a mixed spectral signal from the canopy, inter-row vegetation and/or bare soil. The mixed-pixel is particularly unavoidable in horticultural crops with large inter-row surfaces, introducing errors in satellite-based estimations [42,56]. Improving the spatial resolution from freely available Landsat/Sentinel satellites (spatial

resolution 10–15 m) to such as WorldView-3 (spatial resolution 0.3 m), does not necessarily resolve single canopies of many horticultural crops.

Current satellite systems generally offer a temporal resolution of about 1–2 weeks this resolution corresponds to the satellite's revisit interval (Table 1). For example, freely available Landsat-8 and Sentinel-2 offer revisit cycles of 16 and 5 days, respectively. Although the MODIS sensor on NASA's Terra and Aqua satellites offer a greater temporal resolution (1–2 days), its spatial resolution is relatively coarse (250 m-1 km) to be valuable for horticulture [25]. The revisit cycle of satellites does not alone represent the timeframe on which the data can be interpreted. For instance, post-data acquisition, there are often delays in data transfer to the ground station, handling, and delivery to the end user. The end user then needs to process the data before making an interpretation. Such processing can be a combination of atmospheric, radiometric, and geometric corrections, where applicable [57,58]. Furthermore, as the agricultural applications of the satellite imagery are illumination sensitive and weather dependent, conditions have to be optimal on the satellite revisit day to avoid data corruption due to, for example, cloud cover [23,53]. Cloud corrupted data (~55% of the land area is covered by cloud at any one time [59]) will require users to wait for the next revisit to attempt the data acquisition. Time-series image fusion techniques, such as the spatial and temporal adaptive reflectance fusion model, can improve the spatial and temporal resolution of the satellite data [60,61]. These fusion techniques blend the frequent (however low-resolution) with higherresolution (but infrequent) satellite data [62,63]. The result combines the best aspects of multiple satellite systems to produce frequent and higher-resolution data, which can be useful for timely monitoring of water status.

The clear advantage of the satellite system is the ability to capture data at a large scale and at an affordable cost (e.g., the user can download Landsat and Sentinel data for free). The compromise with the satellite data is in spatial resolution, as well as the relatively long revisit cycle (in the order of days to weeks), making the data less than ideal for specific applications, e.g., irrigation scheduling.

2.2. Manned Aircraft System

Operating within few kilometres above ground level, manned aircraft have been used to remotely acquire agricultural data at higher spatial detail (compared to the satellites) and over a larger region (compared to UAS) [42,64]. Light fixed-wing aircraft and helicopters are the commonly used manned aircraft employed in agricultural remote sensing. The fixed-wing aircraft generally flies higher and faster, enabling the coverage of a larger area, whereas the helicopters are traditionally flown lower and slower, enabling a spatially detailed observation. A significant advantage of the manned aircraft, compared to UAS, lies in their ability to carry heavier high-grade sensors, such as AVIRIS, HyPlant, HySpex SWIR-384, Specim AisaFENIX, and Riegl LMS Q240i-60 [65–67]. The use of manned aircraft is, however, limited by high operational complexity, safety regulations, scheduling inflexibility, costs, and product turnaround time. As a result, these platforms are barely used as compared to the recent surge in the use of UAS, specifically for horticultural crops [68–70].

In horticulture, manned aircraft was used to characterise olive and peach canopy temperature and water stress using specific thermal bands (10.069 μ m and 12.347 μ m) of a wideband (0.43–12.5 μ m) airborne hyperspectral camera system [71,72]. This work found moderate correlations (R² = 0.45– 0.57) of ground vs. aerial olive canopy temperature measurements [72], and high correlations (R² = 0.94) of canopy temperature vs. peach fruit size (diameter) [71]. The advantage of manned aircraft for remote sensing of a large region was highlighted in recent work that characterised regional-scale grapevine (*Vitis vinifera* L.) water stress responses of two cultivars, Shiraz and Cabernet Sauvignon, in Australia [64]. Airborne thermal imaging was able to discriminate between the two cultivars based on their water status responses to soil moisture availability (Figure 1).



Figure 1. Water status of Shiraz and Cabernet Sauvignon under similar soil moisture as captured from manned aircraft [64].

2.3. Unmanned Aircraft Systems

Both the fixed-wing and the rotary-wing variant of UASs are used in agricultural remote sensing. Each variant has its advantages and shortcomings vis-à-vis sensor payload, flexibility, and coverage. In this regard, the literature provides a list of state-of-the-art UAS [73], their categorisation [74], and overview of structural characteristics, as well as flight parameters [75], in the context of agricultural use. Depending on the number of rotors, a rotary-wing UAS can be a helicopter, a quadcopter, a hexacopter, or an octocopter, among others. Rotary-wing UAS are more agile and can fly with a higher degree of freedom [76], while fixed-wing UAS needs to be moving forward at a certain speed to maintain thrust. As a result, rotary-wing UAS provides flexibility and specific capabilities, such as hovering, vertical take-off and landing, vertical (up and down) motions, or return to the previous location. On the contrary, fixed-wing UAS fly faster, carry heavier payloads, and have greater flying time enabling coverage of larger areas in a single flight [77]. Recently developed fixedwing UAS with vertical take-off and landing capabilities, such as BirdEyeView FireFly6 PRO, Elipse VTOL-PPK, and Carbonix Volanti, captures the pros of both fixed-wing and rotary-wing, making them a promising platform for agricultural purposes. In the context of precision agriculture, the application of UAS, their future prospects, and knowledge gaps are discussed in [53,78–81]. While many horticultural crops have been studied using UAS technology, the most studied horticultural crops are vineyards [31,82–84], citrus [85,86], peach [32,33], olive [18,87,88], pistachio [89,90], and almond [91-94], among others [95-99]. Some of the UAS types used for water status studies of horticultural crops are shown in Figure 2.





Figure 2. Examples of unmanned aircraft systems (UAS) used to study water status in horticulture crops: (**a**) hexacopter equipped with RGB, multispectral and thermal camera at The University of Adelaide, Adelaide, Australia (**b**) quadcopter equipped with a thermal and multispectral camera [100], (**c**) fixed-wing aircraft used for GRAPEX project to carry RGB, thermal and monochrome camera with narrowband filters [101], and (**d**) helicopter used for various studies of crop water status [18,92,102].

UAS offers flexibility on spatial resolution, observation scale, spectral bands, and temporal resolution to collect data on any good weather day. However, like satellite and manned aircraft, the UAS is inoperable during precipitation, high winds, and temperatures. By easily altering the flying altitude, the UAS provides higher flexibility to observe a larger area with lower spatial resolution or smaller area with much greater detail [103]. Temporally, the UAS can be scheduled at a user-defined time at short notice, thus accommodating applications that are time-sensitive, such as capturing vital phenological stages of crop growth. Spectrally, UAS offer flexibility to carry on-demand sensors and interchangeability between sensor payloads; thus, any desired combination of sensors and spectral bands can be incorporated to target specific features.

UAS-acquired image data requires post-processing before it can be incorporated into the grower decision-making process. Mosaicking of UAS images currently has a turnaround time of approximately one day to one week, subject to the size of the dataset, computational power, and spectral/spatial quality of the product [104,105]. Spectral quality of the data is of optimal importance, whereas the spatial quality can be of less importance, such as for well-established horticultural crops. Higher spectral quality demands calibration of the spectral sensors and correction of atmospheric effects. Following post-processing of aerial images, the UAS-based spectral data have shown to be highly correlated with ground-based data [82,102,106].

The most common UAS-based sensor types to study the crop water status are the thermal, multispectral and RGB, while hyperspectral and LiDAR (Light detection and ranging) sensors are used less often [23,79,107]. Spectral sensors provide the capability to capture broader physiological properties of the crop, such as greenness (related to leaf chlorophyll content and health) and biomass, that generally correlate with crop water status [82,108]. Narrower band spectral sensors provide direct insight into specific biophysical and biochemical properties of crops, such as via photochemical reflectance index (PRI) and solar-induced chlorophyll fluorescence (SIF), which reflects a plant's photosynthetic efficiency [109,110]. Thermal-based sensors capture the temperature of the crop's surface, which indicates the plant's stress (both biotic and abiotic) [53]. Generally, digital RGB camera and LiDAR can be used to quantify 3D metrics, such as the plant size and shape, via 3D pointclouds with sufficient accuracy for canopy level assessment [111–118].

3. Remote Sensor Types

3.1. Digital Camera

A digital camera typically incorporates an RGB, modified RGB, and a monochrome digital camera. The lens quality of the camera determines the sharpness of the image, while the resolution

of the camera determines its spatial resolution and details within an image. The RGB camera uses broad spectral bandwidth within the blue, green and red spectral region to capture energy received at the visible region of the electromagnetic spectrum. The images are used to retrieve dimensional properties of the crop, terrain configuration, macrostructure of the field, and the spatial information. Based on the dimensional properties, such as size, height, perimeter, and area of the crown, the resource need practices can be estimated [119–121]. Generally, a larger crop is expected to more quickly use available water resources, resulting in crop water stress at a later stage of the season if irrigation is not sufficient. The evolution of canopy structure within and between seasons can be useful to understand the spatial variability within the field and corresponding water requirements. The macro-structure of horticultural crops, such as row height, width, spacing, crop count, the fraction of ground cover, and missing plants, can be identified remotely, which can aid in the allocation of resources [113,122]. The terrain configuration in the form of a digital elevation model (DEM) generated from a digital camera can also enable understanding of the water status in relation to the aspect and slope configuration of the terrain.

3.2. Multispectral Camera

A multispectral camera offers multiple spectral bands across the electromagnetic spectrum. Most common airborne multispectral cameras have 4–5 bands which include rededge and NIR bands in addition to the visible bands, R-G-B (e.g., Figure 3a,c). Configurable filter placement of the spectral band is also available, which can potentially target certain physiological responses of horticultural crops [102]. Spectrally, the airborne multispectral camera has been reported to perform with consistency, producing reliable measurements following radiometric calibration and atmospheric correction [123–125]. Their spatial resolution has been found to be sufficient for horticultural applications enabling canopy level observation of the spectral response. For this reason, as well as relatively low cost, multispectral cameras are used more frequently in horticulture applications.



Figure 3. Some examples of sensors used on a UAS platform to study water status of horticultural crops: **(a)** A multispectral camera (Tetracam Mini-MCA-6, Tetracam, Inc., Chatsworth, CA, USA) [126]. **(b)** A thermal camera (FLIR TAU II, FLIR Systems, Inc., USA) [100,108]. **(c)** A multi-sensor camera setup with an RGB (Sony α 7R III, Sony Electronics, Inc., Minato, Tokyo, Japan), a multispectral (MicaSense RedEdge, MicaSense Inc., Seattle, WA, USA), and a thermal (FLIR TAU II 640, FLIR Systems, Inc., USA) camera. **(d)** A micro-hyperspectral camera (Micro-Hyperspec, Headwall Photonics, MA, USA) [110].

Chlorophyll and cellular structures of vegetation absorb most of the visible light and reflect infrared light. The rise in reflectance between the red and NIR band is unique to live green vegetation and is captured by vegetation spectral index called NDVI (Table 2, Equation (3). Once the vegetation starts to experience stress (biotic and abiotic), its reflectance in the NIR region is reduced, while the reflectance in the red band is increased. Thus, such stress is reflected in the vegetation profile and easily captured by indices, such as NDVI. For this reason, NDVI has shown correlations with a wide array of crops response including vigour, chlorophyll content, leaf area index (LAI), crop water stress, and occasionally yield [34,82–84,127].

The rededge band covers the portion of the electromagnetic spectrum between the red and NIR bands where reflectance increases drastically. Studies have suggested that the sharp transition between the red absorbance and NIR reflection is able to provide additional information about vegetation and its hydric characteristics [128]. Using the normalised difference red edge (NDRE) index, the rededge band was found to be useful in establishing a relative chlorophyll concentration map [127]. Given the sensitivity of NDRE, it can be used for applications, such as crops drought stress [107]. With regard to the water use efficiency, a combination of vegetation indices (VIs) along with structural physiological indices were found to be useful to study water stress in horticultural crops [34,82,129].

3.3. Hyperspectral

Hyperspectral sensors have contiguous spectral bands sampled at a narrower wavelength intervals spanning from visible to NIR spectrum at a high to ultra-high spectral resolution (Figure 3d). Scanning at contiguous narrow-band wavelengths, a hyperspectral sensor produces a three dimensional (two spatial dimensions and one spectral dimension) data called hyperspectral data cube. The hyperspectral data cube is a hyperspectral image where each pixel contain spatial information, as well as the entire spectral reflectance curve [130]. Based on the operating principle and output data cube, hyperspectral sensors for remote sensing can include a point spectrometer (aka spectroradiometer), whiskbroom scanner, pushbroom scanner, and 2D imager (Figure 4) [130,131]. A point spectrometer, samples within its field of view solid angle to produce an ultra-high spectral resolution spectral data of a point [130,132]. A whiskbroom scanner deploys a single detector onboard to scan one single pixel at a time. As the scanner rotates across-track, successive scans form a row of the data cube, and as the platform moves forward along-track, successive rows form a hyperspectral image [133]. A pushbroom scanner deploys a row of spatially contiguous detectors arranged in the perpendicular direction of travel and scans the entire row of pixels at a time. As the platform moves forward, the successive rows form a two-dimensional hyperspectral image [40,134]. The 2D imager using different scanning techniques [130] captures hyperspectral data across the image scene [135,136]. The point spectrometer offers the highest spectral resolution and lowest signal-to-noise ratio (SNR) among the UAS-compatible hyperspectral sensors [137,138].



Figure 4. The data cube structure of different spectral sensors. The number of bands and resolution is shown as an example and does not indicate true sensor capability (adapted from [130]).

In horticultural applications, hyperspectral data, due to the high resolution contiguous spectral sampling, possesses tremendous potential to detect and monitor specific biotic and abiotic stresses [139]. Narrowband hyperspectral data was used to detect water stress using the measurement of fluorescence and PRI over a citrus orchard [110]. PRI was identified as one of the best predictors of water stress for a vineyard in a study that investigated numerous VIs using hyperspectral imaging [140]. High-resolution thermal imagery obtained from a hyperspectral scanner was used to map canopy stomatal conductance (*g*_s) and CWSI of olive orchards where different irrigation treatments were applied [18]. With the large volume of spatial/spectral data extracted from the hyperspectral data cube, machine learning will likely be adopted more widely in the horticultural environment to model water stress [141]. See Reference [54] for a comprehensive review of hyperspectral and thermal remote sensing to detect plant water status.

3.4. Thermal

Thermal cameras use microbolometers to read passive thermal signals in the spectral range of approximately 7–14 μ m (Figure 3b). Small UAS are capable of carrying a small form-factor thermal camera with uncooled microbolometers, which does not use an internal cooling mechanism and, therefore, does not achieve the high SNR that can be found in cooled microbolometer-based thermal cameras. An array of microbolometer detectors in the thermal camera receives a thermal radiation signal and stores the signal on the corresponding image pixel as raw data number (DN) values. The result is a thermal image where each pixel has an associated DN value, which can be converted to absolute temperature. A representative list of commercial thermal cameras used on UAS platforms and their applications with regard to agricultural remote sensing is found in the literature [23,53,73]. Thermal imagery enables the measurement of the foliar temperature of plants. The foliar temperature difference between well-watered and water-stressed crops is the primary source of information for water stress prediction using a thermal sensor [142]. When mounted on a remote sensing platform, the canopy level assessment of crop water status can be performed on a large scale.

Thermal cameras are limited by their resolution (e.g., 640 × 512 is the maximum resolution of UAS compatible thermal cameras in the current market) and high price-tag [53]. The small number of pixels results in low spatial resolution limiting either the ability to resolve a single canopy or ability to fly higher and cover a larger area. If flown at a higher altitude, the effective spatial resolution may be inadequate for canopy level assessment of some horticultural crops. For example, a FLIR Tau2 640 thermal camera with a 13 mm focal length when flown at an altitude of approximately 120 m results in a spatial resolution of 15.7 cm. For relatively large horticultural crops, such as grapevine, almond, citrus, and avocado, the resolution at a maximum legal flying altitude of 120 m in Australia (for small-sized UAS) offers an adequate spatial resolution to observe a single canopy.

Another challenge with the use of thermal cameras is the temporal drift of the DN values within successive thermal images, especially with uncooled thermal cameras [143]. Due to the lack of an internal cooling mechanism for the microbolometer detectors, DN values registered by the microbolometers experience temporal drift i.e., the registered DN values for the same temperature target will drift temporally. Thus, the thermal image can be unreliable especially when the internal temperature of the camera is changing rapidly, such as during camera warmup period or during the flight when a gust of cool wind results in cooling of the camera. To overcome this challenge, the user may need to provide sufficient startup time before operation (preferably 30–60 min) [102,143–145], shield the camera to minimize the change in the internal temperature of the camera [146–153], and perform frequent flat-field corrections.

3.5. Multi-Sensor

To carry multiple sensors, the total UAS payload needs to be considered that includes, in addition to the sensors, an inertial measurement unit (IMU) and global navigation satellite system (GNSS) for the georeferencing purpose [40,154]. Higher accuracy sensors tend to be heavier, and in a multi-sensor scenario, the payload can quickly reach or even exceed the payload limit. This has limited contemporary measurements in earlier multirotor UAS requiring separate flights for each of

sensor [126]. The use of fixed-wing UAS has allowed carrying higher payloads due to the much larger thrust-to-weight ratio as compared to a rotary-wing aircraft [155]. Similarly, recent advancement in UAS technology and lightweight sensors have enabled multirotor (payload 5–6 kg readily available) to onboard multi-sensors.

Water status of crops is a complex process influenced by a number of factors including the physiology of the crop, available soil moisture, the size and vigour of the crop, and meteorological factors [30,108,116,156,157]. For this reason, a multi-sensor platform is used to acquire measurements of the different aspects of the crop for water status assessment [34,102,108]. The most common combination of sensors found in the literature is the RGB, multispectral (including rededge and NIR bands) and thermal. Together, these sensors can be used to investigate the water status of the crop using various indicators, such as PRI, CWSI, fluorescence, and structural properties, with the aim of improving the water use efficiency [102,110,158–160].

4. Techniques of Remote Sensing in Horticulture

4.1. Georeferencing of Remotely Sensed Images

Georeferencing provides a spatial reference to the remotely sensed images such that the pixels representing crops or regions of interest on the images are correctly associated with their position on Earth. The georeferencing process generally uses surveyed coordinate points on the ground, known as ground control points (GCPs), to determine and apply scaling and transformation to the aerial images [161]. Alternatively, instead of GCPs, the user can georeference aerial images by using the accurate position of the camera, or by co-registration with the existing georeferenced map [105,162].

In the case of UAS-based images, the capture timing is scheduled to ensure a recommended forward overlap (>80%) between successive images. The flight path is designed to ensure the recommended side overlap (>70%) between images from successive flight strips. Thus, the captured series of images are processed using the Structure-from-Motion (SfM) technique to generate a 3D pointcloud and orthomosaic [73,130] (see Figure 5). Commonly used SfM software to process the remote sensing images are Agisoft PhotoScan and Pix4D. The commonly retrieved outputs from the SfM software for assessment of horticulture crops include the orthomosaic, digital surface model (DSM), DEM, and 3D pointcloud [113,126,163]. This technique of georeferencing can be applied to any sensor that produces images, e.g., RGB, thermal, or multispectral cameras [126,164,165].



Figure 5. A typical workflow of structure-from-motion (SfM) to produce georeferenced products from UAS-based image sets and ground control points (adapted from [166,167]). SIFT = scale-invariant feature transform; ANN = approximate nearest neighbour; RANSAC = random sample consensus;

CMVS = clustering views for multi-view stereo; PMVS = patch-based multi-view stereo; GCP = ground control points.

The complexity of georeferencing of hyperspectral observations depends on the sensor type, i.e., imaging or non-imaging. A non-imaging spectroradiometer relies on the use of a GNSS antenna and an IMU for georeferencing the point observation [130,132,138,168]. An imaging hyperspectral camera, generally, in addition to GNSS and IMU measurement, uses the inter-pixel relation in SfM to produce a georeferenced orthomosaic [40,134,135,169,170].

4.2. Calibration and Correction of Remotely Sensed Images

Ensuring consistency, repeatability, and quality of the spectral observation requires stringent radiometric, spectral, and atmospheric corrections [123,171–177]. Spectral and radiometric calibration is performed in the spectral calibration facility in darkroom settings. The sensor's optical properties and shift in spectral band position are corrected during the spectral calibration process. Radiometric calibration enables conversion of the recorded digital values into physical units, such as radiance. Infield operation of the spectral sensor is influenced by variations in atmospheric transmittance from thin clouds, invisible to the human observer. Changes in atmospheric transmittance affect the radiance incident on the plant. As a result, the change in acquired spectral response by the sensor may not represent the change in plants response but the change in incident radiation on the plant. The most common method to convert the spectral data to reflectance is by generating an empirical line relationship between sensor values and spectral targets, such as a Spectralon[®] or calibration targets. The use of downwelling sensors, such as a cosine corrector [137], or the use of a ground-based PAR sensor enables absolute radiometric calibration to generate radiance [130].

The calibration of the broad wavelength multispectral sensor is generally less stringent than the hyperspectral. Generally, multispectral sensors are used to compute normalised indices such as NDVI. The normalised indices are relatively less influenced, although significant, by the change in illumination conditions which affect the entire spectrum proportionally [29,101]. In this regard, radiometric calibration of the multispectral camera has used a range of stringent to simplified, and vicarious approaches [123,125,171,173,178–180]. Some multispectral cameras are equipped with a downwelling light sensor, which is aimed at correcting for variations in atmospheric transmittance. However, the performance of such downwelling sensors (without a cosine corrector) on multispectral cameras have been reported to have directional variation resulting in unstable correction, indicating the inability of the sensor to incorporate the entire hemisphere of diffused light [124,137].

The radiometric calibration of the thermal images is typically based on the camera's DN to object temperature curve, which provides the relationship between the DN of a pixel and a known object temperature, usually of a black body radiator. Measurement accuracy and SNR of the camera under varying ambient temperatures can be improved by using calibration shutters, which are recently available commercially. Furthermore, for low measurement errors (under 1 °C), thermal data requires consideration to the atmospheric transmittance [18,102]. Flying over a few temperature reference targets placed on the ground reduces the temporal drift of the camera [142,143,181]. Temperature accuracy within a few degrees was achieved by flying over the targets three times (at the start, middle and end of UAS operation) and using three separate calibration equations for each overpass [142]. Additionally, using the redundant information from multiple overlapping images, drift correction models have been proposed, which lowered temperature error by 1 °C as compared to uncorrected orthomosaic [152]. The manufacturer stated accuracies (generally ±5 °C) can be sufficient to access the field variability and to detect "hotspots" of water status. However, the aforementioned calibration and correction of the thermal cameras are required for quantitative measurement as a goal [143]. In this regard, current challenges and best practices for the operation of thermal cameras onboard a UAS is provided in the literature [143].

4.3. Canopy Data Extraction

A key challenge in remote sensing of horticultural as compared to agricultural crops arises due to the proportion of inter-row ground/vegetation cover and resulting mixed pixels. The proportion of the mixed pixels increases with the decrease in spatial resolution of the image. Most of the pixels towards the edge of the canopy contain a blend of information originating from the sun-lit canopy, shadowed leaves, and inter-row bare soil/cover crop. A further challenge can arise for some crops, such as grapevine, due to overlapping of adjacent plants.

The canopy data from orthomosaic has been extracted using either a pixel-based or an objectbased approach. Earlier studies manually sampled from the centre of crop row which most likely eliminated the mixed pixels [182]. In the pixel-based approach, techniques, such as applying global threshold and masking, have been used. Binary masks, such as NDVI, eliminates non-canopy pixels from the sampling [82,84]. Combining the NDVI mask with the canopy height mask can exclude the pixels associated with non-vegetation, as well as vegetation that does not meet the height threshold. The pixel-based approach, however, can result in inaccurate identification of some crops due to pixel heterogeneity, mixed pixels, spectral similarity, and crop pattern variability.

In the object-based approach, using object detection techniques, neighbouring pixels with homogenous information, such as spectral, textural, structural, and hierarchical features, are grouped into "objects". These objects are used as the basis of object-based image analysis (OBIA) classification using classifiers, such as k-nearest neighbour, decision tree, support vector machine, random forest, and maximum likelihood [122,183–185]. In the horticultural environment, OBIA has been adopted to classify and sample from pure canopy pixels [119,122,186]. Consideration should be provided on the number of features and their suitability for a specific application to reduce the computational burden, as well as to maintain the accuracies. The generalisation of these algorithms for transferability between study sites usually penalises the achievable accuracy. For details in object-based approach of segmentation and classification, readers are directed to literatures [122,183,185,187–189].

Other techniques found in the literature include algorithms, such as 'Watershed', which has been demonstrated in palm orchards [82,190]. Vine rows and plants have been isolated and classified using image processing techniques, such as clustering and skeletisation [188,191–193]. Similarly, the gridded polygon, available in common GIS software, such as ArcGIS and QGIS, can be used in combination with zonal statistics for this purpose. When working with the low-resolution images, co-registration with the high-resolution images has been proposed, whereby, the high-resolution images enable better delineation of the mixed pixels [194]. For this reason, spectral and thermal sensors, which are usually low in resolution, are generally employed along with high-resolution digital cameras.

4.4. Indicators of Crop Water Status

A crop's biophysical and biochemical attributes can be approximated using different indices and quantitative products. For example, CWSI is used to proxy leaf water potential (Ψ_{leaf}), stem water potential (Ψ_{stem}), g_s, and net photosynthesis (P_n) [83,100,195]. With regard to horticultural crops, water status has been assessed using a number of spectral and thermal indices (Table 2).

Indicators	Sensor	Purpose	References
Tc, (Tc – Ta)	Thermal	Ψ_{stem} , gs, yield	[34,82,85,99,110]
Ig, I3	Thermal	$\Psi_{ m stem}$, gs	[82,196]
CWSI	Thermal	$\Psi_{\text{leaf}}, \Psi_{\text{stem}}, g_{\text{s}}, P_{\text{n}}, yield$	[18,31,33,85,90,97,99,100,182,194,197 –199]
(Tc - Ta)/NDVI	Thermal + multispectral	$\Psi_{\text{stem}}, g_{\text{s}}$	[82,200]
NDVI	Multispectral	Ψ_{stem} , gs, yield, LAI, vigour	[34,56,82,86,182,201]
GNDVI	Multispectral	Ψ_{stem} , gs, yield	[34,82]

Table 2. Commonly used vegetation and thermal indices to study the water status of horticultural crops.

RDVI	Multispectral	$\Psi_{ m stem}$, $g_{ m s}$	[82,86,182]
PRI	Multispectral	Ψ_{leaf} , g_{s}	[86,110,182]
Fluorescence	Hyperspectral	Ψ_{leaf} , g_{s}	[110]
WBI	Hyperspectral	Ψ_{leaf} , g_{s}	[139,202,203]
SIF	Hyperspectral	Water stress	[204–206]

Note the acronyms: $T_c = Canopy$ temperature, $T_a = ambient$ temperature, $I_g = conductance$ index, I3 = stomatal conductance index, CWSI = crop water stress index, NDVI = normalised difference vegetation index, GNDVI = green normalised difference vegetation index, RDVI = renormalized difference vegetation index, PRI = photochemical reflectance index, Fluorescence = chlorophyll fluorescence, WBI = water band index, SIF = solar-induced chlorophyll fluorescence, LAI = leaf area index.

4.4.1. Canopy Temperature

A plant maintains its temperature by transpiring through the stomata to balance the energy fluxes in and out of the canopy. As the plant experience stress (both biotic and abiotic), the rate of transpiration decreases, which results in higher canopy temperature (T_c), which can be a proxy to understand the water stress in the plant [207]. In this regard, crop water stress showed a correlation with canopy temperature extracted from the thermal image [208], which enables mapping the spatial variability in water status [209]. Leaf/canopy temperature alone, however, does not provide a complete characterisation of crop water status, for instance, an equally stressed canopy can be 25 °C or 35 °C, depending on the current ambient temperature (T_a). Thus, canopy-to-air temperature difference (T_c – T_a) was proposed, which showed a good correlation with the Ψ_{stem} , Ψ_{leaf} , and g_s in horticultural crops [85,99,182].

4.4.2. Normalised Thermal Indices

The CWSI, the conductance index (I_g) and the stomatal conductance index (I3) are thermal indices most commonly used to estimate crop water status and g_s [210–212]. These indices provide similar information, however, use a different range of numbers to represent the level of water stress. The CWSI is normalised within zero and one, whereas I_g and I3 represent stress using numbers between zero and infinity. CWSI has been adopted most widely in horticultural applications to assess the water status of crops, such as the grapevines [100,213], almond [91,198], citrus [85,110], and others [18,87,99,214]. By normalising between the lower and upper limits of ($T_c - T_a$), the CWSI of the canopy presents quantifiable relative water stress. The formula for CWSI computation is defined as in Equation (1) [208,212].

$$CWSI = \frac{(T_c - T_a) - (T_c - T_a)_{LL}}{(T_c - T_a)_{UL} - (T_c - T_a)_{LL'}}$$
(1)

where $(T_c - T_a)_{UL}$ and $(T_c - T_a)_{LL}$ represent the upper and lower bound of $(T_c - T_a)$ which are found in the water-stressed canopy and well-watered canopy transpiring at the full potential (or maximum) rate, respectively. Assuming a constant ambient temperature, Equation (1) can be simplified to Equation (2), which is the most widely reported formulation of CWSI with regard to the horticultural remote sensing.

$$CWSI = \frac{(T_c - T_{wet})}{(T_{dry} - T_{wet})'}$$
(2)

where T_{wet} is the temperature of canopy transpiring at the maximum potential, and T_{dry} is the temperature of the non-transpiring canopy. CWSI has been shown to be well-correlated with direct measurements of crop water status in the horticultural environment [18,31,32,90,99]. In this regard, a correlation of CWSI with various ground measurements, such as Ψ_{leaf} [18,31,197], Ψ_{stem} [33,90,194], and g_s [18,90,100], have been established. Diurnal measurements of CWSI compared with Ψ_{leaf} showed the best correlation at noon [89,197,209].

CWSI is a normalised index, i.e., relative to a reference temperature range between T_{wet} and T_{dry}, which is specific to a region and crop type; thus, CWSI is not a universal quantitative indicator of crop water status. For instance, a CWSI of 0.5 for two different varieties of grapevines at different locations does not conclusively inform that they have equal or superior/inferior water status.

Furthermore, the degree of correlation can change depending on the isohydric/anisohydric response of crop [214] where early/late stomatal closure affects the indicators of water stress [110]. Moreover, phenological stage affects the relationship between remotely sensed CWSI and water stress [197]. Thus, water stress in a different crop, at a different location and at a different phenological stage, will have a unique correlation with CWSI and, therefore, needs to be established independently.

There are multiple methods to measure the two reference temperatures, Twet and Tdry, which could result in variable CWSI values depending on the method used. The first method is to measure the two reference temperatures on the crop of interest. Tdry can be estimated by inducing stomatal closure, which is the leaf temperature approximately 30 min after applying a layer of petroleum jelly e.g., Vaseline to both sides of a leaf. This effectively blocks stomata and, therefore, impedes leaf transpiration. Tweet can be estimated by measuring leaf temperature approximately 30 s after spraying water on the leaf, which emulates maximum transpiration [23,83]. The advantage of this method is that the stress levels are normalised to actual plants response, whereas the necessity to repeat the measurement for every test site after each flight can be cumbersome. In an alternative (second) approach the range can be established based on meteorological data e.g., setting Tdry to 5 °C above air temperature and T_{wet} measured from an artificial surface. This method is also limited to local scale and presents a problem regarding the choice of material, which ideally needs to have similar to leaf emissivity, aerodynamic and optical properties [54,87]. The third method uses the actual temperature measurement range of the remote sensing image [33,97]. This method is simple to implement, however, works on the assumption that the field contains enough variability to contain a representative Tweet and Tdry. Fourth, the reference temperatures can be estimated by theoretically solving for the leaf surface energy balance equations, however, are limited by the necessity to compute the canopy aerodynamic resistance [87]. Standard and robust Tweet and Tdry measurements are needed to characterize CWSI with accuracy, especially for temporal analysis [85,87,211]. The level of uncertainty due to the adaptation of different approaches for Tweet and Tdry determination in the instantaneous and seasonal measurements of CWSI is not known. Nonetheless, adopting a consistent approach, CWSI has been shown to be suitable for monitoring the water status and making irrigation decisions of horticultural crops [31,85].

4.4.3. Spectral Indices

Crops reflectance properties convey information about the crop, for instance, a healthier crop has higher reflectance in the NIR band. Most often, the bands are mathematically combined to form VIs, which provide information on the crop's health, growth stage, biophysical properties, leaf biochemistry, and water stress [29,215–218]. Using multispectral or hyperspectral data, several Vis, such as green normalised difference vegetation index (GNDVI), renormalised difference vegetation index (RDVI), optimized soil-adjusted vegetation index (OSAVI), transformed chlorophyll absorption in reflectance index (TCARI), and TCARI/OSAVI, amongst others [34,79,82], can be calculated that correlate with the water stress of horticultural crops (see Table 2). The most widely studied VI in horticulture, in this regard, is the NDVI (Equation (3)).

$$NDVI = \frac{R_{nir} - R_r}{R_{nir} + R_r'}$$
(3)

where R_{nir} and R_r represent the spectral reflectance acquired at the NIR and red spectral regions, respectively. In horticulture, NDVI has been used as a proxy to estimate the vigour, biomass, and water status of the crop. A vigorous canopy with more leaves regulates more water, therefore remaining cooler when irrigated [200] and experiencing early water stress when unirrigated. With regard to irrigation, the broadband normalised spectral indices (such as NDVI) are suitable to detect spatial variability and to identify the area that is most vulnerable to water stress. However, these indices are not expected to change rapidly to reflect the instantaneous water status of plants that are needed to make decisions on irrigation scheduling.

The multispectral indices along with complementary information in thermal wavelengths have proven to be well suited to monitoring vegetation, specifically in relation to water stress [219]. The ratio of canopy surface temperature to NDVI, defined as temperature-vegetation dryness index (TVDI), was found to be useful for the study of water status in horticultural crops. TVDI exploits the fact that vegetation with larger NDVI will have a lower surface temperature unless the vegetation is under stress. As most vegetation normally remains green after an initial bout of water stress, the TVDI is more suited than NDVI for early detection of water stress as the surface temperature can rise rapidly even during initial water stress [200].

Similarly, narrowband VIs that have been studied in relation to remote sensing of water status are PRI and chlorophyll fluorescence, which have been directly correlated to the crop Ψ_{leaf} , *g*_s [110,182,204]. Several hyperspectral indices to estimate water status have been identified [139]; however, their application in remote sensing of horticultural crops is at its infancy. Hyperspectral indices specific to water absorption bands around 900 nm, 1200 nm, 1400 nm, and 1900 nm may be used to detect the water status of horticultural crops. The absorption features were found to be highly correlated with plant water status [139]. Water band index (WBI), as defined in Equation (4), has been shown to closely track the changes in the plant water status of various crops [202,203].

WBI =
$$\frac{R_{970}}{R_{900}}$$
 (4)

Other water-related hyperspectral indices with potential application for horticultural crops can be found in the literature [139,202,203]. Hyperspectral data possess the capability to reflect the instantaneous water status of the plant, which can be useful for quantitative decision-making on irrigation scheduling.

4.4.4. Soil Moisture

The moisture status of the soil provides an indication of the available water resource to the crop. Soil moisture is traditionally measured indirectly using soil moisture sensors placed below the surface of the soil. A key challenge with using soil moisture sensors are the spatial distribution of moisture, both vertically and horizontally, to account for inherent field-scale variability. For instance, the root system of some horticultural crops, such as grapevine, is capable of accessing water up to 30 m deep, while customer-grade soil moisture probes generally extend to 1.5 m in depth or less. Thus, soil moisture probes do not capture all the water available to the crop as they are point measures and not necessarily where the roots are located. Moreover, estimation of soil moisture across spatial and temporal scales is of interest for various agricultural and hydrological studies. Optical, thermal, and microwave remote sensing with their advantages relating to high spatial scale and temporal resolutions could potentially be used for soil moisture estimation [220-222]. L-band microwave radiometry, a component of synthetic aperture radar systems, has been shown to be a reliable approach to estimate soil moisture via satellite-based remote sensing [223], such as using the ESA's Soil Moisture and Ocean Salinity (SMOS) [224] and NASA's Soil Moisture Active Passive (SMAP) satellites [225,226]. The limitation of the SMOS and SMAP missions, with regard to horticultural application, is their depth of retrieval (up to 5 cm) and spatial resolution (in the order of tens of kilometre) [227–229]. As an airborne application, the volumetric soil moisture has been estimated by analysing the SNR of the GNSS interference signal [230,231]. With aforementioned capabilities, a combination of satellite and airborne remote sensing may, in the future, be a reliable tool to map soil moisture across spatial, temporal and depth scales.

4.4.5. Physiological Attributes

Using the SfM on remotely-sensed images, 3D canopy structure, terrain configurations, and canopy surface models can be derived [113,114,119,186,232]. By employing a delineation algorithm on the 3D models, the 3D attributes of the crops and macrostructure are determined more accurately [120,122,233]. Crop surface area and terrain configuration (e.g., slope and aspect) may help to develop an optimal resource management strategy. For example, crops located at a higher elevation within an irrigation zone may experience a level of water stress due to the gravitational flow of irrigated water.

Using the structural measurements, such as the canopy height, canopy size, the envelope of each row, LAI, and porosity, among others, the water demand of the crop may be estimated. Generally, larger canopies tend to require more water than smaller canopies with less leaf area [116,157]. Using the temporal measurement of the plant's 3D attributes, the vigour can be computed. Monitoring crop vigour over the season and over subsequent years can provide an indication of its health and performance, e.g., yield, within an irrigation zone. Canopy structure metrics are closely related to horticultural tree growth and provide strong indicators of water consumption, whereby canopy size can be used to determine its water requirements [234]. Other 3D attributes, such as the crown perimeter, width, height, area, and leaf density, have been shown to enable improved pruning of horticultural plants [116,119].

LAI can be estimated using the 3D attributes obtained from remote sensing [114,157,201], whereby, higher LAI is equivalent to more leaf layers, implying greater total leaf area and, consequently, canopy transpiration. Leaf density, LAI, and exposed leaf area of a crop drive its water requirement and productivity [235–237]. Knowledge of field attributes, such as row and plant spacing, may assist in inter-row surface energy balance to determine the irrigation need of the plant [238]. Combining the structural properties with spectral VIs provide an estimation of biomass [239], which can serve as another indicator of the plant's water requirements. Although physiological attributes have been used to understand plant water status and its spatial variability, they have not been directly applied to make quantitative decisions on irrigation.

4.4.6. Evapotranspiration

The estimation of ET via remote sensing, numerical modelling, and empirical methods have been extensively studied and reviewed in the literature [240–247]. These models are based on either surface energy balance (SEB), Penman-Monteith (PM), Maximum entropy production (MEP), water balance, water-carbon linkage, or empirical relationships.

SEB models are based on a surface energy budget in which the latent heat flux is estimated as a residual of the net radiation, soil heat flux, and sensible heat flux. The models are either one-source (canopy and soil treated as a single surface for the estimation of sensible heat flux) or two-source (canopy and soil surfaces treated separately). Improvements over the original one-source SEB models were in the form of Surface Energy Balance Algorithm for Land (SEBAL) algorithm [248,249] and Mapping EvapoTranspiration with high Resolution and Internalized Calibration (METRIC) [249,250]. SEBAL offers a simplified approach to collect ET data at both local and regional scales thereby increasing the spatial scope, while METRIC uses the same (SEBAL) technique but auto-calibrates the model using hourly ground-based reference ET (ETr) data [251]. As such, these and other (e.g., MEP) models rely on accurate measurements of surface (e.g., canopy) and air temperatures, which can be erroneous under non-ideal conditions, e.g., cloudy days. There is also a reliance on ground-based sensors to capture ambient air temperatures required by the model.

Among the existing methods, FAO's PM is the most widely adopted model to estimate reference ET (ET_{ref} or ET₀) [252]. The PM method uses incident and reflected solar radiation, emitted thermal radiation, air temperature, wind speed, and vapour pressure to calculate ET₀ [253]. Remote sensing provides a cost-effective method to estimate the ET₀ at regional to global scales [241] by estimating reflected solar and emitted thermal radiation. One of the advantages of using the PM approach is that it is parametrised using micrometeorological data easily obtained from ground-based automatic weather stations. However, PM suffers from the drawback that canopy transpiration is not dynamic as influenced by soil moisture availability via stomatal regulation [241]. From a practical standpoint, PM-derived ET₀ estimates are used in conjunction with crop factors or crop coefficients (*k*_c), which are closely related to the light interception of the canopy [254].

Crop evapotranspiration (ET_c) is defined as the product of k_c and ET₀. In the absence of accurate ET_c measurements, k_c is an easy and practical means of getting reliable estimates of ET_c using ET₀ [255]. In this regard, studies have focused on the use of remote sensing to study spatial variability in k_c and ET_c [101,256–258]. Thermal and NIR imagery can be used to compute k_c and ET_c as transpiration rate is closely related to canopy temperature [259–261] and k_c has been shown to correlate with canopy

reflectance [101,255]. Various thermal indices, such as CWSI, canopy temperature ratio, canopy temperature above non-stressed, and canopy temperature above canopy threshold, can be used to estimate ET_c , where CWSI- based ET_c was found to be the most accurate [24].

ET at a larger scale is typically estimated based on satellite remote sensing. The temporal resolution of satellites is, however, low and inadequate for horticultural applications, such as irrigation scheduling (e.g., Landsat has a 16-day revisit cycle). In contrast, high temporal resolution satellites are coarse in spatial resolution for field-scale observations [25]. The daily or even instantaneous estimation of ET_c at the field scale is crucial for irrigation scheduling and is expected to have great application prospects in the future [240,259,262,263]. In this regard, the future direction of satellite-based ET estimates may focus on temporal downscaling either by extrapolation of instantaneous measurement [264], interpolation between two successive observations [201], data fusion of multiple satellites [25,260], and spatial downscaling using multiple satellites [265–268]. An example of early satellite-based remote sensing for ET is the MODIS Global Evapotranspiration Project (MOD16), which was established in 1999 to provide daily estimates of global terrestrial evapotranspiration using data acquired from a pair of NASA satellites in conjunction with Algorithm Theoretical Based Documents (ATBDs) [269]. These estimates correlated well with ground-based eddy covariance flux tower estimates of ET despite differences in the uncertainties associated with each of these techniques.

UASs are being increasingly utilised to acquire multi-spectral and thermal imagery to compute ET at an unprecedented spatial resolution [270,271]. Using high-resolution images, filtering the shadowed-pixel is possible, which showed significant improvement in the estimation of ET in grapevine [101]. Using high-resolution thermal and/or multispectral imagery, ET has been derived for horticultural crops, such as grapevines [270] and olives [271]. The seasonal monitoring of ET_c at high spatial and temporal resolutions is of high importance for precision irrigation of horticultural crops in the future [259].

5. Case Studies on the Use of Remote Sensing for Crop Water Stress Detection

The increasing prevalence of UAS along with low-cost camera systems has brought about much interest in the characterisation of crop water status/stress during the growing season to inform orchard or farm management decisions, in particular, irrigation scheduling [272,273]. Traditional methodologies to assess crop water stress are constrained by limitations relating to large farm sizes and accompanying spatial variability, high labour costs to collect data, and access to instrumentation that is both inexpensive and portable [272]. The benefits of precision agriculture [274], including through precision irrigation practices [1], result in higher production efficiencies and economic returns through site-specific crop management [275,276]. This approach has motivated the use of high-resolution imagery acquired from remote sensing to identify irrigation zones [99,277]. The first horticultural applications of UAS platforms for crop water status measurement were in orange and peach orchards where both thermal and multispectral-derived VIs, specifically the PRI, were shown to be well-correlated to crop water status [102]. Here, we explore the use of remote sensing and accompanying image acquisition platforms to characterise the spatial and temporal patterns of the water status of two economically important horticultural crops, grapevine and almond.

5.1. Grapevine (Vitis spp.)

The characterisation of spatial variability in vine water status in a vineyard provides valuable guidance on irrigation scheduling decisions [82], and this spatial variability can be efficiently characterised by the use of remote sensing platforms [29]. The first use of remote sensing in vineyards for crop water stress detection was using manned aircraft flown over an irrigated vineyard in Hanwood (NSW) Australia where CWSI was mapped at a spatial resolution of 10 cm [278]. Subsequently, UAS platforms began to be used in vineyards for vine water stress characterisation. Early work in this crop used a fuel-based helicopter with a 29 cc engine and equipped with thermal (Thermovision A40M) and multispectral (Tetracam MCA-6) camera systems [102]. The study observed strong (inverse) relationships between ($T_c - T_a$) and g_s . A related study showed strong

correlations between thermal and multispectral VIs, and traditional, ground-based measures of water status, such as Ψ_{leaf} and g_{s} [182]. In this study, normalised PRI was shown to have correlation coefficients exceeding 0.8 versus both Ψ_{leaf} and g_s , indicating that remotely-sensed VIs can be reliable indicators of vine water status. Thermal indices, such as (Tc - Ta) and CWSI, were also well-correlated to Ψ_{leaf} and g_{s} at specific times of the day. The use of thermal indices, such as CWSI or Ig, requires reference temperatures (Twet, Tdry) or non-water stressed baselines (NWSB) [279]. Due to the difficulty of obtaining reference temperatures or NWSB using remote sensing, some authors have used the minimum temperature found from all canopy pixels as Twet [199], and Ta + 5 °C as Tdry [213,280]. NWSB is typically obtained from well-watered canopies, measuring $(T_c - T_a)$ under a range of vapour pressure deficit conditions [279]. Thermal water stress indices have also shown to be useful to distinguish between water use strategies of different grapevine cultivars [83,281], which is useful for customising irrigation scheduling based on the specific water needs of a given cultivar. More recently, studies have used UAS-based multispectral-based VIs to train an artificial neural network (ANN) models to predict spatial patterns of Ψ_{stem} [84,282]. Using UAS-based multispectral data, the authors showed that ANN estimated Ψ_{stem} with higher accuracy (RMSE lower than 0.15 MPa) as compared to the conventional multispectral indices based estimation (RMSE over 0.32 MPa).

5.2. Almond (Prunus Dulcis)

Almonds are perennial nut trees grown in semi-arid climates and are reliant on irrigation applications. Their water requirements are relatively high, with seasonal ET_c exceeding 1000 mm [283]. The requirement for prudent irrigation management in the face of decreased water availability is critical for maintaining tree productivity, yield, and nut quality [284]. Towards this goal, UASbased remote sensing has been used to characterise the spatial patterns of tree water status in almond orchards. A UAS-based thermal camera was used to acquire tree the crown temperature data from a California almond orchard; this temperature was used to determine the temperature difference between crown and air ($T_c - T_a$) and compared to shaded leaf water potential (Ψ_{sl}) [92]. The study found a strong negative correlation ($R^2 = 0.72$) between ($T_c - T_a$) and Ψ_{sl} . The same authors conducted a follow on study in Spain on several fruit tree species including almond. The negative relationship (slope and offset) between ($T_c - T_a$) and Ψ_{stem} was observed to vary based on the time of observation; morning measurements had weak relationships, whereas afternoon measurements had stronger relationships [99]. Their proposed methodology allowed for the spatial characterisation of orchard water status on a single-tree basis, demonstrating the utility of UAS-based crop water stress data. Beyond the characterisation of crop water stress for irrigation scheduling, there is an opportunity to use this data to quantify the economic impact at a spatial level.

6. Future Prospective and Gaps in the Knowledge

Precision irrigation is a promising approach to increase farm water use efficiency for sustainable production, including for horticultural crops [3,5,9,10,274,285]. It is envisioned that the future of precision irrigation will incorporate UAS, manned aircraft, and satellite-based remote sensing platforms alongside ground-based proximal sensors coupled with wireless sensor networks. The automation of UAS technology will continue to develop further to a point that even novice users can adopt the technology with ease. It is also expected that the data processing pipeline of remote sensing images will become automated to be 'fit for purpose' for crop water status measurements. The ideal solution may lie in the use of satellites (or sometimes manned aircraft) for regional estimation and planning [55,260], UAS for seasonal monitoring and zoning [32,100,197,286], proximal sensors for continuous measurement [287], and artificial intelligence to derive decision-ready products [84,282] that can be used for making irrigation scheduling decisions [31,288–295]. Continued technological developments in this space will enable growers to acquire actionable data with ease, and eventually transition towards semi-automated or fully-automated irrigation applications.

Remote sensing and current irrigation application technologies are limited in temporal and spatial resolution, respectively. Although UAS technology can deliver sub-plant level spatially explicit information of water status, the size of the management block is much coarser, typically over 10 m. Hence, further improvements in variable rate application technologies, e.g., boom sprayers, or zoned drip irrigation, are required to fully exploit high-resolution UAS measurements. Nonetheless, the required resolution of remote sensing should be guided by the underlying spatial variability of the crop. For fields with relatively lower spatial variability, low/medium-resolution remote sensing imagery may suffice for crop water status assessment [278,296,297].

Remote sensing provides an indirect estimate of plant water status using the regression-based approach through several calculated reflectance indices. In comparison, physical and mechanistic models, e.g., radiative transfer models and energy balance models, incorporate both direct and indirect measures of the canopy, therefore establishing a basis for differences in plant water status. Using a similar approach, predictions of crop water status using regression-based remote sensing models can be improved by incorporating some direct auxiliary variables.

Further developments in thermal remote sensing are also expected, specifically, the advent of new thermal and hybrid thermal-multispectral water status/stress indices that are more sensitive to canopy transpiration. The most widely-adopted thermal index, CWSI, is an instantaneous measure that is normalised to local weather conditions and influenced by genotype and phenotype. For example, the relationship between CWSI and crop water status is influenced by environmental conditions (e.g., high incident radiation and low humidity vs low incident radiation and high humidity) and phenological stage [197,214,298]. As a result, corresponding ground-based measurements are required for each temporal remote measurement to determine the correlation with water status. Hence, temporal assessments of water status using thermal cameras will require the incorporation of meteorological data along with the thermal response using novel indices.

In the area of satellite remote sensing, we foresee further developments on temporal downscaling to achieve daily measurements. A higher temporal resolution may be achieved by fusion of multiple satellite observations, such as freely available Landsat and Sentinel. Further reductions of temporal resolution will require interpolation between two successive observations. Furthermore, temporal models of water status could be developed to assist the interpolation to eventually satisfy the requirements for irrigation scheduling [25,201,263]. The continued advancement and greater availability of Nanosat/Cubesat may provide an alternate method to capture high-resolution data at a higher a greater temporal resolution, which can be suitable to study the water status of horticultural crops [299–301].

Crop water status is a complex phenomenon, which can be interpreted with respect to a number of variables. These variables can include spectral response, thermal response, meteorological data, 3D attributes of the canopy, and macrostructure of the block (farm). Clearly, there is an opportunity for a multi-disciplinary approach, potentially incorporating artificial intelligence techniques which incorporate the aforementioned variables to provide a robust estimation of crop water status [84,141,282,302,303]. Furthermore, with machine learning algorithms, hyperspectral remote sensing will provide a wealth of data to estimate crop water status. A quantitative product, such as SIF, derived from hyperspectral data will have the potential for direct quantification of water stress [204,205,304]. In this regard, the upcoming FLEX satellite mission [305,306] and recent advances in aerial spectroradiometry [109,132,137,307–310] dedicated for observation of SIF may be unique and powerful tools for high-value horticultural crops.

Multi-temporal images represent an excellent resource for seasonal monitoring of changes in crop water status. Five to six temporal points of data acquisition at critical phenological stages of crop development have been recommended for irrigation scheduling [31,32]. However, for semi-arid or arid regions, irrigation is typically required multiple times per week. Acquisition and post-processing of remote sensing data for actionable products multiple times a week is currently logistically unfeasible. The fusion of UAS-based remote sensing data, continuous ground-based proximal or direct sensors, including weather station data, can potentially inform daily estimates of water status at canopy level. This approach will require predictive models, such as those based on machine learning algorithms, to estimate the current and future water status of the crop. Eventually, growers would benefit from the knowledge of crop water requirements for the determination of seasonal irrigation requirements to sustainably farm into the future.

One vision for the future of precision irrigation is in automated pipelines to explicitly manage irrigation water at the sub-block level. This automated pipeline would likely include remote and proximal data acquisition and processing, prediction and interpretation of crop water status and requirements, and subsequently, control of irrigation systems. Recent rapid developments in cloud computing and wireless technology could assist in the quasi-real-time processing of the remote sensing data soon after acquisition [311–313]. Eventually, automation and computational power will merge to develop smart technology in which artificial intelligence uses real-time data analysis for diagnosis and decision-making. Growers of the future will be able to take advantage of precise irrigation recommendations using information sourced from a fleet of UAS that map large farm blocks on a daily schedule, continuous ground-based proximal and direct sensors, and weather stations. This data can be stored on and accessed from the cloud almost instantaneously, used in conjunction with post-processing algorithms for decision-making on optimised irrigation applications [311,314].

7. Conclusions

This paper provides a comprehensive review of the use of remote sensing to determine the water status of horticultural crops. One of our objectives was to survey the range of remote sensing tools available for irrigation decision-making. Earth observation satellite systems possess the required bands to study the water status of vegetation and soil. Satellites are more suitable for scouting, planning, and management of irrigation applications that involve large areas, and where data acquisition is not time-constrained. Manned aircraft are sparingly used in horticultural applications due to the cost, logistics, and specific expertise needed for the operation of the platform. UAS-based remote sensing provides flexibility in spatial resolution (crop level observation achievable), coverage (over 25 ha achievable in a single flight), spectral bands, as well as temporal revisit. Routine monitoring of horticultural crops for water status characterisation is, therefore, best performed using a UAS platform. We envision a future for precision irrigation where satellites are used for planning, and UAS used in conjunction with a network of ground-based sensors to achieve actionable products on a timely basis.

The plant's instantaneous response to water stress can be captured using thermal cameras (via indices, such as CWSI) and potentially narrow-band hyperspectral sensors (via, for example, SIF), making them suitable to draw quantifiable decisions with regard to irrigation scheduling. Broadband multispectral and RGB cameras capture the non-instantaneous water status of crops, making them suitable for general assessment of crop water status. Integrated use of thermal and multispectral imagery may be the simplest yet effective sensor combinations to capture the overall as well as instantaneous water status of the plant. With regard to irrigation scheduling, further developments are required to establish crop-specific thresholds of remotely-sensed indices to decide when and how much to irrigate.

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Abstract: Crop water status and irrigation requirements are of great importance to the horticultural industry due to changing climatic conditions leading to high evaporative demands, drought and water scarcity in semi-arid and arid regions worldwide. Irrigation scheduling strategies based on evapotranspiration (ET), such as regulated deficit irrigation, requires the estimation of seasonal crop coefficients (k_c) . The ET-driven irrigation decisions for grapevines rely on the sampling of several k_c values from each irrigation zone. Here, we present an unmanned aerial vehicle (UAV)based technique to estimate k_c at the single vine level in order to capture the spatial variability of water requirements in a commercial vineyard located in South Australia. A UAV carrying a multispectral sensor is used to extract the spectral, as well as the structural, information of Cabernet Sauvignon grapevines. The spectral and structural information, acquired at the various phenological stages of the vine through two seasons, is used to model k_c using univariate (simple linear), multivariate (generalised linear and additive) and machine learning (convolution neural network and random forest) model frameworks. The structural information (e.g., canopy top view area) had the strongest correlation with k_c throughout the season ($p \le 0.001$; Pearson R = 0.56), while the spectral indices (e.g., normalised indices) turned less-sensitive post véraison-the onset of ripening in grapes. Combining structural and spectral information improved the model's performance. Among the investigated predictive models, the random forest predicted k_c with the highest accuracy (R^2 : 0.675, root mean square error: 0.062, and mean absolute error: 0.047). This UAV-based approach improves the precision of irrigation by capturing the spatial variability of k_c within a vineyard. Combined with an energy balance model, the water needs of a vineyard can be computed on a weekly or sub-weekly basis for precision irrigation. The UAV-based characterisation of k_c can further enhance the water management and irrigation zoning by matching the infrastructure with the spatial variability of the irrigation demand.

Keywords: UAV; UAS; drone; precision irrigation; remote sensing; spatial variability; random forest

1. Introduction

Water availability to horticultural crops in Australia is highly variable from seasonto-season due to variable climatic conditions including evapotranspiration and precipitation patterns, extreme weather events, for example, heatwaves, and competing demand for freshwater. Climatic conditions are expected to deteriorate due to variability in the Indian Ocean Dipole, which is the key driver of ENSO outlook and the Australian climate [1,2]. The persistent drier conditions, combined with freshwater scarcities, will require a wider adaptation of precision irrigation to sustain the horticulture and agriculture of Australia [3,4]. As such, the horticultural industry needs to move from over-irrigation to stress management practices by adopting evidence-based precision irrigation [5–7].



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Copyright: © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). One widely adopted irrigation strategy is evapotranspiration (ET)-based deficit irrigation, where a fraction of crop water requirement is replenished [8,9]. To improve the precision of the ET-based irrigation, accurate information of the crop coefficient (k_c) is required [10]. k_c is a highly variable parameter affected by the canopy structure, training system, pruning practices and vegetative growth. Within the same management vineyard block, spatial variation still exists due to the variation in resource availability, soil rooting depth, vine disease and terrain architecture. Due to this high spatiotemporal variability in the k_c , data, such as those from remote sensing, are required to make the irrigation decisions. Furthermore, mixed pixels arising from the inter-row bare/vegetated area specific to horticulture demands higher spatial resolution data, which can be achieved with an unmanned aerial vehicle (UAV) [6]. Unmanned aerial vehicle (UAV) remote sensing offers the potential to characterise ET [11,12], k_c and the irrigation needs spatially, as well as on a canopy level [13–15]. Canopy level irrigation requirements can be a basis on which to improve the precision of irrigation and irrigation scheduling by matching the timing, volume and location of irrigation with the crop water needs [16–19]. This, in turn, can sustain agriculture by optimising farm and crop water use efficiency and industry profitability.

The direct measurement of crop evapotranspiration (ET_c) can be made by lysimeter, eddy covariance, Bowen ratio and soil water balance methods [20,21]. These methods provide an accurate account of vegetation water balance; however, they are expensive, cumbersome, and provide low spatial representativeness of measurements. In the absence of direct ET_c measurements, an indirect estimation can be made from numerical modelling, empirical methods, and remote sensing, which use agronomic, biophysical, and meteorological elements as inputs [22–24]. The FAO Penman–Monteith method is the most widely adopted empirical model for estimating the reference ET (also known as ET_{ref} or ET_0) [10]. ET_0 , when coupled with k_c (single or dual), yields ET_c , which is often the basis for deficit irrigation [25–29].

The similarity of k_c and the satellite-derived vegetation indices (VIs) resulted in the use of low-cost remote sensing technology for estimating k_c for a range of spatiotemporal scales [30–32]. The satellite-based VIs proxied the photosynthetically active vegetation cover, which in turn can be used to estimate both k_c and ET_c. For example, sources such as IrriSAT provide k_c estimates at 30 m resolution using a linear model based on the normalised difference vegetation index (NDVI) [33-36]. In similar studies, k_c has been estimated using several spectral/thermal indices such as NDVI and crop water stress index (CWSI) [37–39]. Similarly, structural properties , such as canopy size, canopy area, leaf area index (LAI), and shaded area [40–43], have been utilised to estimate k_c . Using multiview stereo and structure-from-motion (SfM) techniques, UAV remote sensing can capture the 3D structure of the vegetation as well as the spectral bands [44,45]. Structural information and spectral reflectance on their own were used to estimate k_c from the aforementioned studies; however, combining the spectral and structural information could present an opportunity for the robust and precise estimation of k_c. Moreover, UAV-based estimation of k_c is a novel concept for grapevines, which, in the future, could potentially be used in lieu of field sampling.

In this paper, we present the UAV-based multispectral remote sensing technique for spatial estimation of the k_c of field-grown grapevines. Specifically, we combine the spectral reflectance and structural features of the grapevine in various modelling frameworks to provide an estimate of k_c . A workflow for canopy-level remote sensing data extraction, as well as modelling of k_c , is presented. Various predictive models (linear, non-linear and machine learning) are investigated for the canopy-specific estimation of k_c . This fine-scale canopy level k_c measurement can be used to estimate the canopy scale or irrigation zone level water requirements. k_c maps, which can be used to determine the spatial irrigation requirements, are generated by the best performing model for Cabernet Sauvignon at Wynns Coonawarra Estate, Coonawarra, SA, Australia.
2. Materials and Methods

2.1. Test Site

The test sites for this study are located in rural South Australia in the Coonawarra region (see Figure 1). Coonawarra is known for its premium quality red grape/wine attributed to the porous terrarossa soil, which generates moderate water stress [46,47]. The Cabernet Sauvignon vineyard at the Wynns Coonawarra Estate (37°17′8.5″S 140°49′37.9″E) at Coonawarra, which was planted over 17.3 ha in 1988, was used in this study. Two experimental blocks (each sized approximately 1 ha) were delineated at the two ends of the 700 m long row of the vineyard, which was planted in the east–west orientation. The grapevines had a bilateral cordon with a sprawling training system typical for the area. Conventional vineyard floor, canopy management and integrated pest management practices were conducted in this vineyard.



Figure 1. Two Cabernet Sauvignon vineyards at the Wynns Coonawarra Estate, Coonawarra, SA, Australia used in this study of crop coefficient and irrigation requirements.

2.2. Scientific Payload for Remote Sensing

A hexacopter multirotor (DJI Matrice 600 Pro, Dà-Jiāng Innovations Science and Technology Co., Ltd., Shenzhen, China) was deployed, which offered over 12 min of flight time and over 5 kg of scientific payload capability. The UAV carried trifecta cameras including a multispectral placed in a Gimbal (DJI Ronin, Dà-Jiāng Innovations Science and Technology Co., Ltd., Shenzhen, China). The gimbal allowed a stable platform for the cameras in order to acquire the images of the vines. The multispectral camera was equipped with a global navigation satellite system antenna to assist with the georeferencing and a downwelling light sensor (DLS) for reflectance calibration.

The RedEdge-MX captured five discrete images in blue, green, red, rededge and near infrared electromagnetic regions with bandwidths of 20 nm, 20 nm, 10 nm, 10 nm and 40 nm respectively. The bands had a centre wavelength of 475 nm, 560 nm, 668 nm, 717 nm and 840 nm, respectively. The camera had a field of view of 47.9×36.9 and a focal length of 5.4 mm. Each of the five discrete charge-coupled device chips had 1280 × 960 pixels with a radiometric resolution of 14 bit. The aerial images, captured from 30 m altitude, resulted in ground coverage of approximately 26.7 m × 20.0 m with a spatial resolution of 2.1 cm. This level of spatial detail is considered sufficient for single plant-level data acquisition, which resulted in several thousand multispectral pixels representing a single vine canopy.

A custom-built flexible solar panel (also known as 'Paso Panel'), sized 30 cm \times 150 cm, was used for the ground sampling of k_c following an empirical formula [40]. Canopy LAI measurement was taken using an AccuPAR LP-80 Ceptometer (Meter Group, Inc., Pullman,

WA, USA). Four greyscaled spectral panels were deployed during each flight to calibrate the canopy reflectance.

2.3. Data Acquisition

This study is comprised of the UAV-based and ground-based data collected during two grape growing seasons—2018/19 and 2019/20—and at five timepoints during the two seasons. The acquisition timepoints included early- to late-season, which captured a wider range of k_c values. Early season data include acquisition at EL-19 (flowering, 2019/20), and EL-31 (pea-size, 2019/20). The mid-season data were acquired around véraison at EL-34 (onset of véraison, 2018/19) and EL-35 (véraison 2019/20). Similarly, late season data were captured at EL-37 (pre-harvest, 2018/19) [48].

2.3.1. Aerial Data Acquisition

The UAV flight planning and multispectral image acquisition protocol were fixed for the entire two seasons of the field campaign. The UAV was flown at a height of 30 m above the ground at a speed of 3 m s⁻¹ and in a regular mapping pattern flight, while the camera captured a multispectral image (5 band discrete images) every second. The flight parameter and camera setting together resulted in over 80% forward and side overlap, which is necessary for SfM processing [49,50].

Four greyscaled spectral panels (white, light-grey, dark-grey and black) were developed using a combination of barium sulphate and white paint [51]. These panels were deployed during each flight for atmospheric correction and reflectance calibration purposes. The MicaSence calibration panel and DLS were not utilised for four reasons: (a) the user manual recommended that the sampling method of the calibration panel was not feasible for the heavy UAV used in this study [52]; (b) the DLS without a cosine corrector could have directional variation [53,54]; (c) the use of four greyscaled spectral panels instead of one allowed more control during the empirical line correction; and (d) capturing the reflectance data of both plants and spectral panels from the same altitude potentially better corrected the atmospheric effects.

2.3.2. Reference Ground Data Acquisition

The ground reference data included the in-field k_c measurement using the Paso Panel. The Paso Panel measured the output current at full sun and when placed under the canopy. During the measurement, the panel was placed orthogonally to the cordon, approximately 10–15 cm from the ground. The final adjustment was made to make sure that the panel was level, that the vine shadow was approximately in the middle of the panel, and that no shadow was cast to the panel from the crew. For each vine, two under-vine measurements were made, one on each cordon. After measuring every four vines, the full sun measurement was repeated to accommodate for any changes in incident solar radiation. The k_c was computed analytically using Equation (1) [40].

$$k_c = 1.7 imes rac{L_p}{W_r} (1 - rac{I_c}{I_s}) - 0.008,$$
 (1)

where L_p is the length of the Paso Panel, W_r is the row spacing, I_s and I_c are the current readings made under full sun and the canopy shade, respectively.

Thirty-two ground references were measured at both ends of the vineyard per timepoint (Figure 2). Note that this dataset is a part of a bigger irrigation trial. The irrigation trial tested several irrigation strategies using environmental, soil and plant-based sensors to schedule irrigation. The average seasonal irrigation for the block was approximately 0.5 ML ha^{-1} for the vine density of 1700 vines ha⁻¹. In the trial, the investigated irrigation strategies were limited to specific rows, resulting in the ground sampling vines for this k_c study being limited to specific rows throughout the season. Lacking the spatial distribution presented a risk of ground-based k_c values being clustered within a tight range. However, a wide range of k_c values was observed due to the distribution of sampling

timepoints (early-, mid- and late-season), multiple seasons, and the different irrigation treatment-induced physiological responses.

Figure 2. The ground data are sampled from 64 vines (32 on the east and 32 on the west end) of the vineyard (east end shown) per timepoint (EL-31 shown). Highlighted are the measurement vines that were measured throughout the two seasons.

2.4. Data Processing

2.4.1. Extraction of Canopy Level Data

The multispectral images were mosaicked using standard SfM workflow in Agisoft Metashape (Agisoft LLC, St. Petersburg, Russia) Professional Version 1.6.2. The products generated using the software included the digital elevation model (DEM), the digital surface model (DSM) and the orthomosaic, all expressed in projected coordinate frame MGA zone 54 (EPSG:28354). The temporal orthomosaics were processed using a custom-developed Python script routine that performed the radiometric/atmospheric calibration, masking, and data extraction from all individual canopies.

The radiometric/atmospheric calibration of the temporal orthomosaics was performed with respect to the reflectance of the four greyscaled spectral panels that were deployed during each flight (Figure 3). Using the reflectance of the four panels at five bands, the orthomosaics were converted to the reflectance by empirical line correction [55,56]. As the four greyscaled panels were used regularly in the field condition, the panels could degrade due to repeated handling, hence the panels were also calibrated regularly. The four panels were calibrated twice each season at the start and at the end (e.g., before budburst and after harvest) using an ASD HandHeld 2 spectroradiometer (Malvern Panalytical Ltd., Malvern, UK) and a calibrated Spectralon. The HandHeld 2 acquired the spectral signature of the panels within the spectral range of 325–1075 nm with a spectral resolution of 3 nm. The sampled spectral profile of the four panels was resampled using a Gaussian model to match the wavelength centre and wavelength bandwidth of the RedEdge-MX sensor.



Figure 3. The four greyscaled spectral panels (**a**) were calibrated twice each season—before the start and after the end of the season. The reflectance curve (**b**) shows the sample reflectance of the four panels acquired using the ASD handheld spectroradiometer and the calibrated spectralon.

A canopy height mask and a normalised difference vegetation index (NDVI) mask were generated for each timepoint to mask out the non-canopy pixels including the interrow, wooden post, and so forth. The canopy height mask was created by offsetting the DEM and DSM and applying a global threshold of 0.7 m (average height of the canopies was 1.7 m). The NDVI mask was created by computing the NDVI and a global threshold of 0.3 (average NDVI of the canopies was 0.6). The combination of the two masks effectively removed the inter-row vegetation, background soil and wooden posts, as well as diseased vines with significantly smaller canopies. Using the macrostructure information of the vineyard (i.e., vine spacing and row spacing), a spatial grid was created using QGIS version 3.16.2 and was approximately aligned with the vines—effectively placing a single canopy within each polygon of the grid. Each polygon of the grid was separated with a buffer of 20 cm to limit the crossover of the canopy to the adjacent polygon. Using the buffered and aligned grid over the masked orthomosaic, pure canopy data were extracted from every single vine and written in the attribute table. The extracted data included the mean spectral reflectance as well as canopy pixel count and height. Using the total pixels within a canopy, various structural information was derived including canopy area (c_area), canopy width, and canopy fraction cover within the ground area per vine (row spacing \times vine spacing). Using the reflectance of five bands, several spectral indices were computed. This structural information and the spectral indices were then exported as a CSV file (see Figure 4).



Figure 4. The workflow developed to process the UAV-based images and extract the canopy-specific structural information and spectral indices.

2.4.2. Spectral and Structural Feature Selection

This study incorporated numerous spectral features computed using the blue, green, red, rededge and near infrared bands (e.g., indices available at [57–60]), structural features (e.g., available at [44,61]) and canopy fraction cover [62], as well as a number of custom/adopted features (e.g., cummulative NDVI, canopy width, canopy area and canopy volume above cordon). A correlation analysis of each spectral and structural feature was performed with respect to the ground measured k_c for all the timepoints combined. The features that revealed no significant (Pearson test, *p*-value > 0.05) correlation with k_c were discarded for the modelling. Furthermore, the features with a high degree of collinearity (correlation coefficient > 0.95) were refined to select only one with the highest significance or correlation coefficient. These two steps of feature filtering eliminated most of the spectral/structural features that were initially computed for consideration. The features retained after the two elimination processes were taken as an input for developing k_c prediction models (see Table 1).

Table 1. The list of spectral and structural features retained after the feature filtering processes, i.e., the removal of nonsignificant and highly collinear features. Note: R, G, and NIR represent the spectral bands red, green and near infrared, respectively. n and r represent the number of pure-canopy pixels and the spatial resolution of the pixels, respectively.

Indices	Abbreviation	Formula	Reference
Greenness index	GI	GR	[58,59]
Normalised difference vegetation index	NDVI	$\frac{NIR-R}{NIR+R}$	[60,63]
Visible-band difference vegetation index	VDVI	$\frac{2 \times G - R - B}{2 \times G + R + B}$	[64,65]
Enhanced NDVI #2	ENDVI2	$\frac{\overline{NIR} + \overline{G} - 2 \times R}{\overline{NIR} + \overline{G} + 2 \times R}$	modified [66,67]
Enhanced NDVI #3	ENDVI3	$\frac{NIR+G-R-B}{(NIR+G-R-B)}$	modified [66,67]
Plant height	p_height	DEM-DSM	[44,61]
Cumulative NDVI	cum_NDVI	∑NDVI	
Canopy top-view area	c_area	n imes r	

2.4.3. Modelling

Within the five timepoints of the two seasons, a total of 320 datapoints were acquired, which included remotely sensed spectral/structural features and the corresponding groundreference k_c. Outliers in the dataset were investigated and removed using Cook's distance (2/n), which reduced the number of datapoints to 231 [68,69]. The spectral/structural features synthesised in Table 1 were used to develop predictive models of k_c . The training and testing datasets were split randomly in the ratio of 3:1 (173 training and 58 testing datapoints). Using the training dataset and the leave-one-out cross-validation, k_c prediction models were developed. This leave-one-out approach of fit-control recursively develops a model and validates on one random datapoint until all the datapoints are used for validation [70]. Various models, such as the simple linear model (SLM), generalised linear model (GLM), generalised additive model (GAM), neural network model (NNM) and random forest model (RFM) were developed using the caret package in R programming languages (Version 4.0.4, RStudio Version 1.4.1106) [71–73]. The final refined model was tested on the testing dataset (58 datapoints). The model performance was evaluated using indicators including R squared (R^2), Root Mean Square Error (RMSE) and mean absolute error (MAE).

3. Results

The grape growing season in the Coonawarra region starts relatively late with budburst in late October, reaching flowering approximately in December, pea-sized berries in January, véraison in February and harvest in April. The cumulative growing degree days for the two seasons are computed by integrating the local weather station data (Australian Government, Bureau of Meteorology, weather station number 026091, Coonawarra, SA, Australia) starting from the budbrust up to the grape harvest (see Figure 5). The field data acquisition timepoints (3 timepoints in the 2018/19 season and 2 timepoints in the 2019/20 season) and the corresponding phenological stage of the grapevine are shown in the figure. In comparison, the 2019/20 season was cooler with fewer heatwaves and less extreme temperatures.



Figure 5. The cumulative growing degree days for the Cabernet Sauvignon at the Wynns Coonawarra Estate, Coonawarra for the two seasons, 2018/19 and 2019/20. The data acquisition stages for both seasons are shown.

The evolution of k_c, along with the most significant spectral/structural features, are presented in Figure 6. The displayed features had the highest significance and correlation coefficient with k_c. The seasonal k_c values followed the pattern, which was noted physically and observed in the canopy LAI measurements, of rapid canopy growth until the véraison and stability after that. The k_c started at a mean value of 0.48 at the start of the season and steadily increased to a mean value of 0.67 by the end of the season. The trends of NDVI and ENDVI2 appear to be less sensitive to canopy growth, while the c_area was the most sensitive, particularly in the early season between fruit set and pea-sized berries. Between the pea size and véraison, all of the remote sensing temporal trends do not appear to reflect the k_c trend. Post véraison, all the indices show a strong agreement with each other and reflect little to no changes in canopy development/growth. The decrease in spectral indices post véraison may be reflecting the depletion of nitrogen in the vine, including the leaves [74,75]. The seasonal evolution graph suggests that either the c_area alone or a combination of c_area and spectral features could be the best predictors of k_c at any timepoint. The best performing model for each timepoint could incorporate the timepoint specific data. However, this study assesses a holistic model to reduce the timepoint specific bias due to the small sample size, as well as to provide a robust predictor that is not limited by the growth stage of the plant.

Among various spectral indices and structural parameters (not listed exclusively here), few of the features were selected for modelling purposes following the removal of non-significant as well as collinear features. The correlogram in Figure 7 shows the selected indices, their statistical significance and correlation coefficients with the response variable, k_c . The c_area had the strongest correlation with k_c (highly significant and highest correlation coefficient). Composite feature cumulative NDVI and spectral features NDVI ENDVI2 were the second-tier features showing a strong correlation with k_c , while other features, such as the greenness index (GI), ENDVI3, visible-band difference vegetation index (VDVI) and plant height (p_height), had a weak but significant correlation.



Figure 6. Seasonal evolution of the k_c along with the highly correlated and statistically significant spectral features, represented in the primary y-axis and canopy area (c_area) represented in the second y-axis. The shaded band on the seasonal evolution plot represents the $\pm 1\sigma$ around the mean.



Figure 7. Correlogram showing the scatter plot, correlation coefficient values and statistical significance (Pearson test: *p*-value < 0.05 as *, *p*-value < 0.01 as **, and *p*-value < 0.001 as ***) between the selected features (spectral and structural) and the crop coefficient.

To develop k_c models, the dataset (231 datapoints) was split into training and testing on a ratio of 3:1. The training dataset was used to train the model while the testing dataset was used to access the performance accuracy. The leave-one-out cross-validation approach ensured that a large number of datapoints (172) were available for each of the model generations. The best univariate indicator of k_c was the c_area, which performed with reasonable accuracy ($R^2 = 0.295$, RMSE = 0.091, MAE = 0.076). Multidimensional regression using all the features substantially improved the prediction accuracy (e.g., GLM) with improved AIC (SLM AIC: -340.0, GLM AIC: -411.7). Further improvement in the prediction was achieved using non-linear-multidimensional models (e.g., GAM with AIC of -461.7) and machine learning models such as CNN (a 8-5-1 network with 51 weights) and RFM (ntree = 500, mtry = 3). While all the multidimensional models investigated used the same input features (listed in Table 1, the features that ended up being important in all the models were significantly different. For example, the RFM, which performed the best, had c_area as the most important feature whereas the CNN, which used cum_NDVI, performed relatively poorly. In addition to the leave-one-out, a k-fold cross-validation approach was tested, which resulted in a similar trend in the model accuracy—RFM performing the best of all the models evaluated in this study (see Table 2).

Table 2. Accuracy of various models used to predict the k_c using leave-one-out fit-control. Note the accuracy metrics were derived by applying the model to the unseen testing dataset. Note: AIC in the table heading is an abbreviation of the Akaike information criterion.

Models	R ²	RMSE	MAE	AIC	Most Influential Features
Generalised linear	0.528	0.074	0.061	-411.7	GI
Generalised additive	0.594	0.069	0.055	-461.7	c_area
Convolutional neural network	0.619	0.072	0.060	na	cum_NDVI
Random forest	0.675	0.062	0.047	na	c_area

The simple linear model provided the most straightforward model while the RFM provided the most accurate model for estimating k_c . The RFM model was used to further predict spatial k_c values at the vineyard scale at two key phenological stages of the vine (Figure 8). Using the estimated k_c values, along with the weather data (temperature, humidity, wind speed), the spatial irrigation requirements of the vineyard were computed on a per vine basis.



Figure 8. The map of estimated k_c values and at the early season ((**left**) pane, flowering stage, EL-19) and late season ((**right**) pane, post véraison, EL-35) of Cabernet Sauvignon.

4. Discussion

There exist differences in mesoclimate between different sites and within the site receiving uniform irrigation. For instance, the two sites used in this study received uniform irrigation despite highly variable soil depth. This variability presents a significant challenge

for irrigation management that could be partly overcome by adopting high-resolution data capture. A significant advantage of UAV remote sensing in precision irrigation is the unprecedented spatial details that can reveal spatial variability within a vineyard receiving uniform irrigation. This detailed (canopy-specific) level of information is, however, not fully exploitable, that is, canopy-specific irrigation management is not practicable. The current irrigation management technology in vineyards generally has a fixed infrastructure, which makes the spatial control of the irrigation unfeasible or costly to incorporate. A potential benefit could lie in the improvement of the zoning of the vineyards such that zones receiving uniform irrigation have reduced spatial variability [76]. Using spatial clustering, irrigation zones can be set to minimise spatial variability whilst still maintaining economic viability [77].

The k_c is an evolving parameter that changes together with the development stage of the crop, canopy cover and architecture, and transpiration [10,40]. As such, different crops with different management practices will have a unique evolution of water requirements and k_c values throughout the various phenological stages [31,43,78]. Even for a single crop, such as a grapevine, a single k_c value may lead to over- or under-irrigation depending on the time of the year. This has numerous implications for management. The availability of a time series of k_c maps will allow spatially explicit parameterisation of models for irrigation management and this will facilitate improved realism in models (see [7] for a recent review of models). Spatio-temporal estimates of k_c will help with implementing regulated deficit irrigation (RDI). A typical RDI regime necessitates a minimum of two timepoints of k_c values. The temporal evolution of k_c seems to follow the thermal time (GDD) graph; however, it requires further temporally-intensive data at multiple seasons for verification. Such co-evolution of k_c and GDD, if established, could require only a few timepoints of k_c determination that can be extrapolated temporally based on the evolution of the thermal GDD.

This research could have limitations due to the study of just two seasons of data and the lack of spatial distribution of ground reference. Two seasons of data were considered sufficient as the investigated vines were mature and were managed using set management practices, which resulted in minimal seasonality effect on the canopy structure and architecture. However, there could still be some underlying seasonal variability requiring further data acquisition. The spatial distribution of the ground reference was set by a larger irrigation treatment study, which this research was a part of. The ground reference measurement vines were selected based on the physiology of the plant from set rows to minimise the inter-vine variability and to construct the irrigation system. As a result, only the selected vines that were located in certain rows were measured. However, despite this lack of spatial distribution, our dataset captured a wide extent of k_c measurement values, predominantly due to the data acquisition at early-, mid-, and late-season, as well as due to the application of various irrigation treatments. Moreover, the UAV-estimated range of k_c values was equivalent to the ground-observed range of k_c values.

The k_c is generally measured on the ground from several representative samples within each irrigation zone. This approach, however, does not capture the entire variability within a field and the selection of samples can be subjective to the user. UAV-based observation of the entire irrigation zone could provide a more unbiased and holistic view of the vineyard. Moreover, the UAV-based k_c estimation incorporates measurements from the entire canopy while the k_c measured on the ground using the Paso Panel incorporates a section of the canopy. For instance, we sampled k_c values once from each side of the cordon. This sampling strategy is equivalent to the incorporate by observing less than 30% of the canopy foliage while the aerial sampling incorporates the entire canopy foliage. Hence, some errors associated with the UAV-based modelling of k_c could also be attributed to the Paso Panel acquired ground data. The UAV-based k_c values could, therefore, be more robust than is expressed in the model performance results (Table 2).

Preliminary economic calculations based on Australian standards, and excluding the Research and Development cost, revealed that the UAV-based approach could be profitable for the industry in the long run. Measuring on a small scale (e.g., 1 ha vineyard), the Paso Panel approach costs approximately AU\$20/vine/season considering the measurement of 50 vines from the 1 ha at six timepoints throughout the season. The UAV-based approach costs approximately AU\$5/vine/season considering the initial capital investment and the measurement of 2000 vines from 1 ha at six timepoints. When considering a larger vineyard, for example, 20 ha, the UAV-based approach was substantially cheaper (AU\$0.45/vine/season) while the Paso Panel approach cost remained approximately the same. The total cost of the UAV-based approach was substantially high in the first year; however, it becomes progressively cheaper on a per-vine-per-season basis. In subsequent years, both the UAV and Paso Panel approaches had approximately similar year-on-year running costs. Hence, following the initial capital investment in the UAV and multispectral camera, the industry will be able to measure every vine by spending a similar amount as on the Paso Panel based approach. However, a detailed cost-benefit analysis in this regard is needed to establish a complete understanding of the costs associated with the adaptation of this technology and its benefits, both short- and long-term.

For non-horticultural homogenous field crops, the satellite-based estimation of k_c is very reliable [30,31,38]. Complexity in the horticultural setting specifically due to inter-row bare/vegetated areas reduces the estimation accuracies. In vineyards, R² of 0.177 and 0.426 was achieved using satellite-based SAR and NDVI images, respectively [79]. In a groundbased study of a vineyard, [43] showed a linear relationship between the ground-based LAI and the ground-based k_c in Cabernet Sauvignon with an \mathbb{R}^2 of 0.66. In a similar study, [40] estimated the k_c of the Thompson Seedless table grape as a function of leaf area per vine $(R^2 = 0.87)$, LAI $(R^2 = 0.87)$ and shaded area $(R^2 = 0.95)$. The higher estimation accuracy with the table grape canopies can be attributed to the overhead trellis systems as compared to the VSP trellis with the wine grapes [43]. Our study of k_c on sprawling Cabernet Sauvignon wine grapes is comparable to the ground-based study presented in [43]. Using UAV remote sensing, our study presented the capability of remotely measuring k_c with a similar accuracy to that of the ground-based measurements ($R^2 = 0.675$). This capability combined with the benefits of remote sensing in terms of efficiently and inexpensively sampling a much larger area (several hectares) could increase the use of UAV in lieu of field sampling.

The k_c in vineyards is highly variable and can be influenced by vine management practices, soil depth, rooting systems and vine training systems, among other factors. While there are k_c maps freely accessible to growers, these maps will require a site-, region-, and crop-specific ground calibration to establish their usability [30,33]. Using a UAV-based approach, the most practical solution from a grower's perspective could be with the use of a simple RGB camera on an autonomous UAV to compute the c_area as a proxy of k_c. Using just the c_area as an input, k_c was estimated, in this study, with an R² of 0.295, an RMSE of 0.091, and an MAE of 0.076. Given the improved level of autonomy of the UAVs and the increased efficiencies via data processing pipelines, estimating irrigation requirements at multiple timepoints of the season could be within reach for growers using a UAV-based RGB camera. This will improve the precision of the irrigation by computing spatially explicit irrigation requirements for each vine as well as for the entire irrigation zone. However, for the most accurate quantitative estimation, growers could consider sophisticated models such as GLM, GAM or machine learning.

5. Conclusions

In this article, we demonstrated the application of an unmanned aircraft system to estimating the crop coefficient and subsequent irrigation requirements of vineyards. The spectral indices were highly correlated to k_c until the véraison timepoint. After véraison, the correlation between the spectral indices and k_c value started to diverge. The structural features presented the highest correlation coefficient and statistical significance with k_c values throughout the season. Combining both the spectral reflectance and structural features of the grapevine provided a robust estimation of k_c for both early as well as late in the season. Incorporating k_c values with an energy balance model can provide an estimate of the irrigation requirements at different phenological stages. Preliminary economic analysis revealed that the proposed UAV-based method was the most cost effective and quickest method for estimating the k_c and subsequent crop water needs per vine. With the continued development of UAV and battery technology, we envision the increased use of UAV remote sensing for the estimation of irrigation requirements in both small and large vineyards. Future research directions could include irrigation thresholding and automated triggering based on the measured crop water needs and the monitoring of vines and their physiology. While ET_c provided a basis for evidence-based irrigation, more precise control of grapevine irrigation needs could require the use of plant-based sensors such as microtensiometers. A combination of both ET- and plant-based sensors could be a way forward to potentially maximise water use efficiency on a fine to a large scale.

Author Contributions: All authors conceived the initial design of the research. D.G. acquired the remote sensing and together with V.P. the ground data. D.G. processed/analysed the data and together with V.P. interpreted the results. D.G. prepared the manuscript. All authors contributed to the review of the manuscript. All authors have read and agreed to the published version of the manuscript.

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TECHNICAL BRIEF



Evaluating a novel microtensiometer for continuous trunk water potential measurements in field-grown irrigated grapevines

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Abstract

Water potential (Ψ_w) is a fundamental thermodynamic parameter that describes the activity of water and is a key metric of plant water status. In this paper, we evaluate the continuous measurement of water potential in grapevine trunks using a novel in situ sensor known as a 'microtensiometer' under field conditions in two South Australian vineyards. We characterised the seasonal and diurnal dynamics of trunk water potentials (Ψ_{trunk}) obtained from microtensiometers installed in two grapevine cultivars, Shiraz and Cabernet Sauvignon, and compared these values to pressure chamber-derived stem (Ψ_{stem}) and leaf (Ψ_{leaf}) water potentials. Diurnal patterns of Ψ_{trunk} matched those of Ψ_{stem} and Ψ_{leaf} under low-vapour pressure deficit (VPD) conditions, but diverged under high-VPD conditions, where Ψ_{trunk} dropped below Ψ_{stem} in the late afternoon. Interestingly, under high-VPD conditions, Ψ_{trunk} values consistently dropped below Ψ_{stem} values around mid-afternoon with a recovery observed by early evening. The highest diurnal values of Ψ_{trunk} were observed shortly after dawn. Ψ_{stem} was better correlated with Ψ_{trunk} than was Ψ_{leaf} in both cultivars. Time cross-correlation analysis revealed that Shiraz Ψ_{trunk} lagged Cabernet Ψ_{trunk} in response to changing VPD. Microtensiometer-derived Ψ_{trunk} generally matched the seasonal and diurnal patterns of plant Ψ_w obtained with a pressure chamber except under high-VPD conditions. To be useful for irrigation scheduling, where absolute values of Ψ_w are required, crop- and cultivar-specific thresholds of Ψ_{trunk} need to be developed.

Introduction

Measurements of crop water status, which are essential for optimised irrigation scheduling, have historically relied on low throughput and high cost instruments, and labour intensive methods, that have decreased their utility and uptake by the farming community. One such method widely established to reliably quantify plant water status is the manual measurement of leaf or stem water potential (Shackel 2011; Williams and Baeza 2007), using a Scholander pressure chamber invented in the 1960s (Scholander et al. 1965). To overcome some of the limitations of such manual techniques, several electronic plant-based sensors to continuously measure crop water status have recently been developed that are based on various sensing modalities. These include sap flow sensors (Ginestar et al. 1998), thermal diffusivity sensors (Pagay and Skinner 2018), dendrometers (Corell et al. 2014), and thermal or infrared sensors (Jones 1999). A recent review of several of these sensors as applied in tree fruit crops can be found in Scalisi et al. (2017).

Given the prevalence of water potential as a reliable crop water status metric, sensors to measure plant water potential have also been developed. These sensors, known as hygrometers or psychrometers, provide continuous measurements of water potential either as contact sensors on leaves or in situ sensors embedded in stems (Dixon and Tyree 1984; McBurney and Costigan 1984; Michel 1977). Hygrometers measure the water potential of the vapour phase. They are prone to significant errors due to the requirement of isothermal conditions between the measurement junction and plant tissue (Dixon and Tyree 1984). A 1 °K temperature difference between the plant tissue and sensor can result in a water potential error of over 7.7 MPa (Dixon and Tyree 1984). Much like stem hygrometers, other in situ sensors embedded in the stems or trunks include those that measure the osmotic potential of the xylem tissue and calibrated to stem water potential (Meron et al. 2015). The osmotic potential sensor requires proper fluidic contact between the sensor and the plant tissue, as well has long transients (order hours) associated with the measurement.

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Tensiometers measure the water potential of an external matrix by equilibrating an internal, constant volume of water whose hydrostatic pressure is taken as the negative of the external water potential. Tensiometers were originally developed for measurement of soil matric potential (Richards 1942) and have been used for irrigation scheduling of crops (Cormier et al. 2020). Based on this principle, Pagay et al. (2014) developed MEMS-based tensiometers, so-called 'microtensiometers' (MT), for rapid measurements of the water potential of an external matrix. The MTs were previously shown to operate reliably down to below - 10 MPa with short transients (equilibration or response times) of ~ 20 min. This measurement range and temporal resolution makes the sensors valuable for not only crop and soil water status monitoring, but also other contexts including meteorology, concrete curing and food processing, and other systems where the internal water status is required. Subsequent improvements on the original MT design for improved transients (faster response times) were made by Black et al. (2020). This second-generation microtensiometer was used for both in situ and ex situ measurements of water potential in a range of matrices, including foods.

This paper presents the first results of field experiments with MTs, embedded water potential sensors, in mature, irrigated grapevines in a Mediterranean climate. We compared the dynamic MT responses of plant water potential to values of leaf and stem water potentials as measured by the Scholander pressure chamber over both long-term and short-term (diurnal) periods. Our goal was to validate the use of MTs in a field context under dynamic environmental conditions.

Materials and methods

Experimental site and plant material

Two commercial vineyard blocks located in the Coonawarra region of South Australia (37.29° S, 140.83° E) were selected for the trial. One block was planted in 1988 to Vitis vinifera cv. Cabernet Sauvignon grafted onto Schwarzmann rootstock, while the second block was planted in 2013 to V. vinifera cv. Shiraz (syn. Syrah) grafted onto Teleki 5C rootstock. Both vineyards were situated within 5 km of each other and planted over the dominant 'terrarossa' soil, characterised by a distinctive red-brown, thick, clay B horizon soils overlying limestone, the depth to which is variable. In each vineyard, three adjacent vines per cultivar were selected for measurements, and additionally, the middle vine for continuous monitoring of soil and plant water status (see details below). Row and vine spacing was 2.75 m×2.2 m. Vineyard management and integrated pest management were applied to both blocks as per convention in the region for premium wine grape production.

Irrigation was applied using a single drip line $(\phi = 21 \text{ mm})$ with pressure compensating emitters spaced 0.6 m apart, so each vine had approx. 3.7 drippers. The output of these emitters was $1.56 \text{ L} \text{ h}^{-1}$. Therefore, each vine would receive approx. 5.7 L h⁻¹. Irrigation was applied weekly starting in mid-December and ranged from 3.3 mm to over 16 mm per week during the warmest period of the summer that typically included heatwaves. Irrigation decisions were made by the commercial vineyard operator that were based on a combination of historical experience, weather forecasts, and soil moisture capacitance probes where volumetric water content values were maintained over 22%. This threshold soil moisture level was based on historical experience in the vineyard block and soil type to minimise vine water stress, especially during heatwaves, which are common in the region during the growing season.

Environmental monitoring and climatic conditions

Environmental (weather) data for the vineyard blocks were obtained from the Coonawarra automatic weather station (AWS) maintained by the Australian Bureau of Meteorology (BOM), Station ID: 026091. The AWS was located approx. 200 m from the Cabernet Sauvignon vineyard and approx. 5 km from the Shiraz vineyard. Daily maximum air vapour pressure deficit (VPD) was calculated using maximum temperature and minimum relative humidity (RH) daily data. The long-term (20-year) mean January temperature (MJT) for Coonawarra is 19.3 °C and the growing degree days (GDD; base 10 °C; October-April) is 1511. The climate of the area is characterised as Mediterranean, with winter dominant rainfall and relative summer drought. Average annual rainfall for Coonawarra is approx. 569 mm (Bureau_of_Meteorology 2021). Supplemental irrigation is typically required from December until March. The elevation of the region is between 57 and 63 m above sea level.

Soil moisture measurements

Of the three sentinel adjacent vines in each cultivar/block, the middle vine was selected for continuous monitoring of soil moisture, temperature and electrical conductivity using a capacitance-based sensor (Model: Teros-12, Meter Group, Pullman, WA, USA) buried approx. 30 cm below the surface and approx. 10 cm from the trunk of the vine in the vine row. The hourly sensor data were wirelessly transmitted via telemetry to a Cloud-based server and visually displayed on a user interface (Greenbrain, Measurement Engineering Australia, Adelaide, SA, Australia).

Plant water status measurements

Leaf stomatal conductance

In each block, leaf stomatal conductance (g_s) was measured on the three sentinel vines per cultivar between 1200 and 1300 h. Measurements were performed on one fully expanded, healthy leaf per vine using an open system infrared gas analyser (IRGA; LI-6400XT, LI-COR Biosciences Inc., Lincoln, NE, USA) with a 6 cm² cuvette. An external LED light source (LI-6400-02B) attached to the cuvette was used at a fixed PAR value of 1500 μ mol m⁻² s⁻¹ due to the sometimes variable ambient light levels. The cuvette gas flow rate was set at 400 μ mol s⁻¹ and reference CO₂ was set to 400 ppm. The cuvette and leaf temperatures were at ambient (uncontrolled), while cuvette relative humidity with the leaf inserted was maintained within a range of 35-55%. IRGA measurements were conducted diurnally (every 2 h between 0800 and 2000 h) on 2 days of contrasting VPDshigh VPD (February 17, 2021; max VPD~6 kPa) and low VPD (January 26, 2021; max. VPD~1.7 kPa)-that were typical of a Mediterranean region.

Leaf and stem water potentials

In each block, midday stem (Ψ_{stem}) and leaf water potentials (Ψ_{leaf}) were measured on adjacent mature leaves of the same shoot between the hours of 1200–1300 using a Scholander pressure chamber (Soil Moisture Equipment Corp., Santa Barbara, CA, USA). For Ψ_{stem} measurements, leaves were bagged using an opaque aluminium-lined bag for a minimum of 1 h prior to measurement to stop transpiration and allow for equilibration of water potentials between the leaf and shoot (stem). Measures of Ψ_{leaf} and Ψ_{stem} were performed on one leaf per vine from the three sentinel vines in each block/cultivar. Leaf and stem water potentials were measured diurnally on the two measurement days concurrently with IRGA measurements. The same leaf used for g_s measurement with the IRGA was used to measure Ψ_{leaf} .

Trunk water potentials

On December 12, 2020, a total of four microtensiometers (MT; FloraPulse, Davis, CA, USA) were embedded into the trunks of the grapevines, two MTs per vine per cultivar. Readers are referred to Pagay et al. (2014) for a detailed description of the theory of tensiometry, and to Black et al. (2020) for technical details of the MT sensor design, fabrication, calibration, and lab testing results (performance under controlled conditions). Two MTs (Fig. 1a) were embedded into each trunk of a woody, mature grapevine per cultivar. Sensor installation consisted of the following steps: (1) removal of bark on a flat section of the trunk; (2) removal



Fig. 1 a Close-up view of an individual microtensiometer showing the stainless steel packaging surrounding a protruding sensor chip. The tip of the chip consists of the nanoporous silicon membrane that allows for equilibration of water potentials between the exterior matrix and internal water; (b) MTs installed in a grapevine trunk within a stainless steel sleeve filled with kaolin mating compound; (c) background: MT batting and reflective film to minimise temperature effects on water potential measurements of the sensor; foreground: dataloggers and wireless transmitters of the MT (bottom unit, white box) and soil moisture sensor (top unit, red base)

of phloem tissue using a cork borer and spatula/blade; (3) insertion of a custom stainless steel sleeve (Fig. 1b; OD: 14 mm, ID: 9 mm) using a hammer; (4) drilling into the sleeve approx. 5 cm into the trunk (within xylem tissue) and

Fig. 2 Seasonal patterns of (a) vapour pressure deficit (VPD), (b, \triangleright c) total water incident (irrigation + precipitation), (d) soil moisture (as volumetric water content, VWC), and (e) trunk water potentials (Ψ_{trunk}) for Cabernet Sauvignon and Shiraz grapevines during the 2020–21 season. Approximate phenological stages shown on top of VPD graph: *FL*=flowering; *BC*=bunch closure; *V*=veraison; *PH*=approx. one week pre-harvest

removing tissue; (5) filling the cavity with a kaolin-based mating compound; (6) inserting the hydrated MT into the mating compound; (7) placing a stainless steel cap to close the sleeve; (8) covering the sleeve exterior at the trunk with silicone to ensure air and water proofing; (9) wrapping plastic film around the sensor followed by a 25-mm-thick foam batting with reflective aluminium film to minimise exterior temperature fluctuations to the sensors (Fig. 1c). The sensors equilibrated with the vine (through the mating compound) within 2 days of installation. The MT data of trunk water potential (Ψ_{trunk} ; value averaged for both sensors) were obtained every 20 min wirelessly transmitted via telemetry to a Cloud-based server (Amazon Web Services, USA) and visually displayed on a user interface (FloraPulse, Davis, CA, USA).

Statistical analyses

Time-lagged cross-correlation (TLCC) analysis was used in MATLAB programing software (v.9.8.0, R2020a, The Math-Works, Inc., Natick, MA, USA) to analyse the continuous (20-min interval) data of VPD and Ψ_{trunk} over the course of 2 days with contrasting VPDs: January 26, 2021 (low-VPD day; daily max. VPD~1.6 kPa) and February 17, 2021 (high-VPD day; daily max. VPD~6.7 kPa). TLCC analysis involves determining the correlations between two time series datasets that are shifted in time (Chatfield and Xing 2019), and repeatedly calculating Pearson Product Moment Correlation (cross-correlation) Coefficient (XCC) after each shift (Cheong 2020). Resulting 'offset' values, which when selected at the highest normalised XCC in the series, indicate the time shift (lag or advancement) of a particular time series compared to the other. Time shifts were selected such that they aligned with the VPD and Ψ_{trunk} measurement interval of 20 min; therefore, each offset represented 20 min.

Results

Seasonal patterns

Seasonal patterns of environmental conditions (VPD, rainfall+irrigation), soil moisture, and vine water status (Ψ_{trunk}), as measured by the microtensiometers, are shown in Fig. 2. In general, soil moisture levels correlated strongly to water incident in both vineyard, and in many cases inversely with



VPD. Microtensiometer responses (Ψ_{trunk}) reflected both soil moisture and atmospheric demand. Under high-VPD conditions, e.g. Julian Day (JD) 208 when the maximum VPD was approx. 6.7 kPa, Ψ_{trunk} values dropped by 0.2 and 0.5 MPa in Cabernet and Shiraz grapevines, respectively, despite stable or even higher soil moisture levels. Based on linear regression modelling using the same dataset to predict Ψ_{trunk} from VWC and VPD, the following relationships were obtained for CAS and SHI (n = 119):

$$\Psi_{trunk,CAS} = -0.983 - (0.109 \times VPD) + (0.033 \times VWC) (P < 0.0001)$$
$$\Psi_{trunk,SHI} = -1.187 - (0.212 \times VPD) + (0.039 \times VWC) (P < 0.0001)$$

The model results indicate that, while soil moisture has a similar influence on Ψ_{trunk} in both cultivars, Shiraz was more sensitive to VPD than Cabernet Sauvignon.

Seasonal courses of pre-dawn (leaf), stem and trunk water potentials are shown in Fig. 3 for Shiraz and Cabernet grapevines. In Shiraz, Ψ_{pd} values showed a declining trend over the season from JD 167, coinciding with the fruit set phenological stage until bunch closure (JD 196) followed by a recovery until harvest (Fig. 3a). This trend was matched by both Ψ_{trunk} and Ψ_{stem} albeit at lower absolute values of water potential. The lowest value of Ψ_{trunk} was observed



Fig. 3 Seasonal patterns of trunk (Ψ_{trunk}), stem (Ψ_{stem}), pre-dawn leaf (Ψ_{pd}) water potentials, and soil volumetric water content for (**a**) Shiraz and (**b**) Cabernet Sauvignon grapevines during the 2020–21 season. Data shown are for a single measurement vine per cultivar in which the pair of MTs was installed. Approximate phenological stages shown on top of each graph: *FL*=flowering; *BC*=bunch closure; *V*=veraison; *PH*= approx. 1 week pre-harvest

around bunch closure at approx. -0.7 MPa. For Cabernet, the Ψ_{pd} value remained relatively stable until veraison (JD 261) when the value dropped from -0.2 MPa to -0.5 MPa by harvest (Fig. 3b). This was matched by a continually declining Ψ_{stem} , which reached a minimum of -0.9 MPa by harvest. Interestingly, the trend in Ψ_{trunk} appeared to remain fairly stable until JD 260 and then stabilised in the postveraison period.

Diurnal patterns of environment and vine water status

Measurements of environmental conditions and vine water status were made over the course of 2 days, one with high vapour pressure deficit (VPD) and the other with low VPD, during the 2020–21 growing season from 0800 to 2000 h. The low-VPD day, January 26, 2021, was characterised by sunny and cool conditions, with the daily maximum temperature reaching just over 23 °C with a maximum VPD of 1.7 kPa at around 1400 h (Fig. 4a, g). On the high-VPD day, February 17, 2021, maximum daily temperature was nearly 37 °C and maximum VPD was approx. 6.0 kPa (Fig. 4d, j). The average soil volumetric water content (VWC) on the two measurement days were 24.0% and 30.6% on January 26 and February 17, respectively, in Shiraz; in Cabernet Sauvignon the VWC values were 28.3% and 30.3% on January 26 and February 17, respectively.

Under low-VPD (~1.7 kPa) conditions, Shiraz grapevines had $\Psi_{trunk}, \Psi_{stem}$ and Ψ_{leaf} daily average minimum values of -0.66, -0.96, and -1.30 MPa, respectively (Fig. 4b). Under high-VPD (~6 kPa) conditions, Shiraz grapevines dropped their Ψ_{trunk} , Ψ_{stem} and Ψ_{leaf} values to -1.1, -0.7, -1.5 MPa, respectively (Fig. 4e), which were considerably lower than during the low-VPD day. Under high-VPD conditions in both cultivars, patterns of plant water potential (Ψ_{w}) early in the day were similar to the patterns observed during the low-VPD day; the values of Ψ_w followed the expected order of $\Psi_{trunk} > \Psi_{stem} > \Psi_{leaf}$ during the morning. However, a distinct shift in the pattern of Ψ_{trunk} was observed after approx. 1400 h: Ψ_{trunk} dropped below Ψ_{stem} , reaching a minimum of -1.06 MPa around 1800 h, matching values observed for Ψ_{leaf} . In comparison, Ψ_{stem} at the same time (1800 h) was -0.47 MPa. There was a noticeable recovery (increase) in Ψ_{trunk} that started soon after 1800 h, matching the values of Ψ_{leaf} during this period late in the day (1800 h–2000 h). Under the same (high VPD) conditions, patterns of Cabernet Sauvignon Ψ_w were similar to that of Shiraz. Cabernet had large differences between Ψ_{trunk} , Ψ_{stem} and Ψ_{leaf} early in the day, and these reached their minimum values of -0.67, -0.76, and -1.5 MPa, respectively, between 1400 and 1600 h (Fig. 4k). Much like Shiraz under similar (high VPD) conditions, there was a distinct crossing over of Ψ_{trunk}





and Ψ_{stem} around 1600 h; Ψ_{trunk} dropped to values below Ψ_{stem} , remaining in this position until the end of the day.

Leaf stomatal conductance (g_s) was measured concurrently with Ψ_{w} measurements, and on the same leaf used to measure Ψ_{leaf} . Patterns of g_s mirrored Ψ_{w} in both cultivars and across both measurement days under contrasting environmental conditions. In Shiraz, average g_s values were highest early in the day, peaking around 124 mmol H₂O $m^{-2} s^{-1}$ at 1000 h under low-VPD conditions, and considerably higher around 235 mmol $H_2O \text{ m}^{-2} \text{ s}^{-1}$ also at 1000 h under high-VPD conditions (Fig. 4c,d). Under high-VPD conditions, however, there was a precipitous decline in g_{s} after 1600 h down to similar values observed under low-VPD conditions by late day (2000 h).

Relationships between leaf, stem and trunk water potentials

Correlation analysis between various vine water potential metrics was performed to validate the Ψ_{trunk} data from the microtensiometers versus the pressure chamber-derived established metrics, Ψ_{leaf} and $\Psi_{stem}.$ To search for robust relationships between water potential metrics for the individual cultivars, linear regression analysis was done for the combined dataset of both cultivars (Fig. 5). For Ψ_{trunk} vs. Ψ_{leaf} (Fig. 5a), the slope was approx. 0.4 ($R^2 = 0.21$), while for Ψ_{trunk} vs. Ψ_{stem} (Fig. 5b), the slope was higher at approx. 0.95 with higher correlation coefficients ($R^2 = 0.45$) and a greater agreement between the two metrics compared to Ψ_{trunk} vs. Ψ_{leaf} as indicated by the slope similarity to the 1:1 line.



Fig. 5 Correlation analysis between trunk water potential (Ψ_{trunk}) and (a) leaf water potential (Ψ_{leaf}) and (b) stem (Ψ_{stem}) water potentials for both cultivars combined (slopes of individual cultivar regressions not significantly different). Regression equations:

Trunk water potential sensitivity to VPD

The relationships between VPD and Ψ_{trunk} for Cabernet and Shiraz for the period December 14, 2020 to February 10, 2021 (0600–2000 h) are presented in Fig. 6. There was a significant difference between cultivars in the sensitivity of vine water status to VPD (as indicated by the slopes of the regression lines) during the 2-month peak summer period. Shiraz was more sensitive than Cabernet to changes in atmospheric conditions, dropping its Ψ_{trunk} by approx. 0.17 MPa kPa⁻¹. In comparison, Cabernet reduced its Ψ_{trunk} by approx. 0.07 MPa kPa⁻¹.



Fig.6 Linear regression analysis of VPD vs. Ψ_{trunk} of Shiraz and Cabernet Sauvignon grapevines over the measurement period. $\Psi_{\text{trunk}} = -0.3272 - 0.1696 \text{*VPD},$ Regression equations: Shiraz: $R^2 = 0.51$; Cabernet Sauvignon: $\Psi_{\text{trunk}} = -0.1247 - 0.065 \text{*VPD},$ $R^2 = 0.33$. P value for differences between the slopes: < 0.001



 $\Psi_{\text{trunk}} = (\Psi_{\text{leaf}} * 0.4072) - 0.0531, R^2 = 0.21 (P = 0.0022); \Psi_{\text{trunk}} = (\Psi_{\text{stem}})$ *0.9505) + 0.1003, R^2 = 0.45 (P < 0.0001). Diagonal dotted line represents 1:1 line

Time-lagged cross-correlation analysis (TLCC) was used to analyse the time series datasets of VPD and Ψ_{trunk} across 2 days with contrasting environmental conditions or VPDs. Under low-VPD conditions (January 26, 2021; VPD ~ 1.7 kPa), TLCC analysis between VPD and Ψ_{trunk} revealed that CAS had the minimum Pearson Product Moment (normalised cross-correlation) coefficient of -180 min indicating that Ψ_{trunk} lagged VPD by 180 min. under similar (low VPD) conditions, Shiraz Ψ_{trunk} lagged VPD by 220 min. On the high-VPD day (February 17, 2021; VPD ~ 6.7 kPa), TLCC analysis revealed that CAS Ψ_{trunk} lagged VPD by 80 min while Shiraz Ψ_{trunk} lagged VPD by 120 min, both lower than the time lags observed on the low-VPD day.

Discussion

Seasonal and diurnal patterns of vine water status

In the present study, seasonal and diurnal measurements were made using MTs in Shiraz and Cabernet Sauvignon field-grown grapevines. Seasonal patterns of Ψ_{trunk} were typical of crop water potentials observed in several Mediterranean crops under supplemental irrigation (McCutchan and Shackel 1992; Williams et al. 2012) with a gradual increase of vine water stress over time. In the case of Shiraz, the steep decline observed leading up to JD 196 (Fig. 3a) resulted from a faulty valve in the sub-main irrigation line, which upon subsequent repair improved soil moisture levels and vine water status. Cabernet Ψ_{trunk} stabilised after veraison and could be related to the increase in soil moisture during this period coupled with warmer and drier conditions.

Our diurnal observations indicated that the lowest Ψ_{leaf} , Ψ_{stem} , and Ψ_{trunk} were reached around 1400 h, 1600 h and 1800 h, respectively, on low-VPD days, whereas these times were advanced on the high-VPD day, particularly for Ψ_{stem} and Ψ_{trunk} . Williams and Baeza (2007) suggested that the influence of VPD on Ψ_{leaf} decreases as soil moisture decreases. Modelling of diurnal patterns of Ψ_{leaf} predicted that the lowest values are likely to be reached around 1400 h, approx. 2 h after the highest leaf transpiration rates are reached (Katerji et al. 1986). This transient (or delay) in Ψ_{leaf} response can be attributed to the contributions of both plant tissue water and root uptake of soil moisture to the transpiration stream. These modelled patterns compare favourably with those obtained from lysimeters; maximum transpiration rates were reached between 1200 and 1400 h (Williams et al., 2012). The same study found that higher vine sizes or crop factors result in not only increased transpiration rates, as expected, but also a delayed peak by as much as 2 h. Similar patterns of vine transpiration were observed in studies using sap flow and thermal dissipation sensors (Braun and Schmid 1999; Pagay and Skinner 2018). The diurnal measurements of leaf stomatal conductance (g_s) in the present study indicated that the highest values were reached in the afternoon on low-VPD days and late morning on high-VPD days. Reductions in g_s following this peak resulted in increases in Ψ_{leaf} and Ψ_{stem} , but not Ψ_{trunk} . This lack of response from Ψ_{trunk} might indicate a level of buffering of water potential in the woody organs of the plant, in this case the trunk, where xylem vessels are surrounded by parenchymal cells that can contribute water to the transpiration stream, the so-called 'capacitance effect' (Salomón et al. 2017; Waring and Running 1978).

The highest diurnal Ψ_{trunk} observed in the present study was reached in the early morning, between 0700 and 0800 h, which is consistent with another study reporting that Ψ_{stem} does not start decreasing from its maximum diurnal value until the early morning, approx. 0700 h (Cole and Pagay 2015), but in contrast to another report that Ψ_{nd} decreases from 0330 h (Carbonneau et al. 2004). The observation has implications for the timing of measurement of Ψ_{pd} , if used as a metric for crop irrigation scheduling as recommended previously (Stricevic and Caki 1997). Donovan et al. (2001) found that Ψ_{pd} and Ψ_{p} in several woody plants may not reflect Ψ_m even under well-watered conditions without nocturnal transpiration. This was hypothesised to be due to the accumulation of high concentrations of solutes in the leaves, although grapevines have only modest levels of osmotic adjustment compared to many other woody horticultural crops (Rodrigues et al. 1993).

The use of trunk water potential for irrigation scheduling

MTs offer yet another plant water status metric, Ψ_{trunk} , that has been shown in this study to have a different range of values compared to conventional measures of Ψ_{leaf} and Ψ_{stem} . These conventional metrics have been well characterised for irrigation scheduling and thresholds have been suggested in the literature (Deloire and Heyns 2011; Romero et al. 2010). Trunk water potential is arguably the most stable of these three metrics, integrating all the leaves of the plant in a stable tissue that is relatively unaffected by external factors as are Ψ_{leaf} and $\Psi_{\text{stem}}.$ Our measurements of these three vine Ψ_w metrics indicated that, in some instances, Ψ_{trunk} tended to be nearly 1 MPa higher than Ψ_{leaf} , indicative of the high hydraulic resistances between the trunk and leaves. Previous reports have shown that the highest hydraulic resistance in this pathway lies in the leaf, representing as much as 30% of the overall resistance in the plant (Sack et al. 2003), likely due to the fewer and narrower xylem vessels in this section of the pathway compared to distal sections. We found a weak agreement between the absolute values of Ψ_{trunk} and Ψ_{leaf} and a slightly better agreement versus Ψ_{stem} . This indicates

that practitioners require new thresholds of Ψ_{trunk} to use for irrigation scheduling. The Ψ_{trunk} was also susceptible to the least fluctuations diurnally, although this was only shown to be true under low-VPD conditions (Fig. 4). Its central location in the plant between the roots and leaves, as well as buffering of xylem water status (pressure potential) via capacitance from adjoining parenchymal cells and secondary xylem (Meinzer et al. 2009) would be plausible reasons for the stability of the trunk's water status. However, we observed that Ψ_{trunk} responded to changes in soil moisture (via irrigations) less rapidly than to changes in VPD (data not shown), which suggests that the trunk may be well-coupled to the leaves despite the high hydraulic resistances in the leaf petioles. A related and somewhat surprising observation was made under high-VPD conditions: we consistently observed the crossing over of the Ψ_{trunk} and Ψ_{stem} lines in the mid-afternoon (Fig. 4e, k). The lower Ψ_{trunk} value (compared to Ψ_{stem}) during warm afternoons indicates that the trunks of both cultivars were considerably more water stressed than the stems and similar to the leaves in the late afternoon. A plausible explanation for this response is that the roots may be under water stress owing to transient water deficits at the soil-root interface due to high transpiration rates under high-VPD conditions, as well a relatively significant hydraulic resistance between the trunk and stem. Pagay et al. (2016) reported that, under high-VPD conditions, low plant water potentials could result, if the capillary conductivity of soils in the rhizosphere is inadequate to support high canopy transpiration rates. Recent reports on the existence of localised positive pressures in the xylem due to a variety of factors including osmotic exudation and/or water contributed from neighbouring parenchymal cells (Schenk et al. 2020) further support the possibility that higher water potentials in the stem compared to the trunk may exist transiently and in a localised manner, particularly when transpiration rates are low. This hypothesis needs to be tested with additional experimentation.

Continuous measurements of Ψ_{trunk} using in situ microtensiometers, which were demonstrated in field-grown plants for the first time in this study, offers a convenient measurement of plant water status for irrigation scheduling. Furthermore, these in situ measurements of plant water potential provide a useful tool for physiological studies of plant hydraulics in a dynamic environment, for example, studies on the limiting water potentials of plants as well as those involving cavitation and embolism recovery dynamics. Microtensiometers are also amenable to automation, for example, to automate irrigation scheduling via a decision support system in which thresholds of Ψ_{trunk} are pre-programmed in irrigation controllers for various crop phenological stages and that also incorporate other relevant environmental parameters such as weather forecast and soil moisture data for precision irrigation. The use of published Ψ_{stem} or Ψ_{leaf} thresholds to drive irrigation decisions should be based on measurements of the specific metric for which the threshold has been developed; a translation of those values to Ψ_{trunk} would not be appropriate due to physiological, hydraulic and anatomical differences between plants. Further research is required to validate inter-sensor variation of Ψ_{trunk} within the same plant, long-term sensor performance and stability, as well as determining Ψ_{trunk} thresholds for irrigation scheduling.

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Data availability The data supporting the findings of this study are available upon request from the author.

Declarations

Conflict of interest The author declares that no conflict of interest exists.

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Ground, Proximal and Remote Sensing Techniques for Precision Irrigation of Vineyards



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Wine Australia



Introduction

Precision irrigation to save water, make better wine, and increase the profitability of vineyards.



Test sites

Cabernet Sauvignon and Shiraz at Coonawarra, the premium wine region of South Australia, are studied.

Remote sensing

Remote thermal and multispectral (including visible) data is acquired at critical phenology stages of the plant.

Platforms







Remote sensors



Sony Alpha 7R III

MicaSense RedEdge-M

FLIR Tau2 640

Multispectral/Thermal calibration





Experimental design

Each test site includes four irrigation treatments with two replication blocks.

Precision irrigation experimental design																	
Rows		Replication block #1						Replication block #2									
1																	
2		N	*	Μ	Μ	Μ	Μ					Μ	М	Μ	М		

Measurement/sentinel vine Water meter Soil moisture sensor **Conventional treatment**

DJI Matrice 600 Pro Map georeferencing **Custom GCPs** Surveying pegs



Multispectral/thermal calibration panels, spectralon and spectroradiometer

Data collection stages















4. Pre-veraison







Proximal and ground sensing

Using proximal and ground sensors, following measurements are made throughout the season: pre-dawn leaf water potential (ψ_{nd}) , mid-day stem water potential (ψ_{stem}) , canopy conductance (g_s) , net photosynthesis (p_n) , soil moisture, leaf area index (LAI), crop coefficient (k_c), porosity, canopy temperature, in bunch temperature, and meteorological data (daily temperature, humidity, precipitation, wind, solar radiation), etc.







Conclusion and future work

• The irrigation treatment appears to stand out at later season.



LI-COR LI-6400XT Portable Photosynthesis System $(g_{s'}, p_{n'}, F)$



Decagon SC-1 leaf $porometer(g_s)$

probe





Ground network of thermography towers via LoRaWAN gateway for cloudbased monitoring (RH%, Ambient T, Shade T)



AccuPAR Paso panel (LAI, k_c) ceptometer (porosity)

LP-80

Meteorological



- The post-veraison net photosynthesis appears to be strong covariate of berry size and berry quality parameters.
- Thermal and multispectral remote sensing will be used to predict the water status, yield, and wine quality parameters
- Mechanistic and/or machine learning models will be incorporated with remote sensing for spatial/temporal prediction.

Get in touch

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Financial analysis of implementing different irrigation scheduling strategies in premium Cabernet Sauvignon grapevine in a cool climate Australian vineyard

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Short running title: Financial analysis of irrigation scheduling in vineyards

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5 Abstract

Background and Aims: To improve vineyard sustainability in increasingly arid conditions, optimising water use
efficiency (WUE) has been proposed as an important target in scientific literature, and irrigation scheduling is
another means by which WUE may be improved. To schedule irrigation, there are a wide variety of strategies
available to vineyard operators. However, there is a need to investigate the costs associated with each of these
approaches, particularly when considering the adoption of new technology.

11 Methods and Results: The financial returns associated with four different approaches (GROW, ET, PWS and SWS) to 12 irrigation scheduling was investigated in a premium Cabernet Sauvignon vineyard in Coonawarra, South Australia 13 over three growing seasons. Financial analysis showed scheduling using data driven metrics (ET, PWS and SWS) as 14 having similar returns compared with scheduling carried out in the conventional (GROW) treatment, as 15 demonstrated by statistically similar net present values (NPV). Though despite the lack of statistical significance, 16 all financial indicators investigated in this study suggested scheduling using either an ET or PWS based approach to 17 be associated with higher farm returns (compared with GROW). The impact of including a gross water price into 18 production costs was also discussed, as water is principally sourced from underground aquifers in Coonawarra.

19 Conclusions: Data driven strategies were preferred when carrying out irrigation scheduling, as these strategies were 20 associated with irrigation reductions, improvements in WUE and similar financial returns compared with the 21 conventional approach.

Significance of the Study: This study highlights data driven strategies as financially feasible alternatives for irrigation
 scheduling in cool climate Australian vineyards.

24

25 Keywords: capacitance probe, evapotranspiration, infrared thermography, vitis vinifera, water use efficiency,

- 26
- 27

28 1. Introduction

29 Vineyard management strategies that enable grape growers to adapt to changing climatic conditions are essential 30 for safeguarding the future of wine production in Australia. The wine industry plays an important role in the 31 Australian economy, having contributed approximately \$45 billion dollars in 2020. However, this contribution 32 required over 496,300 ML of irrigation, representing just over 8% of Australia's agricultural water usage in 33 2019/2020 (Australian Bureau of Statistics, 2020). Modifying irrigation has previously been stated as one of the 34 most effective tools to lessen the impact of reduced water availability and increase water use efficiency (WUE) in 35 agriculture (Medrano et al., 2015, Naulleau et al., 2021). For this reason, as well as often observed improvements 36 to berry quality and resulting wine (Chaves et al., 2010, Torres et al., 2022), deficit irrigation (DI) strategies are a 37 common aspect of irrigation management in most Australian vineyards. DI refers to strategies that apply irrigation 38 below a crop's full water requirements (Fereres and Soriano, 2007), and refers to approaches such as sustained 39 deficit irrigation (SDI) (Fereres and Soriano, 2007), regulated deficit irrigation (RDI) (McCarthy et al., 2002) and 40 partial rootzone drying (PRD) (Dry and Loveys, 1999). However, DI strategies need to be managed with appropriate 41 plant water stress and irrigation thresholds in mind (i.e. irrigation scheduling) (Romero et al., 2010), in order to 42 realise the full benefits associated with these techniques. Irrigation scheduling refers to the timing and volume of 43 irrigation application and has been proposed as another means of improving WUE (Koech and Langat, 2018), 44 particularly in horticultural crops, including grapevine (Fernández, 2017). Vineyard irrigation scheduling can be 45 undertaken using several different strategies, and these strategies can be broadly categorised as those based on i) 46 historical applications or experiential, ii) evaporative demand (e.g., crop evapotranspiration, ET_c), iii) plant water 47 status thresholds, and iv) soil moisture (matric potential or volumetric water content) thresholds.

48 In Australian vineyards, carrying out irrigation scheduling using soil moisture thresholds is widely popular, 49 particularly when it involves measuring volumetric water content (%VWC) (Nordestgaard, 2019). Monitoring 50 %VWC typically involves using a variety of commercially available sensors and probes that can function either 51 continuously or as a static measurement. The crucial challenge when using soil-based approaches for irrigation 52 scheduling is in ensuring measurements are representative of the large spatial variation (i.e. differences in rooting 53 depths or soil characteristics) that is often present in vineyards (Lebon et al., 2003). To overcome this variability, a 54 large network of sensors (or probes) are often required, which may become cost prohibitive for some grape 55 growers. Alternatively, irrigation scheduling using plant-based thresholds requires the irrigator to measure an 56 aspect of plant physiology responsive to changes in plant water status. As an example, recent investigations 57 highlighted stomatal conductance (g_s) as a highly sensitive indictor of water stress (Tuccio et al., 2019), a finding 58 which has been emphasised previously (Jones, 2004). Canopy temperature has also been shown to be highly related 59 to g_s due to the effect stomatal aperture has on leaf temperature (Jones et al., 2002) and techniques such as infrared 60 thermography enable canopy temperature to be accurately monitored (Belfiore et al., 2019), with specific indexes 61 that relate g_s to canopy temperature also available (Petrie et al., 2019). Similar to other plant-based approaches, 62 adequately capturing vine-vine variability while avoiding the need for labour intensive measurements and high 63 equipment costs can pose a challenge when using these methods. Though despite this, plant-based methods are still 64 considered to be the most accurate representation of plant water status compared with other forms of irrigation 65 scheduling (Shackel, 2011). As an alternative to sensor driven approaches, irrigation scheduling based on 66 evaporative demand (evapotranspiration, ET) is another method available to growers. ET-based scheduling involves the estimation of a crop's water loss (crop evapotranspiration, ET_c) over a specific period of time (either
daily or weekly) and then replacing a fraction of or all of this water. Calculating this water loss is most commonly
undertaken using the Penman-Monteith energy balance model (Allen et al., 1998). The major benefit in using this
approach is the ability to numerically determine how much irrigation water is required, while the biggest challenge
is typically in obtaining and using accurate crop factors (k_c values) (Gautam et al., 2021).

72 Each of these scheduling approaches (historical or experiential knowledge, soil moisture thresholds, evaporative 73 demand based, plant water status thresholds) pose a range of benefits and challenges, however, understanding the 74 costs involved is also an important consideration when carrying out irrigation scheduling. Furthermore, the 75 adoption of new technology or the implementation of an altered practice change can be hindered by an incomplete 76 understanding of the economic implications of these decisions (i.e., introducing new technology) (Alcon et al., 77 2013). It therefore follows that adoption is more likely to be made, if the technology (or practice change) generates 78 a positive net benefit. This is critically important when investigating ways to improve water use efficiency in 79 irrigated agriculture. Financial analysis and discounted cash flow analysis (DCFA) have previously been used to 80 assess the financial feasibility of different irrigation technologies and strategies in a range of horticultural crops, 81 including almonds (García et al., 2004, Romero et al., 2006, Alcon et al., 2013), citrus (Pérez-Pérez et al., 2010, 82 Maestre-Valero et al., 2016, Panigrahi et al., 2013), olives (Egea et al., 2017), and winegrapes (García García et al., 83 2012, Romero et al., 2016, Bellvert et al., 2021). In its simplest form, a financial analysis involves summing the total 84 monetary benefits and costs of a project and, if the total benefits exceed the costs, the project is considered 85 economically viable (Griffin, 1998). As an extension of this, a DCFA can be used to assess the future profitability 86 associated with adopting new technology by considering the total lifespan of the investment in question. Additional 87 indices that explore the relationship between various parameters such as profit, yield and water applied can also 88 be calculated during a CBA (Romero et al., 2016). Therefore, the primary objective of this work was to apply financial analysis in order to evaluate the financial costs and benefits involved with adopting different forms of 89 irrigation scheduling in premium Coonawarra Cabernet Sauvignon. 90

91

92 2. Materials and Methods

93

94 2.1 Field conditions, vineyard description and management

95 This research was carried out over three growing seasons (2018/2019, 2019/2020 and 2021/2022) at a 96 commercial vineyard planted in 1988 in Coonawarra, South Australia (-37°28'52" S, 140°83'00" E). Vitis vinifera L. 97 cv. Cabernet Sauvignon on Schwarzmann rootstock (V. riparia × V. rupestris) was grown on Terra Rossa, a red clay 98 loam common to the region (Longbottom et al., 2011). Analysis showed the soil as posessing 25% clay, 56% sand 99 and 19% silt. Vine rows were orientated east-west and had a row and vine spacing of 2.75 m and 2.2 m, respectively, 100 generating a vine density of 1,653 vine ha⁻¹. Vines were spur pruned to two-node spurs with 5 to 6 spurs per linear 101 metre of cordon, and were trained according to a sprawl type canopy. According to this canopy structure, shoots 102 grow vertically early in the season and are allowed to drop after mid-season, therefore providing shade to the fruit. 103 Canopy management followed the practices of the commercial vineyard and varied depending on the season, but generally included at least one pass of shoot trimming (average shoot length ~1 m) to minimise canopy vegetative
 growth. Nutrition and integrated pest management were applied as per regional convention.

106

107 2.2 Experimental design and irrigation treatments

The experimental layout was a randomised complete block design where each treatment was replicated twice in two blocks. Within each block, treatments consisted of three rows, with two rows separating each treatment block. Four vines from the middle row (of the three rows) were evaluated for a maximum of eight vines per treatment each season. Irrigation treatments were defined by the types of irrigation thresholds/decision making involved with using each metric. Four different irrigation scheduling treatments were applied 1) irrigation decisions that were grower driven (GROW), 2) decisions that were driven by assessments of plant water status thresholds (PWS), 3) decisions based on crop evapotranspiration (ET_c) and 4) scheduling based on measurements of soil volumetric

115 water content using soil water status thresholds (SWS); see below for description of specific thresholds.

116 The GROW treatment was conducted according to experiential/historical grower knowledge and was assigned as 117 the control treatment. Grower decision making typically included the use of historical irrigation records, weather 118 forecasts, and visual canopy assessment of vine water status. The grower also had access to one soil moisture probe 119 in an adjacent block with the same soil type. The frequency and amount of seasonal irrigation typically received by 120 these blocks vary from season to season, however generally falls within 0.5-0.6 ML ha⁻¹. The ET treatment included 121 a weekly calculation of crop evapotranspiration (ET_c). The single k_c approach was used to estimate ET_c, with k_c 122 values derived from measurements of intercepted light beneath the canopy (Williams and Ayars, 2005), whilst 123 reference evapotranspiration (ET₀) was calculated using the Penman-Monteith equation (Allen et al., 1998). The 124 climatic variables required for the equation were obtained from a nearby weather station (Bureau of Meteorology 125 weather station, Coonawarra, station ID 026091). The PWS treatment was based on measurements of g carried out 126 with a portable infrared gas analyser (LI-6400XT; LI-COR, Inc., Lincoln, Nebraska USA) in the first two seasons 127 (2018/2019 and 2019/2020), then fully transitioned to proximal sensing using infrared thermography towers 128 (Transp-IR, Athena Irrigation, Adelaide, South Australia) in the last season (2020/2021). One infrared 129 thermography tower was placed between the second and third vine in each PWS block. The vine water index (VWI), 130 which is based on g_s, was calculated in the Cloud daily and was remotely accsssible. Lastly, vines in the SWS 131 treatment were irrigated according to measurements of volumetric water content from capacitance-based soil 132 moisture sensors (Teros 12, Meter Group, Pullman, USA). The sensors were situated 10 cm away from the trunk in 133 the under vine area and buried at a depth of 30 cm. Data from these sensors were also remotely accessible and 134 continuously logged every hour.

For each data-driven treatment (ET, PWS, SWS), the decision to irrigate was triggered when a reference parameter (% ET_c, *g*_s and % VWC) fell below predetermined thresholds. Historical soil moisture in the growing season over the previous five years was 32% and the SWS treatment imposed a 30% deficit on this historical value to follow an SDI strategy consistent with premium Cabernet Sauvignon wine grape production in the region. ET and PWS thresholds were established using data from preliminary studies in the vineyard block during the 2017/2018 season. Target thresholds for the ET treatment were based on RDI; fruit set to veraison 25% ET_c, and veraison to

- harvest 50% ET_c , targeted at controlling canopy and berry size. PWS thresholds were based on an empirical relationship between *an* and *g*_s and established in a preliminary study. The optimum *g*_s (to maximise WUE_i) corresponded to approx. 75% of maximum *A*_n, which was found to be between *g*_s values of 0.12 – 0.15 mol H₂O m⁻² s⁻¹ (VWI of 0.4 – 0.6). This threshold was maintained from fruit set to harvest.
- 145

146 2.3 Yield and water use efficiency measurements

- Grape bunches were hand-harvested in line with commercial harvest dates in early April of all seasons (11th April 2019, 8th April 2020 and 14th April 2021) and weighed on a per vine basis to determine t ha⁻¹. To assist in accounting for the high variability in the trial blocks, irrigation amounts and WUE_c were adjusted according to vine length. It was assumed that vines 2.2m or less in length would have received the full amount of irrigation as determined by the thresholds each week. However, vines that exceeded 2.2m in length were assumed to have received a higher irrigation entitlement compared to the shorter vines. This was achieved by multiplying the vine length by total
- 153 water output (L m⁻¹) as dictated by the number of drippers in a row.
- 154 As an additional measure to account for high vine-vine variability, an outlier test was applied in to assess whether 155 variations in bunch number unfairly influenced gross margin (gross revenue before tax minus costs) (GM) via 156 effects on yield. All 32 vines (including all treatments) were sorted according to bunch number each season (bunch 157 numbers per vine and bunch m⁻¹), with vines repeatedly found outside the 2nd and 3rd quartiles highlighted for 158 further inspection (i.e. found to be an outlier in 2018/2019, and continued to be an outlier in 2019/2020 and 159 2020/2021). All vines were then sorted according to yield, if the same vines that were identified in the whole bunch 160 number and bunch m⁻¹ outlier tests were identified in the yield outlier test, these vines were removed from the 161 analysis. 1 vine was identified in each of the GROW, ET and PWS treatments that satisfied these criteria.
- 162

163 **2.4** Financial analysis – discounted cash flow analysis

To carry out the financial analysis, the approach that was used closely follows that of Egea et al. (2017). Production
and irrigation data were assessed within the experimental period using GM, as well as a range of financial
performance indices: economic productivity (EP), economic water productivity (EWP) and breakeven point (BP).

167

168
$$EP = \frac{Gross margin (\$)}{Total yield (t)}$$

169

$$EWP = \frac{Gross margin (\$)}{Total irrigation water (ML)}$$

170
$$BP = \frac{Total \ operational \ costs \ (\$)}{Total \ yield \ (t)}$$

171

DCFA was also undertaken in order to investigate each strategy based on estimated future farm returns. A DCFA facilitates this by evaluating future cash flows in relation to the size of the initial investment (Finnerty, 2012), and involves estimating the expected future cash flows (*CF_n*) over a specific time period, discounting these cash flows using an appropriate discount rate (*i*), and calculating the value of these cash flows (minus investment costs, *k*), also known as the net present value (NPV). In addition to NPV, the internal rate of return (IRR) were also calculated. The IRR is the discount rate in which NPV equals 0, and reflects an estimated return on investment as a percentage rather than a dollar value.

179
$$NPV = -k + \sum_{t=1}^{n} \frac{CF_n}{(1+t)^{t-1}}$$

180
$$0 = NPV = \sum_{t=1}^{t} \frac{CF_n}{(1 + IRR)^t} -$$

181

182 The discount rate chosen to carry out the DCFA in this study was 5% and the time horizon for the DCFA was fixed 183 at 20 years. Although vineyards can be productive beyond 20 years, scheduling technology may evolve beyond what 184 was investigated here when considering a time period outside 20 years. In addition to this, a time horizon of 20 185 years been used previously in similar horticulturally based irrigation studies (Maestre-Valero et al., 2016, Egea et 186 al., 2017). Investment costs for vineyard establishment were estimated to be \$60,000 ha⁻¹, and is reflective of a 187 replanting approach using grafted vines in an already established vineyard (Logan, 2018) (which includes removing 188 existing vines, replacing irrigation infrastructure and trellising; this approach was estimated to be more common 189 in Australia), rather than establishing a new vineyard on previously unplanted land. Investment costs for vineyard 190 establishment were taken to be the same for each strategy. For the purpose of carrying out the DCFA, a non-191 productive and 50% full production phase was included as part of a replanting approach. It was assumed vineyard 192 establishment costs would be incurred at the beginning of year 1 (time 0), further negative cash flows would be 193 incurred in years 1 (the end of) and 2 (representing the non-productive phase of a new vineyard), 50% of full 194 production would be reached in year 3 and full production would be reached in year 4. Cash flows from each 195 experimental season were averaged for each strategy (to represent full production), with this average value being 196 extrapolated out for a 20 year investment. It should be noted the DCFA was undertaken assuming i) grape price 197 would remain stable, ii) berry quality would remain unchanged, and iii) average gross margins would remain 198 consistent across the 20-year simulated period. As part of the financial analysis, a sensitivity analysis was applied 199 in order to assess the impact of different factors (i.e., grape and electricity prices), including discount rate (3% and 200 7%), on measures of farm return. In addition to the sensitivity analysis, scenario analysis was also undertaken to 201 evaluate a situation in which growers would need to factor in a gross water price as part of production costs. A gross 202 water price of of \$1000 ML⁻¹ was chosen as this represented the highest monthly allocation price of water attained 203 in the souther Murray Darling Basin (MBD) during the Millennium drought (Aither, 2020).

204

205 2.4.1 Costs

206

207 2.4.1.1 Irrigation scheduling technologies

208 Developing the initial financial model required establishing the costs and benefits associated with strategy. Costs of 209 interest included those either directly related to the strategy itself (i.e., equipment costs), or costs indirectly related 210 via the strategy's impacts on water usage and vine performance (i.e., electricity costs). Investment and ongoing 211 operational and maintenance costs were separately established for each irrigation strategy according to a 15ha 212 vineyard (Table 1). The GROW treatment reflected the equipment the commercial grower used at each site, which 213 included a singular soil moisture probe and access to a local BOM weather station (Bureau of Meteorology weather 214 station, Coonawarra, station ID 026091). In this GROW scenario, the soil moisture probe incurred a cost, however, 215 access to the local weather station data was free. It was assumed an experienced Vineyard Manager would be 216 making weekly irrigation decisions over an 18 week irrigation season (Middle of December to the middle of April), 217 and that the Vineyard Manager would spend approximately half an hour each week making these decisions. The 218 time cost associated with these decisions was calculated and reflected the average yearly wage of an experienced 219 Vineyard Manager. For the ET treatment, as crop factors were obtained through measurements of intercepted light 220 beneath the canopy (Williams and Ayars, 2005), construction of the panel required for those measurements was 221 included as an initial cost (Table 1). To reflect the panel's potential usage in a vineyard setting, it was assumed a 222 grade 5 vineyard worker (Fair Work Commission, 2000) would require one season to measure and establish crop 223 factors that could be used in future seasons (provided the season measured was generally reflective of previous 224 seasons), and was therefore considered as an initial cost. However, crop factors can also be obtained through use 225 of remote sensing techniques (Gautam et al., 2021), but this was not investigated as part of the current study. 226 Operational costs associated with the ET treatment was taken as the time cost of a grade 5 vineyard worker (Fair 227 Work Commission, 2000) requiring one hour each week to calculate ET_c values using ET₀ values obtained from the 228 nearby BOM weather station (access to weather station did not incur a cost). However, ET₀ can also be manually 229 calculated using climatic variables sourced from an onsite weather station. Though this may constitute an additional 230 labour cost, with the weather station itself also an additional investment cost that must be considered. For each of 231 the sensor driven approaches (PWS and SWS), it was assumed a 15ha vineyard would have 6 sampling sites (6 232 sensors), and would require a grade 5 vineyard ½ hour each week to evaluate sensor data in line with established 233 threshold ranges. Ongoing data subscriptions and maintenance costs were included as per company guidelines 234 (Table 1). The lifespan of each technology was also established in conjunction with company guidelines, however, 235 it was assumed crop factors would need to be recalculated every 10 years. There was no lifespan value attributed 236 to the GROW approach.

237

238 2.4.1.2 Vineyard

Costs associated with each irrigation scheduling approach were then integrated into a general model which included both the costs accumulated during the vineyard growing season, and investments costs required for vineyard establishment. General vineyard operating costs such as chemical spray programs, pruning and harvesting etc. were taken to be the same for each strategy, as were the fixed overhead costs which included aspects such as management wages, land tax, insurance and debt servicing etc. Both the fixed and general vineyard operating costs were set at a value of \$9,000 ha⁻¹, a figure which is reflective of a corporately owned vineyard operating in a cool
climate region. This value was established during conversations with local growers and vineyard contractors. As
water is principally sourced using groundwater in the Coonawarra region, the cost of water was calculated as the
electricity required to pump the water from bore sites in close proximity to the trial blocks, as per Egea et al. (2017).
To calculate pumping costs, kWh ML⁻¹ was determined, and then converted to \$ML⁻¹ using an appropriate electricity
rate (incorporating both raw energy costs and supply charges):

 $kWh ML^{-1} = kW \div (Q \times 0.0036)$

- 250
- 251

252

 $ML^{-1} = kWh^{-1} \times kWh ML^{-1}$

253

254 2.4.2 Benefits

Benefits were taken to be the pre-tax income received if growers on sold the grapes to a winery (with wine grape production volume influenced by the irrigation strategy applied). Income was grade based, and the specific grade chosen for the fruit that resulted in this study was conceptualised based on discussions with growers, assessing pricing surveys, and statistical tests to determine whether fruit quality differed between treatments (data not shown).

260

261 2.5 Statistical analysis

Statistical analysis was carried out using Prism software (version 9.0.0, GraphPad Software, San Diego, CA). Oneway ANOVA was used to compare all treatments and the Tukey multiple comparisons posthoc test ($\alpha = 0.05$) was applied to assess differences between specific treatments.

265

266 **3.** Results

267 When considering initial investment requirements for a 15ha vineyard, the sensor driven treatments were 268 associated with the highest initial costs (SWS being the highest, \$13,577), whereas the ET treatment had the lowest 269 (\$1,172) (Table 1). Alternatively, in other vineyards where the GROW treatment is more closely defined by a purely 270 non-data driven approach (i.e. encompassing a heavy reliance of visual assessments, local weather reports and 271 historical knowledge), this treatment would arguably have no investment requirements in comparison to what has 272 been shown here, and would therefore have the lowest initial cost. Operational costs for the GROW and ET 273 treatment were predominantly driven by the cost of labour, whereas operational costs for the PWS and SWS 274 treatments were heavily influenced by the cost of data subscriptions and equipment maintenance.

Across all seasons, reductions in water were observed for each of the data driven strategies (ET, PWS and SWS) in
comparison with the GROW treatment (with an average reduction of between 28% and 43%) (Table 2). Irrigation
for both the ET and PWS treatments were found to be similar and significantly lower than either of the SWS and

GROW treatments (Table 2). In connection with water applied, total pumping costs (TPC) were also found to be
significantly lower for both the ET and PWS treatments in comparison with GROW (with TPC reductions of 45%
and 42%, respectively). Mean yields were similar across all treatments, however, SWS was associated with the
lowest yield (5.09 t ha⁻¹) and the ET treatment, the highest (6.41 t ha⁻¹). WUE was also found to be highest for the
PWS treatment (2.96 t ML⁻¹), and lowest for the GROW treatment (2.31 t ML⁻¹), however, these weren't found to be

- 283 significant differences (PWS v GROW, P < 0.1552) (Table 2).
- 284 Following trends observed for yield, the ET and PWS treatment obtained the highest GM values (16,578 \$ ha⁻¹ and 285 16,515 $\pm t_1$, respectively), followed by GROW (15,201 $\pm t_1$) and SWS (11,188 $\pm t_1$) (Table 3). Economic water 286 productivity (EWP) was significantly higher for the PWS treatment compared with GROW and SWS, but was similar 287 to ET. However, these same differences were not observed for economic productivyt values (EP), which were found 288 to be similar between treatments. With regards to breakeven point (BP), BP was lowest for the ET and PWS 289 treatments (1,553 \pm^1 and 1,588 \pm^1 , respectively), and highest for the GROW and SWS strategies (\pm 1,655 and 290 \$1,845, respectively). When considering long-term profitability as a result of the DCFA, trends closely followed 291 those observed for GM within the experimental period. This meant the ET and PWS treatments showed the highest 292 net present value (NPV) (86,676 \$ ha-1 and 84,834 \$ ha-1, respectively), and SWS, the lowest (30,201 \$ ha-1) (Table 293 4), with NPV BP following a similar ranking (Figure 1 A). The internal rate of return (IRR), which is an indicator of 294 return on investment was also consistent with NPV in each treatment.
- 295 When considering changes in NPV as a result of fluctuating grape price, the SWS treatment was found to be the most 296 sensitive (slope of 6.8) (Figure 1 B), followed by the GROW treatment (slope of 3.3). Similar trends were also 297 observed when comparing the sensitivity of NPV to changes in discount rate (slopes ranged between 2.5 (SWS) and 298 1.9 (ET)). When considering the effect of a 50% increase to electricity price, impact on NPV and BP values were 299 negligible (>1% change). However, when considering a scenario in which growers paid for water similarily to what 300 occurs in warm climate regions (i.e. the Murray Darling Basin), an additional production cost of \$1000 ML⁻¹ 301 (representing a gross water price) was associated with NPV and BP reductions of 9% and 7%, respectively for the 302 **GROW** treatment.
- 303

304 4. Discussion

305 During the course of investigation, reductions in irrigation were observed for all data driven interventions across 306 each season, with reductions in water requirements also associated with reduced costs (Tables 2 and 3). In 307 particular, TPC which reflects the cost of electricial energy for irrigation supply, mirrored differences in water 308 application observed between each treatment. However, the similarities between the ET and PWS treatments 309 (when considering TPC) were no longer evident when exploring total operational costs (TOC), suggesting the higher 310 scheduling costs associated with the PWS treatment reduced its costing similarity to ET (Table 3). The GROW 311 treatment was observed to have a sigifncaitly higher TOC compared with each of the data driven strategies despite 312 noticeably minor differences between absolute values (less than 1%), indicating trends in TOC were highly 313 conserved among seasons. Differences between absolute values of treatment TOC may have been slightly larger had 314 vine size and yield been accounted for, as both these parameters would have been influenced by differences in water 315 application, and consequently harvest and pruning operations. However, when carrying out the intial financial 316 assessment, a machine-based approach to harvesting and pruning was chosen as opposed to carrying out these 317 tasks by hand. When considering these costs, the cost of a machine based approach is rarely affected by the size of 318 a vine, but rather, is largely based on a cost per linear metre of vine cordon (Fisher, 2005), or the layout of the 319 vineyard itself. Additionally, in a previous study considering wine grapes, García García et al. (2012) determined 320 that differences in pruning expenses had a negligible impact on the total costs associated with each of the deficit 321 irrigation strategies investigated in that study, despite large differences in water application (up to 54% reduction 322 in irrigation compared with a 60% ET_c SDI control). Consequently, the difference in harvesting and pruning costs 323 between treatments was considered to be negligible and was not considered as part of the model. Therefore, the 324 main driver behind differences in treatment costs throughout this study was associated wth irrigation supply itself.

325 To establish the financial benefits associated with each treatment, the value of the crop produced using each 326 strategy was determined by investigating yield and quality differences. However, as berry quality was found to be 327 similar between treatments (data not shown) and trends in GM were closely related with yield, the ET and PWS 328 treatments were associated with the highest farm returns (in comparison with GROW and SWS), though these 329 differences were not found to be significant (Table 2 and 3). The similarties between average GM for each strategy 330 was likely the result of statistically similar yields, indicating differences in TOC did not have a significant influence 331 on resulting cash flows. This is particulty evident for the SWS treatment, where lower yields became a more 332 important driving force behind overall profitability, rather than the cost savings associated with reduced water 333 application. Incidentally, even though differences in quality were not observed in this study, the effect of berry 334 quality on the financial desirability of different irrigation stratgies has previously been demonstrated by García 335 García et al. (2012). The authors noted that RDI and PRD strategies previously thought unviable (due to harsh 336 deficits reducing yield), became financially viable when considering the increase in berry quality associated with these strategies, highlighting an additional benefit that needed to be included in the financial analysis. However, 337 338 interestingly in that study, the control treatment which provided 60% ET_c was still considered to be the most 339 profitable due to higher yield. This phenonomen of yields driving profitability rather than reduced water costs has 340 similarily been observed in other studies investigating deficit irrigation. Though contrastingly, in this study, both 341 the ET and PWS treatments which provided lower water application compared with GROW produced higher yields. 342 This may potentially be due to improved canopy characteristics, which may constitute an additional benefit using 343 when these types of strategies.

344 Other benefits when using data driven interventions (or deficit irrigation strategies generally) may also be 345 associated with improved WUE and EWP. EWP which reflects the economic return on water applied, was highest 346 for the ET treatment (Table 3), and this is likely due to the negative correlation that was observed between EWP 347 and irrigation, indicating a greater economic return on water applied was achieved with reduced irrigation. This 348 relationship was similarly observed by Alcon et al. (2013) when comparing deficit irrigation practices in almonds 349 at sales prices of $3 \notin kg^1$ (or 3000 $\notin t^1$). Trends in EWP showed a positive correlation with WUE values, and 350 improvements to WUE were also related to reductions in TOC (Tables 2 & 3). This association between WUE and 351 TOC was also observed by Bellvert et al. (2021), indicating a target of high WUE was also associated high EWP and 352 lower TOC in this study. The positive relationship between WUE and EWP is in contrast with Romero et al. (2016) 353 who when comparing different deficit irrigation strategies in Monastrell grapevine found a negative relationship
354 between EWP (denoted as financial efficiency, \notin m⁻³) and WUE. In that study, a regression coefficient relating the 355 two parameters was not provided, so the relationship's statistical significance cannot be fully assessed. However, 356 despite this, the authors noted that severe water deficits resulted in elevated WUE values, but low and negative 357 EWP values due to the steep reductions in yield, and consequently GM. The authors also noted a positive correlation 358 between EWP and irrigation, a relationship that was also observed by García García et al. (2012) (and opposite to 359 what was observed here). However, it is important to note that yields in both these studies were between 40% -360 170% higher than what was obtained under the current trial, and that grape sales prices were also 12-16 fold lower, 361 likely leading to comparatively lower GM values than what was achieved in this study. These results would suggest 362 that for premium winegrapes, reduced irrigation is associated with high economic returns on water. Whereas for 363 winegrapes coupled with a lower grape price, deficit irrigation is not associated with these same high returns on 364 water, as GM is greatly reduced by any reductions in yield. However, for premium winegrape production, this effect 365 is buffered by high grape prices as long as yields aren't drastically reduced.

366 Following trends observed for GM values, NPV was also found to be similar between all treatments, however, the 367 ET treatment was observed to have the highest long-term financial return. This is in contrast with Egea et al. (2017) 368 who when comparing irrigation scheduling strategies in a super high density olive orchard, found a plant-based 369 method (leaf turgor pressure measurements) to have a more positive long-term economic view than the approach 370 that used ET-based scheduling. However, this conclusion was made on the basis of the ET-based treatment receiving 371 a significantly lower NPV compared with the full irrigation treatment in that study, as both the plant-based and ET-372 based treatment were found to be similar to one another, likewise to what was observed here. The observed range 373 IRR values (Table 4) are within the range previously reported to be common for Australian vineyards (Davidson, 374 2001). The sensitivity of NPV to fluctuating grape price and discount rate was likely driven by differences in yield, 375 as the SWS treatment was the most sensitive. The individual investment costs associated with each treatment, and 376 particularly the sensors themselves did not have a large impact on final NPV or IRR values, with changes in each these parameters being largely driven by GM, which was closely associated with yield. Reasons for the high degree 377 of similarity between treatments may stem from the fact that the GROW treatment in this study represented 378 379 premium Cabernet Sauvignon production in a cool climate region, therefore, traditional irrigation requirements in 380 this vineyard were already quite low. As the thresholds used for each of the interventions were devised with the 381 aim of providing a further deficit on the GROW treatment, absolute differences in water application were only on 382 the order of 0.1 ML ha⁻¹ to 0.25 ML ha⁻¹. So while reductions in water were achieved, this may have led to the high 383 similarities observed between treatments, notwithstanding the high soil variability also present in this region 384 (Longbottom et al., 2011). Given irrigation requirements were low, the effect of increasing electricity only had a 385 marginal effect on farm returns. Inclusion of an additional production cost of \$1000 ML⁻¹, while decreasing both 386 NPV and BP up to 9% and 7% respectively, also did not result in a significant reduction according to statistical 387 analysis. This is in stark contrast to vineyards in warm climate regions, where even a 50% increase in water price 388 (using an averaged annual allocation price of \$417 averaged over each season of the trial in the southern MBD, 389 (Aither, 2021)) could result in a BP increase of approximately 14% under current irrigation rates (Dixon, 2021).

In light of the similarities observed between in this study, an additional matter of interest when implementing a
 specific irrigation strategy is its ability to be adapted for use in precision irrigation (PI). It is widely recognised that
 spatial variability (i.e. soil type and topography) can lead to differences in vine vigour, therefore, supporting the

393 concept of zoned irrigation management (Bramley, 2005). In the current study, soil depth to limestone is highly 394 variable throughout the region (Longbottom et al., 2011), and so implementing a PI system is an attractive 395 alternative to the approach used in this study (which relied on weekly uniform irrigation application). 396 Implementing such a system would not represent a huge cost to the grower, as additional main costs to be 397 considered include: the cost of obtaining an NDVI map (to assess variations in vineyard vigour and delineate 398 irrigation zones), programmable solenoid valves to enable variable control of irrigation and an irrigation controller 399 to program solenoids. Each of the ET, PWS and SWS treatments in this study could be adapted for use in such a 400 system, particularly the PWS and SWS methods. However, an ET approach could also be used alongside sensor 401 driven strategies to determine how much irrigation to apply. Calculating the opportunity costs associated with 402 implementing a non-precision irrigation (NPI) over a PI one in the current study is difficult, however, previous 403 research has suggested that there is in fact an opportunity cost associated with this decision. In Temperanillo, 404 Cabernet Sauvignon and Syrah, Bellvert et al. (2021) compared the cost of using PI with NPI using an integrated 405 vine water consumption model and remote sensing approach. The authors found choosing a NPI over a PI approach 406 meant forgoing cost savings of between 32 \$ ha⁻¹ and 77 \$ ha⁻¹ (which was associated with irrigation water 407 reductions of between 1 ML ha⁻¹ and 1.4 ML ha⁻¹), suggesting further water savings are a possibility when using a 408 PI approach. However, in relation to the current study, the vines were already minimally irrigated, so any further 409 water savings would likely be negligible. Though conversely, if this trial had been undertaken in a region that had 410 higher irrigation requirements, water savings may likely have been higher.

411

412 5. Conclusion

413 Following the conditions explored in this study, data driven interventions were found to have equivalent financial 414 returns compared with the GROW treatment, despite differences observed in water application. However, as 415 reduced irrigation requirements are critically important in the context of water security, each of the data driven 416 strategies were preferable to the GROW treatment which was associated with the highest water needs. This study 417 also highlighted that inclusion of a gross water price into production costs would have a negligible impact on GM 418 for producers in this region, however, this would not be the case in warmer regions with increased irrigation 419 requirements. As a result of the information gleaned from this study, the author's recommendation would be to 420 carry out irrigation scheduling using data driven strategies, as this typically led to water reductions, improvements 421 in WUE and similar financial returns compared with GROW driven strategies.

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- **Table 1.** Approximate investment and operational costs for each irrigation scheduling strategy investigated in this
- 2 study. Operational costs for the GROW and ET treatments were calculated on a per season basis, whereas PWS and
- 3 SWS operational costs were considered over a year as data subscription plans occur in 12 month cycles. Costs were
- 4 considered for a 15 ha vineyard considering an 18 week irrigation period.

	Investment concept	Initial cost (\$)	Lifespan (years)	Operational concept	Yearly operational cost (\$)	Benefits
GROW	Experienced Vineyard Manager and one soil moisture sensor	3,453	10	Cost of wages involved in making decisions, ongoing maintenance of soil moisture probe	479	Minimal equipment requirements and interpretation of complex data
ET	Paso panel and labour required to establish crop factor values over one season	1,172	10	Cost of wages required to calculate ETc	440	Ability to numerically calculate irrigation needs
PWS	Thermography towers (thermal camera, gateway, waterproof outdoor boxes, pole, self-installation)	6,000	5	Data subscription each month, ongoing annual maintenance, cost of wages to analyse data	580	Integrates data from the whole canopy with measurements directly related to vine transpiration
sws	Multiple depth capacitance probe (probes, installation cost and irrimax software)	13,577	10	Data subscription each month, ongoing maintenance of soil moisture probe, cost of wages to analyse data	357	Well-established methodology with a high degree of support for troubleshooting equipment and data problems

5

6 **Table 2.** Yield, water applied and crop water use efficiency for each irrigation treatment. Mean \pm SEM (n = 10 \rightarrow 21)

	GROW	ET	PWS	SWS
Water applied	0.61 ±	0.35 ±	0.35 ±	0.44 ±
(ML ha ⁻¹)	0.02 a	0.03 c	0.02 c	0.03 b 5.09 ±
Yield (t ha ⁻¹)	0.39	0.62	0.46	0.23

WUE (t ML-1)	2.31 ±	2.76 ±	2.96 ±	$2.08 \pm$
	0.20	0.26	0.28	0.13

8

9 One-way ANOVA and Tukey's multiple comparison test ($\alpha = 0.05$) was used to compare treatments found to be normally distributed. Data that was not found to pass 10 the D'Agostino-Pearson omnibus (K2) normality test underwent statistical testing using the Kruskal-Wallis and Dunn's multiple comparison test ($\alpha = 0.05$). 11 Significant differences between treatments are denoted by lowercase letters.

12

Table 3. Mean cost considerations, gross margins and economic indices calculated for a 15 ha vineyard undergoing irrigation scheduling according to each of the investigated strategies. Production Vineyard operating and fixed overhead costs included: chemical application, winter pruning (machine), harvesting (machine), vineyard row and floor management, permanent management, general repairs and maintenance, debt servicing and general power.

Variable	GROW	ЕТ	PWS	SWS
Vineyard operating and fixed overhead costs (\$ ha ⁻¹)	9,000	9,000	9,000	9,000
Scheduling operating costs (\$ ha ⁻¹)	31.9	14.7	38.7	23.8
Pumping cost (\$ ML ⁻¹)	141	141	141	141
Total pumping costs (\$ ha ⁻¹)	85.2 a	46.6 c	49.1 c	61.9 b
Total operational costs (\$ ha ⁻¹)	9,117 a	9,063 c	9,088 b	9,086 b
Gross margin (\$ ha ⁻¹)	15,201	16,578	16,515	11,188
Economic productivity (\$ t ⁻¹)	2,335	2,447	2,412	2,155
Economic water productivity (\$ ML ⁻¹)	24,983 b	55,309 a	51,345 a	26,219 ab
Breakeven point (\$ t ⁻¹)	1,665	1,553	1,588	1,845

18

- 19 Table 4. The net present value (NPV) and internal rate of return (IRR) calculated for each strategy considering a
- 20 time horizon of 20 years. Scenario analysis was undertaken to evaluate figures according to a situation in which the
- 21 price of water was considered to be pumping costs, and a situation where growers would have to pay for per
- 22 megalitre (ML) water they used. Means were calculated on a per vine basis for each season and averaged according
- 23 to irrigation treatment

		Pumping costs					
	_	Indicator	GROW	ET	PWS	SWS	
		NPV (\$ ha-1)	72,343	86,676	84,834	30,201	
	_	IRR (%)	11.1	12.7	12.3	8.08	
NPV (\$/ha)	200000-	GROW		200	GROW		-1
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		1000 2000 30	00 4000 5000		-20 -10	0 10 20	
		Grape pric	e (\$ t ⁻¹)		Grape pr	ice change (%)	

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Figure 1. Panel A represents the relationship between NPV and grape price, with the break-even grape price (when NPV equals

- 28 0) also represented along the x-axis. Panel B represents NPV % change as a function of % change in grape price.
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